

# Pecyn Dogfennau



Mark James LLM, DPA, DCA  
Prif Weithredwr,  
Chief Executive,  
Neuadd y Sir, Caerfyrddin. SA31 1JP  
County Hall, Carmarthen. SA31 1JP

**DYDD MERCHER, 30 IONAWR 2019**

**AT: HOLL AELODAU'R PWYLLGOR CRAFFU POLISI AC  
ADNODDAU**

YR WYF DRWY HYN YN EICH GALW I FYNYCHU CYFARFOD  
O'R **PWYLLGOR CRAFFU POLISI AC ADNODDAU** SYDD I'W  
GYNNAL YN Y SIAMBR, NEUADD Y SIR, CAERFYRDDIN AM  
**10.00 AM AR DYDD MERCHER, 6ED CHWEFROR, 2019** ER  
MWYN CYFLAWNI'R MATERION A AMLINELLIR AR YR AGENDA  
ATODEDIG.

*Mark James* DYB

**PRIF WEITHREDWR**



**AILGYLCHWCH OS GWELWCH YN DDA**

Swyddog Democrataidd:	Martin S. Davies
Ffôn (Llinell Uniongyrchol):	01267 224059
E-bost:	MSDavies@sirgar.gov.uk
Cyf:	AD016-001

# PWYLLGOR CRAFFU POLISI AC ADNODDAU 13 AELOD

## GRŴP PLAID CYMRU – 6 AELOD

- |    |              |                           |
|----|--------------|---------------------------|
| 1. | Y Cynghorydd | Kim Broome                |
| 2. | Y Cynghorydd | Handel Davies             |
| 3. | Y Cynghorydd | Ken Howell (Is-Cadeirydd) |
| 4. | Y Cynghorydd | Gareth John               |
| 5. | Y Cynghorydd | Carys Jones               |
| 6. | Y Cynghorydd | Elwyn Williams            |

## GRŴP LLAFUR – 4 AELOD

- |    |              |              |
|----|--------------|--------------|
| 1. | Y Cynghorydd | Fozia Akhtar |
| 2. | Y Cynghorydd | Colin Evans  |
| 3. | Y Cynghorydd | Kevin Madge  |
| 4. | Y Cynghorydd | John Prosser |

## GRŴP ANNIBYNNOL – 3 AELOD

- |    |              |                          |
|----|--------------|--------------------------|
| 1. | Y Cynghorydd | Sue Allen                |
| 2. | Y Cynghorydd | Arwel Davies             |
| 3. | Y Cynghorydd | Giles Morgan (Cadeirydd) |

# AGENDA

1. YMDDIHEURIADAU AM ABSENOLDEB
2. DATGANIADAU O FUDDIANNAU PERSONOL GAN GYNNWYS UNRHYW CHWIPIAU PLEIDIAU A RODDIR MEWN YMATEB I UNRHYW EITEM AR YR AGENDA.
3. CWESTIYNAU GAN Y CYHOEDD (NID OEDD DIM WEDI DOD I LAW)
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6. DATGANIAD SAFBWYNT LLESIANT A GWYDDOR BYWYD LLANELLI. 101 - 112
7. COFNODION BWRDD GWASANAETHAU CYHOEDDUS (BGC) SIR GÂR - MEDI 2018. 113 - 124
8. EITEMAU AR GYFER Y DYFODOL. 125 - 144
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Mae'r dudalen hon yn wag yn fwriadol

**PWYLLGOR CRAFFU POLISI AC ADNODDAU  
6<sup>ed</sup> CHWEFROR 2019**

**ADRODDIAD MONITRO CYLLIDEB  
CYFALAF A REFENIW 2018/19**

**Ystyried y materion canlynol a chyflwyno sylwadau arnynt:**

- Bod y Pwyllgor Craffu yn derbyn yr Adroddiad Monitro Gyllideb Corfforaethol yr Awdurdod, Adroddiadau adrannol y Prif Weithredwr a'r Gwasanaethau Corfforaethol a'r Adroddiad Monitro Arbedion ac yn ystyried y sefyllfa cyllidebol.

**Rhesymau:**

- I ddatgan i'r Pwyllgor sefyllfa bresennol y gyllideb ar 31<sup>ain</sup> Hydref 2018, ynglyn â 18/19.

**Angen cyfeirio'r mater at y Bwrdd Gweithredol er mwyn gwneud penderfyniad:  
NAC OES**

**Aelodau'r Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:**

- Cyng. Emlyn Dole (Arweinydd)
- Cyng. Mair Stephens (Dirprwy Arweinydd)
- Cyng. David Jenkins (Adnoddau)
- Cyng. Cefin Campbell (Cymunedau a Materion Gwledig)

<p><b>Y Gyfarwyddiaeth:</b> Gwasanaethau Corfforaethol</p> <p><b>Enw Cyfarwyddwr y Gwasanaeth:</b> Chris Moore</p> <p><b>Awdur yr adroddiad:</b> Chris Moore</p>	<p><b>Swydd:</b></p> <p>Cyfarwyddwr y Gwasanaethau Corfforaethol</p>	<p><b>Rhif Ffôn / Cyfeiriad E-bost:</b></p> <p>01267 224120 <a href="mailto:CMoore@sirgar.gov.uk">CMoore@sirgar.gov.uk</a></p>
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**EXECUTIVE SUMMARY  
POLICY & RESOURCES SCRUTINY COMMITTEE  
6<sup>th</sup> FEBRUARY 2019**

**REVENUE & CAPITAL BUDGET  
MONITORING REPORT 2018/19**

The Financial Monitoring report is presented as follows:

**Revenue Budgets**

**Appendix A – Authority Corporate Budget Monitoring report**

Overall, the monitoring report forecasts an end of year overspend of £1,255k on the Authority's net revenue budget with an overspend at departmental level of £2,760k. The most significant pressure points are within Education and Children's Services and the department needs to critically examine the current forecasted position.

**Appendix B**

Chief Executive and Corporate Services detail variances for information purposes only.

**Capital Budgets**

**Appendix C - Corporate Capital Programme Monitoring 2018/19**

The total projected net expenditure for 2018/19 is £36.903m compared to the allocated net budget for the year of £36.943m, giving a -£0.040m variance.

**Appendix D**

Details the main variances on agreed budgets.

**Appendix E**

Details a full list of Chief Executive schemes. There are no Corporate Services schemes.

**Savings Report**

**Appendix F**

The Savings Monitoring report

<b>DETAILED REPORT ATTACHED?</b>	<b>YES – A list of the main variances is attached to this report.</b>
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**IMPLICATIONS**

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: **Chris Moore** Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

### 3. Finance

#### Revenue

Overall, the Authority is forecasting an overspend of £1,255k.

Policy and Resources Services are projecting to be under the approved budget by £579k.

#### Capital

The capital programme shows an in year variance of -£0.040m, which will be re-profiled across the future years of the capital programme.

#### Savings Report

The expectation is that at year end £476k of Managerial savings put forward for 2018-19 will not have been delivered however Policy savings are projected to be on target.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Chris Moore Director of Corporate Services

1. Local Member(s) – N/A

2. Community / Town Council – N/A

3. Relevant Partners – N/A

4. Staff Side Representatives and other Organisations – N/A

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THERE ARE NONE**

Mae'r dudalen hon yn wag yn fwriadol



**REPORT OF THE DIRECTOR OF CORPORATE SERVICES**  
**POLICY & RESOURCES SCRUTINY - 6th FEBRUARY 2019**  
**COUNCIL'S BUDGET MONITORING REPORT 2018/19 as at 31<sup>st</sup> October 2018**

Director and Designation	Author & Designation	Telephone No	Directorate
C Moore, Director of Corporate Services	R Hemingway, Head of Financial Services	01267 224886	Corporate Services

Table 1

Forecasted for year to 31st March 2019

Department	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000		
Chief Executive	25,030	-8,905	-2,562	13,563	26,784	-10,791	-2,563	13,430	-133	246
Communities	143,117	-56,301	10,885	97,701	146,192	-58,494	10,891	98,590	889	794
Corporate Services	77,110	-50,947	-1,363	24,799	76,370	-50,654	-1,363	24,354	-446	-324
Education & Children	170,778	-31,772	23,780	162,786	181,639	-40,961	23,780	164,458	1,672	1,905
Environment	118,616	-75,282	9,296	52,629	122,832	-78,720	9,296	53,407	778	812
<b>Departmental Expenditure</b>	<b>534,650</b>	<b>-223,206</b>	<b>40,035</b>	<b>351,479</b>	<b>553,818</b>	<b>-239,620</b>	<b>40,041</b>	<b>354,239</b>	<b>2,760</b>	<b>3,432</b>
Capital Charges/Interest/Corporate				-15,425				-16,375	-950	-545
<b>Levies and Contributions:</b>										
Brecon Beacons National Park				142				142	0	0
Mid & West Wales Fire & Rescue Authority				9,582				9,582	0	0
<b>Net Expenditure</b>				<b>345,778</b>				<b>347,589</b>	<b>1,810</b>	<b>2,887</b>
Transfers to/from Departmental Reserves										
- Corporate Services				0				223	223	162
- Environment				0				-778	-778	-812
<b>Net Budget</b>				<b>345,778</b>				<b>347,033</b>	<b>1,255</b>	<b>2,237</b>

**Chief Executive Department**  
**Budget Monitoring as at 31st October 2018**

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Chief Executive	-314	0	-308	-622	-8	0	-308	-316	305	290
People Management	4,204	-1,420	-2,565	219	4,829	-2,054	-2,565	209	-9	14
ICT	4,464	-792	-3,736	-65	4,660	-989	-3,736	-65	-0	-0
Admin and Law	4,101	-537	478	4,043	3,899	-487	478	3,890	-152	-54
Regen, Policy & Property										
Policy	4,953	-1,082	-3,021	849	4,693	-1,043	-3,021	628	-221	22
Statutory Services	1,250	-289	341	1,302	1,267	-357	341	1,251	-51	14
Property	1,141	-1,309	832	664	1,230	-1,406	832	656	-8	-63
Major Projects	151	-76	15	90	1,448	-1,369	15	94	4	0
Regeneration	5,080	-3,399	5,403	7,084	4,768	-3,086	5,402	7,084	-1	23
<b>GRAND TOTAL</b>	<b>25,030</b>	<b>-8,905</b>	<b>-2,562</b>	<b>13,563</b>	<b>26,784</b>	<b>-10,791</b>	<b>-2,563</b>	<b>13,430</b>	<b>-133</b>	<b>246</b>

**Chief Executive Department - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Chief Executive</b>							
Chief Executive-Chief Officer	267	0	245	0	-21		-6
Corporate Savings Target	-580	0	-253	0	327	£285k Corporate Health & Safety efficiency proposal not yet implemented; £37k standby efficiency not materialised.	295
<b>People Management</b>							
Employee Well-being	826	-379	657	-259	-49	Additional external income	-44
HR Systems Development Team	123	0	169	-34	12	Posts regraded with no budgets	13
Assessment centre training	0	0	31	0	31	Unused Assessment Centre credits expired	31
<b>Admin and Law</b>							
Democratic	1,796	0	1,768	0	-29	Reduction in supplies and services and printing costs	22
Land Charges Administration	149	-288	106	-262	-17	Part year vacant post. Post has now been filled	-18
Corporate Serv-Democratic	494	0	366	0	-128	Part year vacant posts. 3 posts are still currently vacant	-75
Corporate Serv-Legal	1,598	-249	1,595	-225	21	Income target not achievable	17
<b>Regeneration, Policy &amp; Property</b>							
<b>Policy</b>							
Welsh Language	176	-9	166	-10	-11	Post recently become vacant. Unlikely to be filled before the end of the financial year	-6
Marketing and Media	657	-359	527	-168	61	Unfunded posts being funded from underspends elsewhere and will be resolved as part of an ongoing restructure.	65
Translation	510	-32	447	-32	-63	Part year vacant posts. 3 posts currently still vacant	-14
Performance Management	545	-31	453	-11	-72	Vacant Performance and Information Manager post for whole of financial year.	-46
Chief Executive-Policy	504	-27	527	-32	18	Increased staffing cost pending realignment of budget	29
CCTV	35	0	12	0	-23	CCTV responsibility transferred back to the police	-9
Chief Executive departmental administration	461	-31	443	-2	12	Forecasted non-achievement of income target due to less opportunity to charge time to grant schemes.	25
Customer Services Centres	1,085	-328	994	-329	-91	Various part year vacant posts. 5 posts currently vacant.	-68
Mr Sir Gar	169	-129	108	-87	-18	Underspend mainly due to staff vacancies	-10

**Chief Executive Department - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Marketing Tourism Development	336	-2	327	-58	-64	Underspend mainly due to vacant posts - offsetting overspends within Visitor Information and Events below	-34
Visitor Information	74	-3	84	-1	12	Overspend due to additional staffing and advertising costs	4
Events	48	-28	113	-70	23	Overspend due to increased costs associated with running of various events - covered by underspend within Tourism Development	30
<b>Statutory Services</b>							
Registration Of Electors	159	-2	157	-27	-26	Contribution from WG for Individual Electoral Registration	-3
Coroners	393	0	374	0	-19	Storage Fees lower than normal.	-9
<b>Property</b>							
Commercial Property - Chief Executives	29	-516	49	-550	-15	High occupancy levels during year	-55
<b>Other Variances</b>					-2		111
<b>Grand Total</b>					<b>-133</b>		<b>246</b>

**Department for Communities**  
**Budget Monitoring as at 31st October 2018**

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
<b>Adult Services</b>										
Older People	56,732	-22,758	2,780	36,754	57,146	-22,717	2,780	37,209	455	430
Physical Disabilities	6,946	-1,364	225	5,807	7,151	-1,528	225	5,848	41	31
Learning Disabilities	37,440	-10,204	1,308	28,545	38,399	-10,888	1,308	28,819	274	212
Mental Health	9,265	-3,368	230	6,127	9,883	-3,829	230	6,284	157	92
Support	6,023	-2,688	985	4,320	6,009	-2,719	992	4,282	-38	-22
<b>Homes &amp; Safer Communities</b>										
Public Protection	3,081	-835	588	2,834	3,072	-825	588	2,835	0	-0
Council Fund Housing	9,216	-7,955	243	1,505	9,933	-8,672	243	1,504	-0	0
<b>Leisure &amp; Recreation</b>										
Leisure & Recreation	14,413	-7,130	4,525	11,809	14,598	-7,315	4,525	11,808	-0	0
<b>Corporate Standby Efficiency</b>										
Corporate Standby Efficiency	0	0	0	0	0	0	0	0	0	51
<b>GRAND TOTAL</b>	<b>143,117</b>	<b>-56,301</b>	<b>10,885</b>	<b>97,701</b>	<b>146,192</b>	<b>-58,494</b>	<b>10,891</b>	<b>98,590</b>	<b>889</b>	<b>794</b>

**Department for Communities - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Adult Services</b>							
<b>Older People</b>							
Older People - Commissioning	3,570	-625	3,415	-595	-125	Staff vacancies in care management teams: equivalent to one vacant social worker post in TTTs Community Resource Team and part year effect of 4 social workers who have commenced during 2018-2019	-87
Older People - LA Homes	7,349	-4,436	7,542	-4,489	140	Cost of agency staff due to workforce recruitment issues in parts of the county.	129
Older People - Private/ Vol Homes	21,579	-11,897	21,927	-11,943	303	Performance data shows demographic pressure on demand. Preventative work continues to be reviewed to mitigate the effects of this.	170
Older People - LA Home Care	6,008	-431	5,842	-426	-162	Significant departmental work to monitor and manage demand by continuing to promote independent living through Integrated Care Fund initiatives such as Releasing Time to Care resulting in lower demand eg for double handed care packages. Recruitment is underway for vacant posts.	-140
Older People - Direct Payments	1,156	-287	1,205	-287	49	Direct Payments increasing across client groups linked to promoting independence and cost avoidance.	79
Older People - Private Home Care	9,732	-2,164	10,236	-2,164	504	There has been a significant increase in demand for domiciliary care that has only been partly offset by a fall in residential care placements. There is significant departmental work to monitor and manage demand by audit assessment practice and by continuing to promote independent living through Integrated Care Fund initiatives such as Releasing Time to Care resulting in lower demand eg for double handed care packages. We are currently reviewing whether this initiative requires additional resources to yield the necessary financial savings.	410
Older People - Enablement	2,197	-586	1,947	-436	-100	Staff vacancies - recruitment issues being addressed.	-99
Older People - Day Services	1,125	-71	1,080	-77	-51	Outstanding unmet efficiency relating to in house Day services provision from 2016-2017 £202k offset by lower spend in remaining in-house provision and placements.	-17
<b>Physical Disabilities</b>							
Phys Dis - Commissioning & OT Services	578	-81	486	-83	-94	Vacancies within the Occupational Therapy Team - being adressed	-87
Phys Dis - Direct Payments	2,282	-555	2,431	-555	150	Direct Payments increasing across client groups linked to promoting independence and cost avoidance.	138

**Department for Communities - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Learning Disabilities</b>							
Learn Dis - Employment & Training	2,306	-888	2,341	-807	116	Overspend on staffing in Coleshill Day Centre due to complexity of clients £40k and shortfall of income £32k. Shortfall in income at SA31 £20k and Blas Myrddin £20k.	84
Learn Dis - Private/Vol Homes	9,759	-2,733	9,913	-3,037	-150	Costs reducing as various projects begin to take effect: work on alternative provision, deregistration, Positive Behaviour Service intervention for additional hours etc.	24
Learn Dis - Direct Payments	2,167	-526	2,482	-626	215	Direct Payments increasing across client groups linked to promoting independence and cost avoidance.	179
Learn Dis - Group Homes/Supported Living	8,604	-2,158	9,272	-2,608	218	Costs increasing as a result of successful deregistration of residential beds - promotes independence for clients and has lower costs overall across the spectrum to packages of care	118
Learn Dis - Adult Respite Care	976	-812	857	-812	-120	Vacant Manager post, several staff not in pension scheme.	-112
<b>Mental Health</b>							
M Health - Private/Vol Homes	6,105	-2,573	6,560	-2,959	69	Work continuing to promote independent living and reduce cost of care packages accordingly. Client group difficult to forecast costs as demand led at short notice; forecasts are based on existing levels of packages and work is ongoing to develop alternative provision options	106
M Health - Group Homes/Supported Living	876	-397	1,083	-484	120	Work continuing to promote independent living and reduce cost of care packages accordingly. Client group difficult to forecast costs as demand led at short notice; forecasts are based on existing levels of packages and work is ongoing to develop alternative provision options	21
<b>Other Variances - Adult Services</b>					-193		-177
<b>Homes &amp; Safer Communities</b>							
<b>Public Protection</b>							
Air Pollution	121	-33	118	-18	13	Potential underachievement of income	12
<b>Other Variances - Public Protection</b>					-13		-12

**Department for Communities - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Council Fund Housing</b>							
Home Improvement (Non HRA)	833	-288	741	-247	-51	New funding stream was introduced in 18/19 and working with partners and other council departments, the budget was allocated accordingly. Projects due to commence later in the year	-50
Landlord Incentive	12	-10	73	-12	58	We are anticipating WG funding to tackle homelessness, confirmation not yet finalised. Until the funding is agreed the overspend is to be covered by the underspends in Home Improvement team	58
<b>Other Variances - Council Fund Housing</b>					-7		-8
<b>Leisure &amp; Recreation</b>							
Pembrey Ski Slope	357	-319	390	-336	15	Forecast overspend on Staff costs	-9
Sport & Leisure West	249	-21	226	-21	-23	In year staff vacancy	-38
Carmarthen Leisure Centre	1,283	-1,329	1,331	-1,329	48	Shortfall in income to budget £18k, additional cost of delivering in-house catering £17k, increased cost of Gas £13k	33
Sport & Leisure General	971	-56	969	-69	-16	Forecast saving against the training budget	11
Sport & Leisure South	166	-23	160	-0	16	Grant adjustments for previous financial years impacting on current year budget	-0
PEN RHOS 3G PITCH	31	-31	11	-34	-23	Part year saving of Premises and Supplies budget for this new Service in the Leisure portfolio	0
Carmarthen Museum, Abergwili.	161	-16	174	-18	11	One off relocation expenses +£6k increased NNDR +£5k	11
Museum of speed, Pendine	70	-24	55	-20	-11	Part year staff vacancy	-11
Museums General	173	0	190	0	17	Contribution to Documentation Assistant post £10k, temporary storage rental £7k	17
Leisure Management	290	0	302	0	12	Staff Training £12k	1
<b>Other Variance - Leisure &amp; Recreation</b>					-47		-15
<b>Corporate Standby Efficiency</b>					0		51
<b>Grand Total</b>					<b>890</b>		<b>794</b>



**Corporate Services Department**  
**Budget Monitoring as at 31st October 2018**

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Financial Services	4,378	-1,984	-2,364	30	4,288	-1,987	-2,364	-63	-94	-101
Revenues & Financial Compliance	4,764	-1,670	-2,158	935	4,369	-1,550	-2,158	661	-274	-220
Other Services	67,967	-47,293	3,159	23,834	67,714	-47,118	3,159	23,756	-78	-4
<b>GRAND TOTAL</b>	<b>77,110</b>	<b>-50,947</b>	<b>-1,363</b>	<b>24,799</b>	<b>76,370</b>	<b>-50,654</b>	<b>-1,363</b>	<b>24,354</b>	<b>-446</b>	<b>-324</b>

**Corporate Services Department - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
<b>Financial Services</b>							
Accountancy	1,675	-369	1,696	-420	-30	Part year vacant posts	-30
Treasury and Pension Investment Section	246	-175	196	-175	-50	Part year vacant Posts	-35
Grants and Technical	186	0	269	-67	17	Under recovery of income on grant funded posts	0
Payments	501	-72	468	-72	-33	Part year vacant posts	-39
<b>Revenues &amp; Financial Compliance</b>							
Procurement	518	-32	415	-32	-103	Part year vacant posts	-65
Audit	583	-18	555	-18	-28	Part year vacant posts	-32
Risk Management	136	-0	153	-0	18	Additional software costs, data cleansing and temp post funded from underspends in Procurement	30
Local Taxation	895	-728	867	-727	-28	Part year vacant posts	-31
Housing Benefits Admin	1,621	-750	1,442	-674	-103	Part year vacant posts	-83
Revenues	871	-141	797	-96	-30	Part year vacant posts	-39
<b>Other Services</b>							
Miscellaneous Services	4,743	-115	4,679	-115	-64	Reduction in Pre LGR Pension Costs	6
<b>Other Variances</b>					-10		-7
<b>Grand Total</b>					<b>-446</b>		<b>-324</b>

**Department for Education & Children**  
**Budget Monitoring as at 31st October 2018**

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Director & Strategic Management	1,181	0	-94	1,087	1,132	0	-94	1,038	-50	-28
Education Services Division	130,258	-15,475	18,112	132,895	131,364	-15,559	18,112	133,916	1,022	1,057
Access to Education	8,455	-5,745	2,167	4,877	8,718	-5,878	2,167	5,007	130	135
School Improvement	2,586	-623	463	2,426	10,260	-8,327	463	2,396	-30	30
Curriculum & Wellbeing	5,483	-4,176	590	1,897	5,938	-4,454	590	2,074	177	253
Children's Services	22,815	-5,752	2,541	19,604	24,228	-6,742	2,541	20,027	423	401
Corporate Standby Efficiency	0	0	0	0	0	0	0	0	0	57
<b>GRAND TOTAL</b>	<b>170,778</b>	<b>-31,772</b>	<b>23,780</b>	<b>162,786</b>	<b>181,639</b>	<b>-40,961</b>	<b>23,780</b>	<b>164,458</b>	<b>1,672</b>	<b>1,905</b>

**Department for Education & Children - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Director &amp; Strategic Management</b>							
Director & Management Team	781	0	765	0	-17	Part year vacant post	2
Business Support	400	0	367	0	-33	Part year vacancy -£20k. Reduction in supplies and services - postages, photocopying recharges, admin and office equipment -£10k	-30
<b>Education Services Division</b>							
School Expenditure not currently delegated	225	0	225	17	18	Additional costs relating to previous years' Church Schools insurance premiums	18
School Redundancy & EVR	1,875	0	2,202	0	327	Budget utilised on existing commitments. Schools are supported and challenged on staffing structure proposals.	379
Special Educational Needs	3,190	-1,493	3,872	-1,597	579	Additional 5 classes to meet increased demand in specialist provision £346k. Full year impact of additional pupils commencing Out of County placements in 2017-18 and fewer pupils from other authorities in specialist provisions within Carmarthenshire, with these places being utilised by Carmarthenshire pupils £214k. Supply costs in SEN management to meet increased demand in inclusion cases £19k.	646
Education Other Than At School (EOTAS)	1,975	-217	2,044	-203	83	Additional maintenance costs at the Secondary Teach & Learn Centre £30k; Relocation and initial set up costs for the relocation of Canolfan y Gors to Pwll £47k. Fewer pupils attending Canolfan Bro Tywi due to staff illnesses resulting in reduced income £6k	18
Sensory Impairment	368	0	353	0	-16	Member of staff currently on maternity leave	-12
Educational Psychology	876	0	920	-12	31	Service demand currently requires staffing level in excess of budget	16
<b>Access to Education</b>							
School Modernisation	55	-5	186	-70	67	Transport recharges outside of transport policy for former pupils of closed schools £10k. Premises costs and historic utility costs re closed schools £57k	60
School Meals & Primary Free Breakfast Services	8,190	-5,740	8,321	-5,809	63	Budget pressures across the service especially sickness cover, kitchens' maintenance and food price increases. A strategic review of meal provision commenced in September 2018 to identify service efficiencies.	76
<b>School Improvement</b>							
School Effectiveness Support Services	300	-93	316	-90	20	Premises costs for Neuadd Y Gwendraeth	30
National Model for School Improvement	1,145	-58	1,542	-504	-50	2 part year vacant posts	-0

**Department for Education & Children - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Curriculum and Wellbeing</b>							
Music Services for Schools	965	-865	1,220	-915	205	SLA income from schools has reduced with greater expediency than the progress with staff restructuring. Service is currently appraising options to address the financial position. Partly offset by additional funding to be received from Welsh Government for music services £50k	229
Youth Offending & Prevention Service	1,594	-760	1,582	-774	-25	Part year vacant post	-0
<b>Children's Services</b>							
Commissioning and Social Work	6,706	-78	6,716	-104	-15	Part year vacant posts	-21
Fostering Services & Support	3,950	0	4,045	-56	39	Increase in boarding out allowances in line with Welsh Government guidelines. Forecast increase in residence orders and boarding out payments based on April - October 2018 activity	13
Out of County Placements (CS)	701	0	942	-62	179	More use of independent care agencies that are more expensive to use and an increase in the number of children on remand.	206
Garreglwyd Residential Unit	562	-163	677	-155	122	Additional staff costs incurred during the summer period due to staff shortage. Contribution from LHB for Tripartite funding is only at draft SLA level due to on-going lack of engagement from LHB. Additional tripartite staffing and premises costs for new placement are also not yet agreed.	93
Residential and Respite Units	925	0	899	0	-27	Part year vacancy and secondment	-6
Short Breaks and Direct Payments	531	-30	697	-149	47	Increased take up of Direct Payments, based on April - October 2018 . Also increased costs for after school and holiday club provision. This provision is currently under review.	65
Education Welfare	393	0	448	-1	54	Review of staffing structure to be progressed Autumn 2018. Any part year impact would reduce the forecast overspend.	64
<b>Other Variances</b>					19		4
<b>Corporate Standby Efficiency</b>					0		57
<b>Grand Total</b>					<b>1,672</b>		<b>1,905</b>

**Environment Department**  
**Budget Monitoring as at 31st October 2018**

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Buisness Support & Performance	45	-102	146	89	53	-92	146	108	19	18
Waste & Environmental Services	23,690	-4,407	1,384	20,666	23,981	-4,545	1,384	20,820	154	178
Highways & Transportation	50,994	-31,901	9,129	28,223	53,512	-34,053	9,129	28,588	366	223
Property	39,686	-36,415	-1,648	1,624	41,170	-37,902	-1,648	1,620	-4	-60
Planning	4,201	-2,457	284	2,027	4,116	-2,128	284	2,271	244	277
Corporate Standby Efficiency	0	0	0	0	0	0	0	0	0	177
<b>GRAND TOTAL</b>	<b>118,616</b>	<b>-75,282</b>	<b>9,296</b>	<b>52,629</b>	<b>122,832</b>	<b>-78,720</b>	<b>9,296</b>	<b>53,407</b>	<b>778</b>	<b>812</b>

**Environment Department - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
<b>Waste &amp; Environmental Services</b>							
Cleansing Service	2,293	-83	2,373	-83	80	Service reviews have commenced to look at ways of reducing costs within the cleansing service	79
Green Waste Collection	86	0	283	-157	40	The green waste collection service is not yet self-financing	38
Closed Landfill Sites	230	0	239	0	10	IWEC pumps failed Dec17 which resulted in a leachate outbreak, NRW involved. Needed to overpump leachate from lagoons into raising mains - 2 sets of pumps used to lower level of lagoon so that CCTV investigation could commence and vavles fitted and blockages removed to reinstate IWEC pumps - To be completed Dec18	42
<b>Highways &amp; Transportation</b>							
Car Parks	2,136	-3,577	1,894	-2,973	362	Unachievable income target as the income target is increased every year but parking fees have not been increased.	299
Nant y Ci Park & Ride	77	-32	96	-32	18	Provision for Winter Maintenance charges as per 17/18 costs	16
Bridge Maintenance	764	0	751	-5	-18	Variance due to 'Highways structures Inspector' post being vacant - should be filled by Oct18	-18
Street Works and Highway Adoptions	408	-350	446	-422	-34	Vacant posts - street works inspector (filled by Nov 18), Technician & licensing Officer (to be filled by Dec18)	-45
Highway Maintenance	12,176	-4,895	12,970	-5,644	45	Reallocation of corporate standby efficiency	0
<b>Property</b>							
Carbon Reduction Programme	277	0	242	0	-35	Reduction in Carbon Reduction Programme payments due to Energy Efficiency Initiatives	0
Property Maintenance Operational	23,438	-25,247	25,059	-26,780	88	Reallocation of corporate standby efficiency	0
Business Unit	707	-4	686	-6	-22	Vacant post	-29
Industrial Premises	481	-1,396	373	-1,316	-29	Based on very high occupancy levels which may be subject to variation	-29

**Environment Department - Budget Monitoring as at 31st October 2018**  
**Main Variances**

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
<b>Planning</b>							
Planning Admin Account	334	-9	442	-140	-23	Staff vacancies £6k, projected over-achievement of income £5k, various net underspends £12k	-17
Minerals	339	-205	298	-183	-20	Underspend mainly due to charging out of staff to projects as a 'direct cost'.	0
Development Management	1,477	-1,265	1,444	-907	326	Overspend due to ongoing shortfall in income which is driven by a small number of larger schemes which attract higher planning fees. This mirrors the trend across Wales and fees can't be increased as rates set nationally. England by comparison have increased their fees by 20%	326
Conservation	407	-31	356	-14	-35	Underspend mainly due to staff vacancies - post awaiting authorisation for recruitment in relation to delivery of Env Act Obligations.	-14
<b>Other Variances</b>					25		-14
Corporate Standby Efficiency					0		177
<b>Grand Total</b>					<b>778</b>		<b>812</b>



## Policy & Resources Scrutiny Report

### Budget Monitoring as at 31st October 2018 - Detail Monitoring

Division	Working Budget				Forecasted				Oct-18 Forecasted Variance for Year £'000	Notes	Aug-18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
<b>Chief Executive</b>											
Chief Executive-Chief Officer	267	0	-308	-41	245	0	-308	-63	-21	Savings on supplies and services	-5
Corporate Savings Target	-580	0	0	-580	-253	0	0	-253	327	£285k Corporate Health & Safety efficiency proposal not yet implemented; £37k standby efficiency not materialised.	295
<b>Chief Executive Total</b>	<b>-314</b>	<b>0</b>	<b>-308</b>	<b>-622</b>	<b>-8</b>	<b>0</b>	<b>-308</b>	<b>-316</b>	<b>305</b>		<b>290</b>
<b>People Management &amp; Performance</b>											
SCWDP	624	-417	1	208	644	-437	1	207	-1		-1
Practice Placements	68	-67	0	1	82	-83	0	-1	-2		-2
Business & Projects Support	261	0	-264	-2	270	0	-264	6	9		8
Payroll	687	-334	-245	108	680	-334	-245	102	-6		0
People Services – HR	1,014	-216	-740	58	1,016	-223	-740	53	-5		-5
Employee Well-being	826	-379	-466	-18	657	-259	-466	-68	-49	Additional external income	-44
Organisational Development	481	-7	-464	11	612	-140	-464	8	-3		9
HR Systems Development Team	123	0	-388	-265	169	-34	-388	-253	12	Posts regraded with no budgets	13
School Staff Absence Scheme	0	0	0	0	541	-541	0	0	0		0
DBS Checks	119	0	0	119	127	-3	0	124	5		5
Assessment centre training	0	0	0	0	31	0	0	31	31	Unused Assessment Centre credits expired	31
<b>People Management &amp; Performance Total</b>	<b>4,204</b>	<b>-1,420</b>	<b>-2,565</b>	<b>219</b>	<b>4,829</b>	<b>-2,054</b>	<b>-2,565</b>	<b>209</b>	<b>-9</b>		<b>14</b>
<b>ICT</b>											
Information Technology	4,464	-792	-3,736	-65	4,660	-989	-3,736	-65	-0		-0
<b>Total ICT</b>	<b>4,464</b>	<b>-792</b>	<b>-3,736</b>	<b>-65</b>	<b>4,660</b>	<b>-989</b>	<b>-3,736</b>	<b>-65</b>	<b>-0</b>		<b>-0</b>
<b>Admin and Law</b>											
Democratic	1,796	0	1,986	3,782	1,768	0	1,986	3,754	-29	Reduction in supplies and services and printing costs	22
Corporate Management	0	0	265	265	0	0	265	265	0		0
Civic Ceremonial	22	0	41	63	22	0	41	63	0		0
Land Charges Administration	149	-288	13	-126	106	-262	13	-143	-17	Part year vacant post. Post has now been filled	-18
Corporate Serv-Democratic	494	0	-461	34	366	0	-461	-95	-128	Part year vacant posts. 3 posts are still currently vacant	-75
Corporate Serv-Legal	1,598	-249	-1,367	-18	1,595	-225	-1,367	3	21	Income target not achievable	17
Central Mailing	42	0	1	43	42	0	1	43	-0		0
<b>Admin and Law Total</b>	<b>4,101</b>	<b>-537</b>	<b>478</b>	<b>4,043</b>	<b>3,899</b>	<b>-487</b>	<b>478</b>	<b>3,890</b>	<b>-152</b>		<b>-54</b>

## Policy &amp; Resources Scrutiny Report

## Budget Monitoring as at 31st October 2018 - Detail Monitoring

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Division	Working Budget				Forecasted				Oct-18 Forecasted Variance for Year £'000	Notes	Aug-18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
<b>Regeneration, Policy &amp; Property</b>											
<b>Policy</b>											
TIC Team	216	-56	40	200	213	-56	40	197	-3		-0
Welsh Language	176	-9	-172	-5	166	-10	-172	-16	-11	Post recently become vacant. Unlikely to be filled before the end of the financial year	0
Marketing and Media	657	-359	-302	-4	527	-168	-302	57	61	Unfunded posts being funded from underspends elsewhere and will be resolved as part of an ongoing restructure.	-6
Translation	510	-32	-483	-5	447	-32	-483	-68	-63	Part year vacant posts. 3 posts currently still vacant	65
Performance Management	545	-31	-561	-47	453	-11	-561	-119	-72	Vacant Performance and Information Manager post for whole of financial year.	-14
Chief Executive-Policy	504	-27	-466	11	527	-32	-466	29	18	Increased staffing cost pending realignment of budget	-46
CCTV	35	0	1	36	12	0	1	13	-23	CCTV responsibility transferred back to the police	29
Public Service Bodies	9	0	0	9	9	0	0	9	-0		-9
Community Safety-Revenue	30	0	9	39	30	0	9	39	0		-1
Chief Executive departmental administration	461	-31	-439	-9	443	-2	-439	2	12	Forecasted non-achievement of income target due to less opportunity to charge time to grant schemes.	-0
The Guildhall Carmarthen	0	0	8	8	47	-47	8	8	-0		25
Parc Amanwy Ammanford	67	-41	47	73	49	-24	47	73	-0		46
Nant Y Ci Rural Business Development Centre	31	-5	14	39	31	-5	14	39	-0		0
Customer Services Centres	1,085	-328	-766	-10	994	-329	-766	-100	-91	Various part year vacant posts. 5 posts currently vacant.	0
Armed Forces Covenant Scheme	0	0	0	0	100	-100	0	0	0		-68
The Hub Ammanford	0	0	0	0	12	-12	0	-0	-0		9
UN Sir Gar	169	-129	10	50	108	-87	10	32	-18	Underspend mainly due to staff vacancies	-10
Marketing Tourism Development	336	-2	22	356	327	-58	22	291	-64	Underspend mainly due to vacant posts - offsetting overspends within Visitor Information and Events below	-34
Visitor Information	74	-3	14	85	84	-1	14	97	12	Overspend due to additional staffing and advertising costs	4
Events	48	-28	2	22	113	-70	2	44	23	Overspend due to increased costs associated with running of various events - covered by underspend within Tourism Development	30
<b>Policy Total</b>	<b>4,953</b>	<b>-1,082</b>	<b>-3,021</b>	<b>849</b>	<b>4,693</b>	<b>-1,043</b>	<b>-3,021</b>	<b>628</b>	<b>-221</b>		21
<b>Statutory Services</b>											
Registrars	417	-287	254	384	441	-311	254	384	-0		0
Elections-County Council	9	0	126	135	12	0	126	138	3		3
Elections-Community Council	0	0	0	0	19	-19	0	-0	-0		24
Registration Of Electors	159	-2	232	389	157	-27	232	363	-26	Contribution from WG for Individual Electoral Registration	-3
Coroners	393	0	5	398	374	0	5	379	-19	Storage Fees lower than normal.	-9
Electoral Services - Staff	272	0	-277	-5	264	0	-277	-13	-9		-1
<b>Statutory Services Total</b>	<b>1,250</b>	<b>-289</b>	<b>341</b>	<b>1,302</b>	<b>1,267</b>	<b>-357</b>	<b>341</b>	<b>1,251</b>	<b>-51</b>		14

## Policy & Resources Scrutiny Report

### Budget Monitoring as at 31st October 2018 - Detail Monitoring

Division	Working Budget				Forecasted				Oct-18 Forecasted Variance for Year £'000	Notes	Aug-18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
<b>Property</b>											
Property & Major projects unit	516	-37	-483	-4	557	-78	-483	-4	0		-2
Industrial Premises - JV's	42	-131	382	294	103	-189	382	296	2		5
Commercial Properties	29	-516	515	29	49	-550	515	14	-15	High occupancy levels during year	-55
Provision Markets	553	-625	417	345	520	-588	417	350	5		-12
<b>Property Total</b>	<b>1,141</b>	<b>-1,309</b>	<b>832</b>	<b>664</b>	<b>1,230</b>	<b>-1,406</b>	<b>832</b>	<b>656</b>	<b>-8</b>		<b>-63</b>
<b>Major Projects</b>											
Wellness	101	-76	11	36	104	-76	11	40	4		0
City Deal	50	0	4	54	1,344	-1,294	4	54	-0		0
<b>Total Major Projects</b>	<b>151</b>	<b>-76</b>	<b>15</b>	<b>90</b>	<b>1,448</b>	<b>-1,369</b>	<b>15</b>	<b>94</b>	<b>4</b>		<b>0</b>
<b>Regeneration</b>											
<b>Regeneration - Core Budgets</b>											
Parry Thomas Centre	30	-30	1	1	66	-66	1	1	0		0
Betws wind farm community fund	87	-87	1	2	87	-87	1	2	-0		-0
Welfare Rights & Citizen's Advice	130	0	2	131	130	0	2	131	0		0
Llanelli Coast Joint Venture	142	-142	5	5	142	-142	5	5	0		-0
The Beacon	143	-136	43	50	183	-176	43	50	-0		23
Econ Dev-Rural Carmarthen, Ammanford, Town Centres	429	0	5,062	5,491	429	0	5,062	5,491	-0		-0
Econ Dev-Llanelli, C Hands, Coastal, Business, Inf & Ent	485	0	45	530	485	0	45	530	-0		-0
Community Development and External Funding	627	0	105	733	627	0	105	733	-0		-0
Regeneration - Externally Funded Schemes (Total)	3,008	-3,005	138	142	2,619	-2,615	138	141	-0		0
<b>Regeneration Total</b>	<b>5,080</b>	<b>-3,399</b>	<b>5,403</b>	<b>7,084</b>	<b>4,768</b>	<b>-3,086</b>	<b>5,402</b>	<b>7,084</b>	<b>-1</b>		<b>23</b>

## Policy &amp; Resources Scrutiny Report

## Budget Monitoring as at 31st October 2018 - Detail Monitoring

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Division	Working Budget				Forecasted				Oct-18 Forecasted Variance for Year £'000	Notes	Aug-18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
<b>Financial Services</b>											
Chief Officer	341	-60	-284	-3	341	-60	-284	-3	-0		0
Accountancy	1,675	-369	-1,317	-11	1,696	-420	-1,317	-41	-30	Part year vacant posts	-30
Treasury and Pension Investment Section	246	-175	-72	-1	196	-175	-72	-51	-50	Part year vacant Posts	-35
Grants and Technical	186	0	-202	-17	269	-67	-202	-0	17	under recovery of income on grant funded posts	0
Payroll Control	83	0	0	83	86	0	0	86	3		3
Payments	501	-72	-434	-5	468	-72	-434	-38	-33	Part year vacant posts	-39
Pensions	1,155	-1,116	-55	-16	1,089	-1,050	-55	-16	-0		-0
Wales Pension Partnership	192	-192	0	0	142	-142	0	0	-0		0
<b>Financial Services Total</b>	<b>4,378</b>	<b>-1,984</b>	<b>-2,364</b>	<b>30</b>	<b>4,288</b>	<b>-1,987</b>	<b>-2,364</b>	<b>-63</b>	<b>-94</b>		<b>-101</b>
<b>Revenues &amp; Financial Compliance</b>											
Procurement	518	-32	-523	-38	415	-32	-523	-140	-103	Part year vacant posts	-65
Audit	583	-18	-568	-4	555	-18	-568	-32	-28	Part year vacant posts	-32
Risk Management	136	-0	-137	-1	153	-0	-137	17	18	Additional software costs, data cleansing and temp post funded from underspends in Procurement	30
Business Support Unit	83	0	-83	-0	84	0	-83	1	1		1
Corporate Services Training	56	0	1	57	56	-1	1	56	-1		-1
Local Taxation	895	-728	540	707	867	-727	540	679	-28	Part year vacant posts	-31
Housing Benefits Admin	1,621	-750	-562	309	1,442	-674	-562	205	-103	Part year vacant posts	-83
Housing Advances Admin	0	0	0	0	0	0	0	0	0		0
Revenues	871	-141	-825	-95	797	-96	-825	-124	-30	Part year vacant posts	-39
<b>Revenues &amp; Financial Compliance Total</b>	<b>4,764</b>	<b>-1,670</b>	<b>-2,158</b>	<b>935</b>	<b>4,369</b>	<b>-1,550</b>	<b>-2,158</b>	<b>661</b>	<b>-274</b>		<b>-220</b>
<b>Other Services</b>											
Audit Fees	361	-88	6	279	361	-88	6	279	-0		-0
Bank Charges	64	0	1	65	56	0	1	57	-9	Reduced costs due to new contract	-10
Council Tax Reduction Scheme	15,826	0	89	15,915	15,826	0	89	15,915	-0		0
Rent Allowances	46,973	-47,090	1,415	1,298	46,792	-46,915	1,415	1,293	-6		0
Miscellaneous Services	4,743	-115	1,649	6,277	4,679	-115	1,649	6,213	-64	Reduction in Pre LGR Pension Costs	6
<b>Other Services Total</b>	<b>67,967</b>	<b>-47,293</b>	<b>3,159</b>	<b>23,834</b>	<b>67,714</b>	<b>-47,118</b>	<b>3,159</b>	<b>23,756</b>	<b>-78</b>		<b>-4</b>
<b>TOTAL FOR POLICY &amp; RESOURCES</b>	<b>102,140</b>	<b>-59,852</b>	<b>-3,925</b>	<b>38,363</b>	<b>103,154</b>	<b>-61,445</b>	<b>-3,926</b>	<b>37,783</b>	<b>-579</b>		<b>-79</b>

<b>Capital Programme 2018/19</b>							
<b>Capital Budget Monitoring - Report for October 2018</b>							
	<b>Working Budget</b>			<b>Forecasted</b>			
<b>DEPARTMENT</b>	<b>Expenditure £'000</b>	<b>Income £'000</b>	<b>Net £'000</b>	<b>Expenditure £'000</b>	<b>Income £'000</b>	<b>Net £'000</b>	<b>Variance for Year £'000</b>
<b>COMMUNITIES</b>							
- Private Housing	2,180	-283	1,897	2,180	-283	1,897	0
- Social Care	444	0	444	458	-12	446	2
- Leisure	5,172	-170	5,002	5,136	-120	5,016	14
<b>ENVIRONMENT</b>	21,215	-4,395	16,820	21,246	-4,529	16,717	-103
<b>EDUCATION &amp; CHILDREN</b>	11,485	-3,513	7,972	11,571	-3,552	8,019	47
<b>CHIEF EXECUTIVE</b>	1,848	0	1,848	1,848	0	1,848	0
<b>REGENERATION</b>	4,988	-2,028	2,960	4,071	-1,111	2,960	0
<b>TOTAL</b>	<b>47,332</b>	<b>-10,389</b>	<b>36,943</b>	<b>46,510</b>	<b>-9,607</b>	<b>36,903</b>	<b>-40</b>

Mae'r dudalen hon yn wag yn fwriadol

<b>Capital Programme 2018/19</b>								
<b>Capital Budget Monitoring - Report for October 2018 - Main Variances</b>								
	<b>Working Budget</b>			<b>Forecasted</b>				
	<b>Expenditure £'000</b>	<b>Income £'000</b>	<b>Net £'000</b>	<b>Expenditure £'000</b>	<b>Income £'000</b>	<b>Net £'000</b>	<b>Variance for Year £'000</b>	<b>Comment</b>
<b>DEPARTMENT/SCHEMES</b>								
<b>COMMUNITIES</b>								
- Private Housing	2,180	-283	1,897	2,180	-283	1,897	0	No Major Variances.
- Social Care	444	0	444	458	-12	446	2	No Major Variances.
- Leisure	5,172	-170	5,002	5,136	-120	5,016	14	No Major Variances.
<b>ENVIRONMENT</b>	21,215	-4,395	16,820	21,246	-4,529	16,717	-103	
Murray Street Car Park, Llanelli	257	0	257	190	0	190	-67	Expenditure profile being planned in accordance with whole of life care plan. Funding required for future year maintenance.
Other Projects with Minor Variances	20,958	-4,395	16,563	21,056	-4,529	16,527	-36	
<b>EDUCATION &amp; CHILDREN</b>	11,485	-3,513	7,972	11,571	-3,552	8,019	47	No Major Variances.
<b>CHIEF EXECUTIVE</b>	1,848	0	1,848	1,848	0	1,848	0	No Major Variances.
<b>REGENERATION</b>	4,988	-2,028	2,960	4,071	-1,111	2,960	0	No Major Variances.
<b>TOTAL</b>	<b>47,332</b>	<b>-10,389</b>	<b>36,943</b>	<b>46,510</b>	<b>-9,607</b>	<b>36,903</b>	<b>-40</b>	

Mae'r dudalen hon yn wag yn fwriadol





Mae'r dudalen hon yn wag yn fwriadol

**2018-19 Savings Monitoring Report**  
**P&R Scrutiny Committee**  
**6th February 2019**

1 Summary position as at : 30th October 2018

£476 k variance from delivery target

	2018-19 Savings monitoring		
	2018-19	2018-19	2018-19
	Target	Delivered	Variance
	£'000	£'000	£'000
Chief Executive	453	383	70
Education & Children	810	735	75
Schools Delegated	2,326	2,326	0
Corporate Services	227	227	0
Communities	2,770	2,535	235
Environment	1,461	1,365	96
	<b>8,046</b>	<b>7,570</b>	<b>476</b>

*Target delivery for 18-19 £8,544k; Current proposals are £8,046k*

2 Analysis of delivery against target for managerial and policy decisions:

Managerial  
Policy

£476 k Off delivery target  
£0 k ahead of target

	MANAGERIAL			POLICY		
	2018-19	2018-19	2018-19	2018-19	2018-19	2018-19
	Target	Delivered	Variance	Target	Delivered	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Chief Executive	453	383	70	0	0	0
Education & Children	740	665	75	70	70	0
Schools Delegated	0	0	0	2,326	2,326	0
Corporate Services	227	227	0	0	0	0
Communities	2,455	2,220	235	315	315	0
Environment	1,351	1,255	96	110	110	0
	<b>5,225</b>	<b>4,749</b>	<b>476</b>	<b>2,821</b>	<b>2,821</b>	<b>0</b>

3 Appendix F(i) : Savings proposals not on target

Appendix F(ii) : Savings proposals on target (for information)

Department	Scrutiny Indicator	2017-18 Budget £'000	FACT FILE	2018-19 Proposed	2018-19 Delivered	2018-19 Variance	EFFICIENCY DESCRIPTION	REASON FOR VARIANCE
				£'000	£'000	£'000		
<b>Managerial - off Target</b>								
<b>Chief Executive</b>								
<b>People Management</b>								
Human Resources	P&R	760	HR provides advice and guidance to people managers as well as ensuring the Authority is compliant with employment legislation. Employment Policy framework development and maintenance. The service is currently being reviewed with a view to providing a more integrated HR/Payroll Support service to its users and meet the challenges of the Education agenda and collaborative working.	35	0	35	18/19 Severance,	Post to be released not identified to date
Learning & Development	P&R	492	The service is currently responsible for meeting the corporate, generic learning & development needs of Carmarthenshire County Council's workforce & through a strategic partnership enabling the needs of the social care sector workforce in Carmarthenshire to be met. All corporate L&D programmes are developed to meet the needs of the organisation by closely aligning with the vision, objectives & priorities of the organisation. Social care workforce development is closely aligned with WAG/Care Council/SSIW regulations, guidance & frameworks and the needs of changing service provision, in particular the integration of Health & Social Care. It coordinates the Investors in People programme supporting the organisation via the IIP Steering group to maintain IIP recognition	35	0	35	18/19 The service is being re-aligned to include the Consultancy element of the People Management function. Part of this realignment will refocus the learning and development provision across the authority with the aim of reducing training administration and delivering effective collaboration with partner agencies to provide value for money and improve the evaluation/outcomes of investment in learning.	Post to be released not identified to date
<b>Total People Management</b>				<b>70</b>	<b>0</b>	<b>70</b>		
<b>Total PM</b>				<b>70</b>	<b>0</b>	<b>70</b>		
<b>Chief Executive Total</b>				<b>70</b>	<b>0</b>	<b>70</b>		
<b>Education &amp; Children</b>								
<b>Education Services</b>								
Home Tuition / EHE	Education	1903	EHE Advisor currently paid on a daily rate. Efficiencies can be achieved by reviewing scope and magnitude of the role	10	0	10	Review EHE Advisor Post requirements & job profile	Staff illnesses has resulted in a reduction of teaching capacity, which has led to reduction of income. Review of service requirements on-going.
Educational Psychology	Education	900	The educational psychology service provides advice to schools in relation to the educational needs of children with additional learning needs.	40	0	40	Review of staffing and workloads within service	Undeliverable due to service demands
<b>Total Education Services</b>				<b>50</b>	<b>0</b>	<b>50</b>		
<b>Children's Services</b>								
Education Welfare	Education	387	The Education Welfare Service supports schools and families in securing regular school attendance. The service has been restructured to include the Young Carers service and enhance the capacity of both service areas, therefore, the reduction of one FEW post is not expected to affect the level of service provided.	25	0	25	Review of staffing structure	Review of staffing structure due to be progressed Autumn 2018. Any resulting part year impact may reduce the forecast overspend
<b>Total Children's Services</b>				<b>25</b>	<b>0</b>	<b>25</b>		
<b>Education &amp; Children Total</b>				<b>75</b>	<b>0</b>	<b>75</b>		
<b>Communities</b>								
<b>Homes and Safer Communities</b>								
<b>Care and Support</b>								
Residential Homes	Social Care	2,890	People may move into a care home because they have gradually found it more difficult to manage at home, or because an illness or accident has affected their ability to live independently. Residential care homes offer services such as laundry and meals and help with personal care. Some homes offer short-term stays but normally they provide more long-term or permanent care. As of the 30th September 2017 there were 858 clients funded in a Residential Care Home across all sectors.	175	100	75	Additional income by reshaping existing service to residential reablement and release of underutilised beds. Beds previously commissioned by Local Health Board for convalescence will be used for Social Care commissioning.	Implementation later than anticipated resulting in part year delivery of savings
<b>Divisional</b>								
Divisional Staffing costs	Community / Env&PP / SC&H	2,257	The staffing complement of Housing (Council Fund) ,Public Protection and Support & care services total nearly 400 FTE's with a pay budget of over £8M. Reviews of service provision will include staffing as part of that process, which will produce savings over the medium term. This also includes acceptance of severance requests.	160		160	Amend staff budgets to reflect vacancy factor 2018-2019 £30k; Divisional restructure to include on-going severances for Housing, Public Protection and Care & Support Services.	Divisional re-structures ongoing , with implementation not from beginning of financial year resulting in delays in savings delivery.
<b>Total Homes and Safer Communities</b>				<b>335</b>	<b>100</b>	<b>235</b>		
<b>Communities Total</b>				<b>335</b>	<b>100</b>	<b>235</b>		

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION	REASON FOR VARIANCE
		£'000		£'000	£'000	£'000		
<b>Environment</b>								
<b>Business Support and Performance</b>								
Operational compliance training	Environment & PP	604	The Policy and Performance Division provides support and advice to all sections of the Department by providing a variety of financial, administrative, democratic, business support and performance management services.	15	7	8	To generate external income through the provision of Operational compliance training.	It is currently predicted that the increased income target will not be achievable.
Business Support review	Environment & PP		A review of business support within the department is currently ongoing with a view to streamlining processes and functions.	100	47	53	Efficiencies generated as a result of the implementation of the Business support review recommendations.	No further posts identified. Vacant posts within the current structure and officers not at the top-of-grade have masked this efficiency shortfall.
<b>Total Business Support and Performance division</b>				<b>115</b>	<b>54</b>	<b>61</b>		
<b>Highways &amp; Transport</b>								
Highways & Transport division	Environment & PP	divisional budget	The Highways and Transportation services merged into a single division in August 2016. This will provide an opportunity to reduce areas of duplication.	35	0	35	Divisional review to be undertaken following the departmental re-alignment with a view to rationalising service provision.	No posts identified to date. Currently working on re-alignment of divisional structure. Efficiency shortfall covered by underspends within the division.
<b>Total Highways &amp; Transport division</b>				<b>35</b>	<b>0</b>	<b>35</b>		
<b>Environment Total</b>				<b>150</b>	<b>54</b>	<b>96</b>		

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Managerial - on Target</b>							
<b>Chief Executive</b>							
<b>Chief Executive</b>							
Chief Executive	P&R	300	Business Support function.	32	32	0	Severance as part of departmental admin review
<b>Total Chief Executive</b>				<b>32</b>	<b>32</b>	<b>0</b>	
<b>Information Technology</b>							
Information Technology	P&R	4,359	ICT Services underpins and contributes towards all that the Council delivers both internally as an organisation and externally to service users and communities, independently or in partnership. It is a vital function providing innovative opportunities for improving services and achieving our priorities in an efficient and effective way. IT Services is pivotal as an enabler of change and a vehicle for driving forward transformational improvement to all services. We have a workforce of 72.3 FTE's which has reduced over the last 5 years from 109 FTE's and we have delivered £2.08m in efficiency savings over that period. The FTE's being put forward as savings are from applications for severance and we are looking to continually rationalise the systems we support to provide further efficiencies.	135	135	0	2018/19 - Three FTE leaving under the Authorities severance scheme ( £123K ) and £12k operational costs. efficiencies
<b>Total Information Technology</b>				<b>135</b>	<b>135</b>	<b>0</b>	
<b>Regeneration, Policy &amp; Property</b>							
<b>Customer Focus &amp; Policy</b>							
Policy	P&R	465	Corporate Policy - this Service is responsible for developing and monitoring delivery of the Council's corporate policies as well as liaising and advising Council departments on ensuring compliance and implementation in a number of different policy areas including well-being of future generations, equalities, Welsh language and tackling poverty. The Service supports the Council's approach to consultation and engagement and directly facilitates and supports the Carmarthenshire Citizens' Panel and Carmarthenshire 50+ Network. The Service also works with various Council departments to provide information and data on Carmarthenshire's demographics and undertakes further research as required. The Service also facilitates the corporate response to matters relating to the Armed Forces.	35	35	0	Supporting of severance application
Marketing and Media (contact centres, press, communication, translation and customer services)	P&R	1,605	Newly formed business unit comprising of translation, marketing and tourism, contact centres, customer services, press and communications.	86	86	0	Year 1 - Supporting severance applications (£86k);
<b>Total Customer Focus &amp; Policy</b>				<b>121</b>	<b>121</b>	<b>0</b>	
<b>Total Chief Executive, IT, PMP &amp; Administration &amp; Law</b>				<b>288</b>	<b>288</b>	<b>0</b>	
<b>Administration &amp; Law</b>							
Departmental Democratic	P&R	504	The Democratic Services Unit provides a high quality procedural and administrative support service for 74 elected Councillors and is located at County Hall, Carmarthen. It provides a dedicated support service for the Chair of Council, Leader of the Council, Executive Board Members and the Leader of the Main Opposition Group to include diary co-ordination, daily correspondence, and research and information gathering.	20	20	0	2018/19 - Possible Reduction in Working hours/EVR
<b>Total Administration &amp; Law</b>				<b>20</b>	<b>20</b>	<b>0</b>	
<b>Regeneration, Policy &amp; Property</b>							
<b>Customer Focus &amp; Policy</b>							
Net Departmental Administration	P&R	456	The Unit discharges the budget monitoring and acts as the support role for the services of the department based at County Hall, i.e. Chief Executive, Regeneration and Policy and Administration and Law, together with departmental staffing functions and business support including the central mail service and the management of access to buildings under the Council's Security Card System and the issuing of identification cards.	26.5	26.5	0	2018/19 - £18k release of post as part of the departmental admin review and £8.5k reduction in supplies and services.
<b>Total Customer Focus &amp; Policy</b>				<b>27</b>	<b>27</b>	<b>0</b>	

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Regeneration</b>							
3 T's Community Regeneration	Community & P & R	297	The Community Regeneration Unit has historically supported local communities to develop projects that alleviate poverty and improve quality of life. This has been achieved through working in partnership with key agencies and community members to improve existing services and develop new ones. During 2015 we will continue to develop our new key account management system supporting new and existing social enterprises and those organisations delivering vital services in our communities, supporting them to grow and become sustainable. Another key aspect of our work is working with local authority departments to identify opportunities for the delivery of services via the third sector, from asset transfer to public sector out-sourcing. Our core grants continue to support via one discretionary enterprise finance fund. Supporting third sector led projects that make a real difference in our communities and contribute to economic outputs and outcomes and a high return on investment. We have a successful track record of sourcing external funding for our communities, leveraging in over £8 million additional community investment into Carmarthenshire per year. Our Community Bureau continues to be a one stop shop for all information and funding enquiries for community, voluntary groups and third sector as a whole, supporting over 600 organisations per year. We also administer other grants for the authority and external partners including Welsh Church Fund and Mynydd Y Betws Community Benefit Fund. We also lead on the theme of digital inclusion for the authority.	48	48	0	Severance of post. Responsibilities being re distributed as part of ongoing Regeneration service realignment.
<b>Total Regeneration</b>				<b>48</b>	<b>48</b>	<b>0</b>	
<b>Total Regeneration, Policy &amp; Property</b>				<b>75</b>	<b>75</b>	<b>0</b>	
<b>Chief Executive Total</b>				<b>383</b>	<b>383</b>	<b>0</b>	

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Update</b>							
<b>Communities</b>							
<b>Homes and Safer Communities</b>							
<b>Advice &amp; Tenancy Support</b>							
Temporary Accommodation	Community	93	The Housing Options and Advice Team receive over 30,000 calls a year for housing related advice. One of the duties of the team is to assist homeless households with rehousing. Interim accommodation is used whilst completing an investigation into our statutory duty to rehouse. B&Bs were historically used to provide temporary accommodation while the investigation was undertaken. However, this was costly and often not the most appropriate type of accommodation. We now procure/lease properties from the private rented sector which is better value and allows us to place households in appropriate accommodation. Lease contracts are currently being reviewed so that they are fit for purpose and provide better value for money. There are also identified opportunities to increase the income of the section through fees and recharges.	10	10	0	Procurement of temporary accommodation
Advice & Tenancy Support - staff	Community	174	This section helps owner occupiers, landlords and tenants to improve housing standards. This includes services such as area renewal, energy efficiency advice, general housing standards and dealing with empty homes. The 2014 Housing Act places a duty on local authorities to conduct homelessness reviews and introduce a homelessness strategy to provide help for people who are homeless or who are threatened with becoming homeless.	60	60	0	Additional income from Simple Lettings business model 30k, staffing to be part funded by a HRA recharge 15k & additional income from landlord advice and enforcement 15k in year 2018/19. Additional income from empty homes work 20k & additional income from advice and prevention duties 10k in year 2020/21.
<b>Business &amp; Consumer Affairs</b>							
Business & Consumer affairs - financial investigator	Environment & PP	632	Trading Standards enforce around 40 Acts of Parliament and over 1,000 associated regulations, which impact on all aspects of life, from the safety of children's toys, to the honesty of property descriptions when buying a home. It is responsible for ensuring that sales are carried out within the law. Trading Standards list a number of local handypersons and gardeners on the Registered Traders Scheme.	25	25	0	Additional income due as part of enforcing the Proceeds of Crime Act 2002 in relation to criminal confiscation and cash seizures.
Increase in fees / reduction in sampling budget	Environment & PP	632		10	10	0	Income from checkatrade services. Income from financial literacy products. Reduction in sampling budgets and increase in fees.
<b>Care and Support</b>							
Domiciliary Care - in-house service	Social Care	5,535	Domiciliary Care Services, also known as Homecare, provide practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of August 2017 there were 1,041 clients receiving a Domiciliary Service.	30	30	0	Due to the nature of the business, shifts have to be covered in times of staff sickness. We aim to continually reduce the annual level of sickness and therefore staffing costs making the business more efficient. We can also make further efficiencies - ie travel logistics, with better rotaring (especially following the planned IT investment).
Domiciliary Care - in-house night service	Social Care	5,535	The "Through the Night Domiciliary Service" works in conjunction with other night services providing non-medical personal care to people living in the community through the Community Alarm Service. For the period April 2016 to September 2016 the department carried out 3906 planned night calls and 355 unplanned night calls.	30	30	0	Following a joint review of the service by ourselves and the NHS, it has been agreed to deliver this service in a different way. The proposal brings together the existing service with the acute response team provided by the health service. This will lead to a better integrated service and reduce costs for both organisations.
Reablement	Social Care	1,658	The reablement service encourages service users to regain their confidence and skills following a period of illness or an injury. The programme is agreed by a multidisciplinary team and supports individuals to meet their expressed goals to return to a level of independence and includes support with daily living activities and other practical tasks. The programme may last up to 6 weeks.	126	126	0	Reduction of 200 hours / 8 posts to reflect changing service demands and alternative service provision from the NHS. These posts are currently vacant and are budgeted for.
Care and Support Management	Social Care	854	Ensuring resilient management of Care and Support services for residential homes, reablement, domiciliary care and sheltered housing services.	20	20	0	Additional recharge to the HRA following divisional restructure to increase management and usage of sheltered housing stock and too complement residential care.



Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Environmental Protection</b>							
Reduction in sampling	Environment & PP	428	Environmental protection are responsible for monitoring, by means of sampling, the shellfish beds in the Burry Inlet and Three Rivers for commercial gathering. The beds are controlled by the Natural Resources Wales for the former and Welsh Government for the latter. There is a legal requirement on the Local Authority to establish and monitor the classification of the beds to ensure that the shellfish is within a 'safe' limit (bacterial and toxin) for processing and consumption. In addition to this, the section also take food samples to routinely check that businesses are producing 'safe' foods. A single food team is being established across environmental health and trading standards which will lead to organisational efficiencies over time. Following demand from members and the public we have re-introduced pest treatments. As officers have to visit to investigate possible infestation, it makes sense to offer immediate treatments. This service will be run on a full cost recovery basis.	10	10	0	Reduction in food and other sampling costs across public protection services. Potential reduction in staffing costs following the establishment of a single food team in Environmental Protection.
Pest control	Environment & PP	75	Since the removal of the pest control service, the Public Health team have continued to give advice on pest control including means of elimination and removal of sources and harbourage. As a result of feedback on recurring pest problems in Council Home occupants, these visits have recently also include treatments for rats and mice in addition to providing advice. This will improve the health and wellbeing of the tenants	10	10	0	Increased income from pest control services
Primary authority / services income	Environment & PP	1,396	Primary Authority enables businesses to form a legal partnership with one local authority, which then provides assured and tailored advice on complying with relevant food safety, standards and health and safety that other local regulators must respect. There is a charge associated with the partnership.	6	6	0	Agreement with businesses to charge for advice from local authority
<b>Total Homes and Safer Communities</b>				<b>337</b>	<b>337</b>	<b>0</b>	

**Integrated Services**

Domiciliary Care	Social Care	5,535	Domiciliary Service, also known as home care, provides practical or personal care for someone in their own home. This could be because health or mobility is declining, or a person may have been in hospital or they have a long term health condition. As at the end of August 2016 there were 1041 clients receiving a Domiciliary Service	500	500	0	Reduce % of Double handed care to match best performing authorities' performance by March 2020. Reduce % of people receiving 4 calls+ per day. Halve the number of small packages of care by 2020. Note that scope to reduce Dom Care will be very limited by Year 3.
Divisional Supplies	Social Care	854	Services provided to Older People and Physical Disabilities client groups have non-staff controllable budgets of approx £34m. The Department has identified that some of these budgets will not have an inflationary uplift and budgets will be held at the same level as the year before.	122	122	0	No inflationary uplift.
Management & Support - staffing reductions	Social Care	854	Management and operational workforce	60	60	0	Review of staffing
Residential Placements	Social Care	10,171	Residential care is provided in local authority and private sector care homes for individuals who can no longer live independently in the community. Depending on the nature of their needs, their placement may be made by the local authority or jointly with the health board, or entirely by the health board if they qualify for free continuing health care (CHC).	145	145	0	Manage the demand from hospitals for residential & nursing placements (including CHC)
<b>Total Integrated Services</b>				<b>827</b>	<b>827</b>	<b>0</b>	

**Learning Disabilities**

Residential Care, Supported Accommodation and Community Packages - reshaping and re-providing services	Social Care	6,596	People may move into a care home because they have gradually found it more difficult to manage at home, or because an illness or accident has affected their ability to live independently. Residential care homes offer services such as laundry and meals and help with personal care. Some homes offer short-term stays but normally they provide more long-term or permanent care. As of the 30th September 2016 there were 185 Mental Health & Learning Disability clients funded in a Private Residential Care Home. Supported living is a type of residential support that helps vulnerable adults, including people with learning disabilities, to live independently in the community. Supported living arrangements are very flexible and are designed to give each person choice and control over their home and the way they live their life. As of the 30th September 2016 there were 146 Mental Health & Learning Disability clients receiving supported accommodation.	482	482	0	Reviewing and right sizing of residential placements; Stepping down to supported living/Shared Lives; De registration of residential homes to supported living; Collaborative funding opportunities; Recommissioning; Positive behavioural interventions; alternative community provision; costing model in residential care; right sizing of individual packages; implementation of capped rate; maximising potential of assistive technology; releasing time to care; Ordinary Residence issues.
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Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
Judicial Services	Social Care	2,845	During the review and transformation of MH&LD day services we have identified individuals who are spending a long time on transport, at times travelling across the county past services which could support their needs. The current arrangement incurs increased costs and can have a detrimental effect on the person due to th length of time on transport. We will review those identified and propose alternative shorter and mor efficient arrangments which may include individuals having to use their own transport funded by their mobility allowance.	20	20	0	Undertake review of transport in day services with view to establishing more cost effective arrangements
Direct payments	Social Care	1,306	Citizen directed co-operatives are a key theme of the SS&WA. The use of direct payments allows individuals to have more independence when deciding how they achieve what is important to them and increases the oportunity for them to have their support needs met within their community and maximise their own assets. We are currently changing the focus of day services in order to provide more specialist outcome focussed interventions, this will include moving some individuals on from the building based services and into the community with their support being tailored to their specific outcomes and supported via direct payments. Where appropriate at this will include pooled payments based on communities of interest in order to ensure effective use of funds.	48	48	0	Develop social enterprise, citizen centred cooperatives for Direct payments
Divisional Staffing	Social Care	383	An ammended divisional structure will be developed for agreement viathe appropriate process to deliver the new service proposals, with less building based services and a change in function we envisage there will be a reduction in managerial posts.	100	100	0	Divisional staffing costs linked to above Service proposals, ongoing severences and Divisional restructure
<b>Total Learning Disabilities</b>				<b>650</b>	<b>650</b>	<b>0</b>	
<b>Support Costs</b>							
Commissioning Team	Social Care	1,181	The service provides business support for Social Care. The functions include payment of creditors, management of transport and premises; the assessment and collection of income for residential and non residential services; and general business support	47	47	0	Reduction in staffing
Support Services	Social Care	1,673	The service provides business support for Social Care. The functions include payment of creditors, management of transport and premises; the assessment and collection of income for residential and non residential services; and general business support	79	79	0	Supplies and Services (Postage + tel + meetings) £34k, Transport £12K , Staffing - part time reduced hours £13k, staffing - re-structure
<b>Total Support Costs</b>				<b>126</b>	<b>126</b>	<b>0</b>	

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	

**Leisure****Outdoor Recreation**

Burry Port Harbour	Community	24	Burry Port Harbour has huge potential to develop even further as a key tourist attraction in the middle of Carmarthen Bay and as part of the regeneration plan for the harbour area. With 350 pontoons and direct access to the Wales Coastal path, it forms part of the 17Km Millennium Coastal Park situated between Llanelli Town and Pembrey Country Park. The Harbour forms part of a necklace of such facilities around the coast of Wales.	55	55	0	Reduction in net cost of dredging
Pembrey Country Park	Community	57	Pembrey Country Park has been transformed into one of Wales's top visitor attractions providing a unique blend of coast and countryside. Eight miles of golden sands set against the spectacular backdrop of the Gower Peninsula and overlooking the coastal scenery of Carmarthen Bay. There is everything from caravan and camping (with electric), 550 acres of idyllic woodlands, 130m long dry ski slope to the longest toboggan run in Wales - all providing a perfect family day out or a relaxing holiday in idyllic surroundings.	30	30	0	Increased Income (charges / car park / accommodation / F&B)
Waste Management procurement	Community	57	Our Country Parks generate significant quantities of waste, especially at the caravan and campsite in Pembrey. Whilst every effort is made to minimise and re-cycle waste, there are opportunities to be more efficient in this area	5	5	0	Review of cesspool emptying contracts

**Sport & Leisure**

Leisure Centres	Community	682	There are 6 Leisure Centres in Carmarthenshire. Llanelli, Carmarthen, Ammanford, Llandovery, St Clears and Newcastle Emlyn. A variety of activities at your local leisure centre such as; Swimming, Diving, Canoeing, Fitness, Cycling, Badminton, Tennis, Table Tennis, Squash, Hockey, Netball, Basketball, Football, Rugby, Cricket, Gymnastics, Birthday Parties, Holiday programmes. There were over a million visits to the Leisure facilities in 2015/2016.	40	40	0	Increased income (higher charges / more activity)
Salix loan ending (for energy saving initiatives)	Community	682	Salix funding has been secured to invest in more energy efficient lighting and the installation of swimming pool covers to reduce overnight heat loss at our leisure centres	9	9	0	Additional income as a result of Invest to Save funding for energy saving initiatives. Last repayment to the Development Fund due in 2017-2018
Dinefwr Bowls	Community	10	The Indoor Bowls in Ammanford is being managed by a local management board on a reducing subsidy from the authority.	5	5	0	Last year of reducing subsidy
Bro Myrddin Bowls	Community	8	Bro myrddin Bowls Centre transferred to the local Authority in approx 2004, reason being the management committee could no longer sustain the costs on operating the centre. After a number of years within Leisure services we negotiated an asset transfer back to the members(2015) whom created a trust with charitable status enabling opportunities to draw down grants etc. part of the agreement is a reduced subsidy over three years. We are now in the second year and accounts from the club show excellent results. The club membership is growing and signs that long term sustainability is achievable!	5	5	0	Last year of reducing subsidy

**Culture**

Theatres	Community	800	Carmarthenshire Theatres manages the Miners' Ammanford, Lyric Carmarthen and Ffwrnes Llanelli and promotes a broad range of events and productions throughout the year for all age groups and interests. During 2015/16 a total in excess of 100,000 paying customers and participants visited and made use of our facilities. As Carmarthenshire's flagship theatre The Ffwrnes is increasingly establishing itself as one of Wales's leading Cultural and Entertainment venue. 266 visiting companies and hirers 400 performances/events 74,130 attendances 33,805 participants	11	11	0	Reduced agency costs & Increased income in Theatres
Tudalen Libraries	Community	2,337	Carmarthenshire libraries provides an extensive choice of books, DVDs, CDs, online services, newspapers and magazines, there is something for everyone! With over half a million books on offer between 3 regional, 13 branch and mobile libraries you're never too far away from a centre of knowledge. Public access computers and Wi-Fi are available at all libraries. Keeping up to date with technology there is now the Playstation 4 available at Llanelli, Carmarthen and Ammanford library. Carmarthenshire is the 4 <sup>th</sup> busiest Library in Britain. There have been over 600,00 issues of books per year. Promoting a new mobile service in January 2017 as an out of reach service, linking up with various partners to deliver this new service.	20	20	0	Procurement, cost efficiencies and income

**Total Leisure**

180 180 0

**Communities Total**

2,120 2,120 0

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Education &amp; Children</b>							
<b>Director &amp; Strategic Management</b>							
Departmental - cross cutting	Education		Casual travel budgets across the Department	25	25	0	Reduce all casual mileage budgets by approximately 5% per annum through better journey planning, challenging the need for journeys, and utilising cheaper travel options including use of pool cars
Departmental - cross cutting	Education		Budgets for supplies & services across the Department	75	75	0	Reduce budgets for supplies and services across the Department (e.g. training, stationery)
Director & Management Team	Education	788	Funds the management team including Director, Heads of Service & 2 senior management posts	30	30	0	Reduction in senior management costs
Business Support	Education	448	The central Business Support Team provides a range of support services to the Department and Building 2 at St. David's Park, Carmarthen. This includes reception duties, management of meeting rooms, management of pool cars, processing applications for Free School Meals, School Milk, and School Clothing Grants, and processing creditor payments across the Department	50	50	0	Release of 4 staff members through severance.
<b>Total Director &amp; Strategic Management</b>				<b>180</b>	<b>180</b>	<b>0</b>	
<b>Curriculum &amp; Well-being</b>							
Participation	Education	122	A team of 2 that leads on the statutory duty for children and young people to participate in decisions that affect them, including support for the Carmarthenshire Youth Council & School Councils, as well as promoting awareness of the United Nations Convention on the Rights of the Child	10	10	0	Remodel of service following resignation of staff member
Youth Support Services	Education	840	YSS is an integrated service bringing together youth work and statutory youth justice provision. This allows for an holistic approach to youth support delivery for children, young people and young adults (aged 8-25 years) in Carmarthenshire, ranging from open access to specialised support.	40	40	0	Transfer some posts from core to grant funded; make others term-time only.
<b>Total Curriculum &amp; Well-being</b>				<b>50</b>	<b>50</b>	<b>0</b>	
<b>Access to Education</b>							
Catering Services	Education	817	The management & administration of school meals income and banking	45	45	0	Provide the ability for parents to pay online for school meals (& other school payments, e.g. trips). Introduce electronic meal registers in primary schools, removing paper based systems. The changes will likely mean the removal of the School Meal Clerical role.
<b>Total Access to Education</b>				<b>45</b>	<b>45</b>	<b>0</b>	
<b>Education Services</b>							
Education Systems	Education	688	The Education Systems Team support services across the department, including grant administration.	25	25	0	Reduction of match-funding requirement for EIG
Strategic Management	Education	161	Supports statutory & strategic education requirements - WJEC, ADEW contributions, legal fees, Copyright licence	10	10	0	Reductions across supplies & service areas - printing & postages (documents accessed on line), meetings expenses & reduced demand on legal costs.
Early Years Education	Education	469	This budget funds the statutory requirement to fund '10 hours free education' in non-maintained settings for 3 year olds.	30	30	0	Reduce budget as a result of reduced demand, forecasting underspend in current year
Early Years Education	Education	469	The Early Years Team provides support and guidance provision for all Carmarthenshire non-maintained settings.	30	30	0	Reprioritising part of Education Improvement Grant from Early Years Advisory Teachers to Athrawon Bro. Restructuring of the service could provide additional support for the development of our Welsh continuum via the Athrawon Bro Team.
<b>Total Education Services</b>				<b>95</b>	<b>95</b>	<b>0</b>	

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	

**Children's Services**

Independent Reviewing Service	Education	6,468	The Independent Reviewing Officers review all children in the care of the local authority. This is a statutory role required under regulation	60	60	0	Remodel of Independent Reviewing Service. Management responsibility for the service has been remodelled and as a result the manager of this service has been redeployed and the reviewing officers now directly report to the service manager.
Children's Services	Education	6,468	There is potential to utilise grant funding; particularly in relation to Supporting People, to offset core funded activity	235	235	0	Make better use of existing grants in care services (support for care leavers etc). Additional funding to be drawn down from Supporting People. Maximise the benefit of current year grants being transferred into RSC.
<b>Total Children's Services</b>				<b>295</b>	<b>295</b>	<b>0</b>	

**Education & Children Total****665 665 0****Corporate Services****Financial Services**

Accountancy	P&R	1,410	The provision of a decentralised accounting and financial management service, covering: • Technical Accounting (Preparation of final accounts, corporate accounting and taxation), • Management Accounting (Month end close, maintenance of financial records and budgeting) • Strategic Finance functions (projects, planning and financial advice to members)	70	70	0	2018-19 £30k Acc Technician post, £20k reduction in external audit fees £20k reduction in subscriptions;
Revenues & Benefits	P&R	2,559	Revenue Services Unit is responsible for the administration, billing and collection of Council Tax and Non Domestic Rates from householders and businesses, respectively. The unit also undertakes on behalf of all the Authority's departments, the billing, collection and recovery of miscellaneous income (known as Sundry Debts) as well as having responsibility for operating the Councils full time cash offices with the associated back-office income functions. In addition to these income collection functions, the unit is responsible for the administration of Council Tax Reduction scheme (formerly Council Tax Benefit) and Housing Benefit schemes which help low income households meet their rent and /or Council Tax. Although the Housing Benefits Fraud Investigation function formerly undertaken within the unit transferred the Department for Work & Pensions in 2015/16 the service continues to investigate Council tax Reduction fraud	10	10	0	2018-19 £10k misc budgets;
Pensions / NI / AVC	P&R			100	100	0	Savings on NI contributions re:AVCs
<b>Total Financial Services</b>				<b>180</b>	<b>180</b>	<b>0</b>	

**Audit, Risk & Procurement**

Audit & Risk	P&R	719	The Division's costs are primarily made up of staffing costs: Head of Division (1 FTE), Internal Audit (10.8 FTE), Risk Management / Insurance (3 FTE), Corporate Procurement Unit (8.8 FTE) and Corporate Services Business Support Unit (2.6 FTE). Audit Committee have made consistent representations to maintain and strengthen the Internal Audit provision which currently stands at 10.4 FTE. The Risk Unit maintains the Corporate Risk Register and co-ordinates the approach to Risk Management across the Authority whilst also dealing with the Authority's Insurances (including management of all "live claims" made against the Council by employees and the public). The Corporate Procurement Unit supports Departments in ensuring cost effective legally compliant procurement of the array of goods and services utilised by the Authority's various Services (savings emanating from better procurement are shown in Departmental savings). Finally a small Departmental Business Support Unit supports the whole Corporate Services Department's approach to Performance & Development work and the range of administrative requirements including FOIA and Appraisal Monitoring.	40	40	0	2018-19
Procurement	P&R	509		7	7	0	Structure Realignment
<b>Total, Audit Risk &amp; Procurement</b>				<b>47</b>	<b>47</b>	<b>0</b>	

**Corporate Services Total****227 227 0**

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Waste &amp; Environmental Services</b>							
46 Waste Treatment	Environment & PP	6,226	Targeted campaigns to reduce waste and increase the awareness and use of all recycling schemes/initiatives. It is anticipated that this will result in the diversion of waste from the residual waste stream to the recycling waste stream, thereby realising savings due to the differential in the treatment costs as set out.	70	70	0	Year One: Diversion from Residual Waste to Food Recycling @ 550t - gate fee saving = £26k Diversion from Residual Waste to Blue Bag Recycling @ 550t increase - gate fee saving = £18k Diversion from Residual Waste to Green/Garden Waste Recycling @ 375t increase - gate fee saving = £26k Year Two: By undertaking waste minimisation activities realising an overall reduction in Residual Waste by 500t saving = £53k Further diversion of food waste @ 500t - gate fee saving = £24k Further diversion into Blue Bag Recycling @ 350t - saving = £11k Further diversion to Green/Garden Waste @ 175t - saving = £12k
Closed landfill Sites	Environment & PP	231	We currently manage the ongoing aftercare at two former refuse landfill sites - Nantycaws (Phase 1) in Carmarthen and Wern Ddu in Ammanford. This includes dealing with leachate from the landfill sites and ensuring the adequacy and functionality of the infrastructure, including drainage systems.	30	30	0	Negotiation of reduced licensing fees and reduced effluent disposal costs will realise savings.
Enforcement	Environment & PP	551	The Environmental Enforcement section is responsible for providing enforcement activity in relation to environmental crime. This includes matters relating to dog fouling, litter, fly tipping, waste carrier offences, domestic and business waste offences, abandoned vehicles, anti-social behaviour for example graffiti, highways offences and skips and scaffolding. Enforcement is effected by means of formal notices, fixed penalty fines and prosecutions.	11	11	0	Review, procure and tender the abandoned vehicle service collaboratively working with Fleet Management. Review current pool and lease car arrangements with the aim of reducing the number. Abandoned Vehicle Saving - £7,175 Removal of Lease Car Saving - £4,000
Flood Defence and Coastal	Environment & PP	335	Overall management and delivery of arrangements to ensure the integrity of assets associated with mitigating risks associated with flooding and coastal erosion.	30	30	0	Reduction in minor improvement works programme - this can be accommodated since investment over previous years has addressed main flooding issues. Funding will continue to be required to maintain assets and to upgrade defective culverts.
Communications expenditure reduction	Environment & PP		This budget is allocated to raise awareness and education of the waste services operating to Carmarthenshire residents. Promoting the kerbside dry recycle and food waste service, home composting, bring sites and household waste recycling centres. Advising on methods of re use and waste minimisation. Information in various formats have been used to encourage participation in various schemes in order to assist with the overall objective of meeting our recycling targets.	30	30	0	By bringing more focus to specific messages and target audiences, following the corporate vision of channel shift and taking advantages of the changes within the communication method medium, we can further enhance communication at a reduced cost. Social media and website based data provision can be used further as a method of focussed communication. Developing and enhancing relationships with established volunteer community groups and non-government organisation and linking in on their social media platforms to expand on capturing our target audience will reduce the requirement/ frequency of using traditional communication methods. Cutting back on printed materials and streamlining information leaflets will also reduce print re
Grounds - Plant rationalisation	Environment & PP	1,364	The Grounds Maintenance Section incorporates the direct responsibility of managing and maintaining parks and playgrounds, inland water areas and a large number of public open spaces within Carmarthenshire. It also maintains grounds for many other departments of the County Council such as Social Care and Housing, Cultural Services, Education and many individual schools. The Section also has numerous external clients such as Town and Community Councils and private sports clubs.	18	18	0	Review of Fleet Replacement Programme - due to the success of the Community Asset Transfer programme and resulting rationalisation of plant, some items of plant due for renewal within the Fleet Renewal Programme can be disposed of instead of replaced.
Grounds - management of open water facilities - B line provision	Environment & PP	as above	The Grounds Unit is responsible for some inland water areas. Part of this responsibility is to ensure that where buoyancy aid type safety equipment (floatation B-Lines) are installed, that these are maintained and are fit for purpose. Installation and equipment type depends on the specific risk assessment that is undertaken on each site.	7.5	7.5	0	The buoyancy aid B Lines that are installed often get damaged or stolen. There is an ongoing cost to replace these items. We will source a different product that meets our requirements for a reduced cost.
Asset Transfers - Parks	Environment & PP	as above	Transfer of Parks, Playgrounds and Amenity areas to Town Councils, Community Councils or Sports organisations.	141	141	0	Reduction in maintenance costs following the transfer of assets to Town/Community Councils or sports organisations.

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
Review of Divisional structures	Environment & PP	divisional budget		30	30	0	Divisional review to be undertaken following the departmental re-alignment with a view to rationalising service provision.
PCs and Cemetery	Environment & PP	514	Operation and maintenance of Public Conveniences and Ammanford Cemetery facility..	10	10	0	Review of general expenditure budgets
<b>Total Waste &amp; Environmental Services</b>				<b>377.5</b>	<b>377.5</b>	<b>0</b>	

**Highways & Transport**

General - Public & School Transport	Environment & PP	8,681	The County Council provides financial support to enable the provision of socially necessary bus and community transport services where the commercial sector does not provide. Over 1.07 million passenger journeys are supported each year. The bus network covers 13,658 kms every day. 55% of the overall network is subsidised to sustain access for communities. The Authority also provides school and college transport for 8,258 pupils/students who are eligible for assistance. Circa 3.07 million passenger journeys per annum are undertaken on the school/college network of services.	150	150	0	Supply chain efficiencies across transport networks. We will continually review routes to ensure the most efficient routing and loading of vehicles is achieved. Demand will also be managed in accordance with the Authority's statutory obligations and policy on provision. Routes will also be subject to competition.
Highway Lighting	Environment & PP	1,267	The County Council provides and maintains Street Lighting to help facilitate the safe movement of goods and people. There are over 19,700 columns across the highway network with a further 800 units located on industrial estates and car parks. The street lighting network is currently being modernised via a 3 year investment plan. LED lamps are replacing the previous Sodium lamps.	107	107	0	Saving in energy consumption arising from investment in LED lighting and installation of timers for part night illumination. WG invest 2 Save funding has been approved. Proposal is to reduce operating costs without turning lights off. Delivery programme is underway.
Highways	Environment & PP	8,047	The County Council maintains 3,371 km of highway in Carmarthenshire. It is the second largest length of highway network in Wales. The Authority has a statutory duty to maintain the network to a safe standard to facilitate the safe movement of goods and people. Over fifty five percent of the Gross Expenditure relates to supplies and services.	292	292	0	Improving the efficiency across the supply chain from supplies and services, programme management and works delivery.
Civil Design	Environment & PP	-432		80	80	0	Reconfigure Design to increase income
<b>Total Highways &amp; Transport division</b>				<b>629</b>	<b>629</b>	<b>0</b>	

**Property**

Admin Buildings	Environment & PP	2,426	Energy, rates, telephone and cleaning costs for Administrative Office buildings including St David's Park	121	121	0	Savings on the running costs of the Council's office accommodation following vacation and subsequent sale or rental( In 18/19 this was as a consequence of agile working).
Industrial Premises	Environment & PP	-922	Rental income and costs of managing the Council's 400 industrial units, which provide business accommodation supporting 2,000 jobs in the County	5	5	0	Above-inflation increase in income target
<b>Total Property division</b>				<b>126</b>	<b>126</b>	<b>0</b>	

**Planning**

Development Management	Community	141	<ul style="list-style-type: none"> <li>The Development Management Unit manages the statutory planning application process (including pre application and also discharge of conditions and variation of conditions post approval).</li> <li>The Unit deals with between 1700 and 1900 applications on average each year (roughly 150 cases per annum per Officer).</li> <li>The unit is also responsible for planning enforcement matters including enforcement of planning conditions and unauthorised development and built conservation matters, dealing with roughly 500 cases per annum.</li> </ul>	30	30	0	2018/19 - £20k : Savings have been identified in terms of staffing. This is part of an overall reconfiguration of posts within the Development Management section aimed at improving resiliency and efficiency and re-targeting of resources within the Business Unit; £10k : predicted income from new Pre-application service (new fee system yet to be agreed through Council).
Highways & Waste	Community	137	<ul style="list-style-type: none"> <li>The Team ensure that the significant aggregate resources and waste within Carmarthenshire are provided and managed in a sustainable way.</li> <li>This involves dealing with applications for waste and mineral workings/sites, dealing with enforcement matters relating to such sites and also required monitoring regarding the operation of such sites.</li> <li>The Team also undertake the above functions in whole or in part for another 7 Local Authorities in South and West Wales.</li> </ul>	15	15	0	Increase in income through various Service Level Agreements coupled with some savings to be made through reconfiguration of the Team which is aimed at improving the resilience of the Team going forward.

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
Judalen 48 Forward Planning	Community	435	<ul style="list-style-type: none"> <li>• Division responsible for producing and assisting with implementation, including on-going monitoring of plan delivery and on-going revision of evidence base, of all development plans which cover or part cover Carmarthenshire.</li> <li>• These plans include:               <ul style="list-style-type: none"> <li>o Spatial plans – the potential for plans to span more than one (or part of) County in future years</li> <li>o the Local Development Plan which was adopted for Carmarthenshire in 2014 and</li> <li>o Development Briefs for specific sites and areas - a number of which have now been produced for the County.</li> </ul> </li> <li>• Also involved in assisting with development and or disposal of Council owned land/property in relation to advising on potential uses and providing consultancy role regarding preparation of planning applications on behalf of the Council.</li> </ul>	6.5	6.5	0	General savings identified within the Forward Planning budget which are not related to the production of the LDP.
Rural Conservation	Community	287		5	5	0	2018/19 - Predicted income from new Common Lands procedure for charging titles etc.
Planning Admin.	Community	365		6	6	0	2018/19 - Increase in Street name and numbering income.
Building Control	Community	139		5.5	5.5	0	Dangerous structure - temporary payments are dealt with
<b>Total Planning division</b>				<b>68</b>	<b>68</b>	<b>0</b>	
<b>ENVIRONMENT</b>				<b>1,201</b>	<b>1,201</b>	<b>0</b>	



Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	

### Policy - on Target

#### Communities

##### Learning Disabilities

Day Services - Opportunities	Social Care	2,845	LD & MH day services currently provide support for approximately 300 individuals across the county utilising 9 different sites. The services provide opportunities for individuals to receive therapy, maintain their health and wellbeing, gain skills, socialise whilst also providing respite for carers. The review of day services has highlighted the need to develop community options and specialist services which will see a decline in the use of building based services. This will provide opportunities for us to develop intergenerational services in partnership with adult services within Coleshill and Manor rd and vacate the premises at Crosshands.	285	285	0	Development and re-commissioning services jointly with Older People's Services, the third sector and leisure (currently working on options appraisal)
Day Services - Complex Needs	Social Care	2,845	Complex needs day services provide support for individuals with profound and multiple disabilities often requiring 1-1 support. We currently rely on external domiciliary care agencies to provide the 1-1 support for some individuals due to a lack of capacity within the services existing budgets. With movement of individuals into community support options there will be increased capacity within building based services which will replace the third sector provision.	30	30	0	Review third party provision within complex needs day services

#### Communities Total

**315      315      0**

#### Education & Children

##### Education Services

Delegated school budget	Education	108,746	<ul style="list-style-type: none"> <li>This is the budget delegated to every school under the Fair Funding formula.</li> <li>The budget is to meet all the costs associated with running a school e.g. staff costs, premises costs, SEN specialist support, Service Level Agreements for specific services such as HR, IT, legal, grounds maintenance, music.</li> </ul>	2,326	2,326	0	<ul style="list-style-type: none"> <li>The 2018/19 proposal is to provide a cash neutral budget to schools</li> <li>Reduced school budgets will lead to schools having to review their spending and to consider staffing levels, class sizes and provision.</li> <li>To manage this level of reduction there will be an impact on staffing as a high % of a school's budget is used to employ staff.</li> <li>Schools have been encouraged to work in partnership and collaborate with other schools in matters of resourcing and employment, however the scale of the budget reduction will result in some redundancies.</li> <li>Reduced provision could impact on the high standards achieved by Carmarthenshire's learners and the support provided for vulnerable/disabled pupils.</li> </ul>
<b>Total Education Services</b>				<b>2326</b>	<b>2326</b>	<b>0</b>	

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	
<b>Access to Education</b>							
Catering Services - School Meals	Education	817	<ul style="list-style-type: none"> <li>The Catering Service provides school meals in all Carmarthenshire primary schools and 11 of our 12 secondary schools.</li> <li>The budget, including primary breakfasts, is some £7.7m. Taking account of income and Welsh funding for Free School Meals, the net cost of the service to the Council is in excess of £200k, not including indirect costs (such as Human Resources support, Health &amp; Safety support etc).</li> <li>Based on current charges for a primary school meal, Carmarthenshire prices are the joint highest in Wales.</li> <li>Costs have been saved over recent years by reducing staffing levels and smarter purchasing of food and other supplies.</li> </ul>	70	70	0	<p>Increase the cost of a primary school meal price to £2.60* in April 2018, £2.70 in April 2019 and £2.80 in April 2020. There will be similar increases in charges for food in secondary schools.</p> <p>The price has increased by 10p per meal for several years in a row. There has been a reduction in the number of children having school meals following recent price increases. In addition to this a £50k efficiency has been included in year 2 for the introduction of a charge for the care element of primary school breakfast provision (more work needed to model potential income and costs of collection). *The school meal price will increase by 2.3% inflation taking it to £2.56 in 2018-19 therefore the efficiency proposal is 4p per meal</p>
<b>Total Access to Education</b>				<b>70</b>	<b>70</b>	<b>0</b>	
<b>Education &amp; Children Total</b>				<b>2,396</b>	<b>2,396</b>	<b>0</b>	

Total  
 50

Department	Scrutiny Indicator	2017-18 Budget	FACT FILE	'2018-19 Proposed	'2018-19 Delivered	'2018-19 Variance	EFFICIENCY DESCRIPTION
		£'000		£'000	£'000	£'000	

**Environment**

**Waste & Environmental Services**

Clinical Waste Collections	Environ-ment & PP	221	The collection of hygiene waste is currently undertaken by a third party contractor. The contract comes to an end in November 2018. Hygiene waste includes the following types of waste: Items used to dispose of urine, faeces and other bodily secretions or excretions including: Disposable bed pans Bed pan liners Incontinence pads Stoma bags Urine containers This type of waste can be placed in black bags.	54	54	0	This waste is suitable for collection as part of our residual black bag waste collection service. The proposal is to terminate the current contract and co-collect with our domestic waste at the kerbside. There will be a loss of recycling to the extent of approximately 0.25%.
Age Cymru	Environ-ment & PP	20	Since 2011 the Authority has had a service level agreement in place with Age Cymru to assist with access to recycling facilities in the County. The main focus was to provide recycling information and assist with glass collection from the domestic residency to a nearby recycling centre by age cymru volunteers.	11	11	0	Our Contact Centre and Customer Service centre have all the information required to fully inform residents of their requirements either by face to face, telephone or leaflets and electronic methods of communication. We also have community recycling advisors who would be able to visit if the resident could not use the aforementioned facilities. This element of the SLA is fully covered in house. In respect of the glass recycling we have recycling facilities at over 150 recycling centres across the county and many are located at supermarket locations where residents carry out their daily/ weekly grocery shop and would allow for residents or their carers/ aids to take one or two items on frequent basis allowing for ease of disposal. The service currently has 52 clients and currently operating at approx.£350/client.
Grounds - at Town Hall	Environ-ment & PP	20	At Town Hall Grounds in Llanelli successional bedding has always been planted i.e. Spring Bedding and Summer Bedding.	10	10	0	We propose to cease with the supply and planting of Spring Bedding, leaving the bed fallow. Non planting of spring bedding will result in colourful flower displays through the summer months only.
<b>Total Waste &amp; Environmental Services division</b>				<b>75</b>	<b>75</b>	<b>0</b>	

**Highways & Transport**

Searches	Environ-ment & PP	0	The Strategic Planning and Infrastructure unit processes local land searches to identify the status of land in terms of designation and any potential highway implications, maintaining records of highways schemes and new requests for infrastructure.	10	10	0	Searches charges
Highways	Environ-ment & PP	8,047	The County Council maintains 3,371 km of highway in Carmarthenshire. It is the second largest length of highway network in Wales. More than 6,000km of grass verges throughout Carmarthenshire are cut every year. The council has a statutory duty to maintain the highway in a safe condition for motorists and pedestrians. Generally one cut is carried out a year, however, a second cut may be needed in some areas in early September, depending on weather and growing conditions throughout the season.	25	25	0	Reduction in frequency of verge mowing
<b>Total Highways &amp; Transport division</b>				<b>35</b>	<b>35</b>	<b>0</b>	

<b>Environment Total</b>				<b>110</b>	<b>110</b>	<b>0</b>	
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Mae'r dudalen hon yn wag yn fwriadol

**PWYLLGOR CRAFFU POLISI AC ADNODDAU  
6<sup>ed</sup> CHWEFROR 2019**

**POLISI A STRATEGAETH RHEOLI'R TRYSORLYS  
2019-20**

**Ystyried y materion canlynol a chyflwyno sylwadau arnynt:**

- Ystyried cynnwys yr adroddiad oherwydd y bydd rôl craffu'r Pwyllgor ynghylch gweithgarwch a swyddogaeth yn ystod y flwyddyn nesaf, wedi ei selio ar y wybodaeth yma.

**Rhesymau:**

- Er mwyn cydymffurfio â Chôd Ymarfer diwygiedig CIPFA o ran Rheoli'r Trysorlys a Chôd Materion Ariannol CIPFA 2017.
- I gymeradwyo Strategaeth Rheoli'r Trysorlys ar gyfer 2019-20, sy'n cynnwys Dangosyddion Rheoli'r Trysorlys, Dangosyddion Darbodus, y Datganiad MRP a'r argymhellion sydd ynddynt.

**Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad: OES**

Bwrdd Gweithredol ar y 4<sup>ydd</sup> Chwefror 2019  
Cyngor Llawn ar y 20<sup>fed</sup> Chwefror 2019

**Aelod y Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:**

Cyng. David Jenkins (Adnoddau)

<b>Y Gyfarwyddiaeth:</b> Gwasanaethau Corfforaethol	<b>Swyddi:</b>	<b>Rhifau Ffôn / Cyfeiriadau E-Bost:</b>
<b>Enw Pennaeth y Gwasanaeth:</b> Chris Moore	Cyfarwyddwr Gwasanaethau Corfforaethol	Rhif ffôn: 01267 224120; E- bost: CMoore@sirgar.gov.uk
<b>Awdur yr Adroddiad:</b> Anthony Parnell	Rheolwr Pensiwn a Buddsodiadau Gyllidol	Rhif ffôn: 01267 224180; E- bost: AParnell@sirgar.gov.uk

# EXECUTIVE SUMMARY POLICY & RESOURCES SCRUTINY COMMITTEE 6<sup>TH</sup> FEBRUARY 2019

## Treasury Management Policy and Strategy 2019-20

### BRIEF SUMMARY OF PURPOSE OF REPORT.

The attached report provides members with a copy of the proposed Treasury Management Policy and Strategy 2019-20.

As per the revised CIPFA Code of Practice on Treasury Management 2017, the Council is required to maintain a Treasury Management Policy which states the policies and objectives of the Authority's treasury management activities and to have its Treasury Management Policy & Strategy approved annually before the start of the financial year.

Section B (1.1 (Clause 4)) in the attached Treasury Management Policy and Strategy 2019-20 nominates the Policy and Resources Scrutiny Committee to be responsible for ensuring effective scrutiny of the treasury management strategy and policies.

Section D (9) of the strategy includes a section on member and officer training. This Council has addressed this important issue by:

- Reviewing the treasury management function and ensuring officers are suitably qualified
- Arranging external training for officers
- Arranging on-going training for those members charged with governance of the treasury management function

This strategy was considered by the Executive Board on 4<sup>th</sup> February 2019 and is brought to this Committee before consideration at County Council on 20<sup>th</sup> February 2019.

The Treasury Management Policy and Strategy 2019-20 and the appendices are attached.

<b>DETAILED REPORT ATTACHED?</b>	<b>YES</b>
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## IMPLICATIONS

**I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.**

**Signed:** C Moore      **Title** Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>

### 1. Policy, Crime & Disorder and Equalities

Council has adopted the revised CIPFA Code of Practice on Treasury Management 2017, one of the requirements is that an annual Treasury Management Policy and Strategy be approved by Council before the commencement of the year to which it relates.

### 2. Legal

Under the Local Government Act 2003 and the revised CIPFA Code of Practice on Treasury Management 2017, local authorities must set out their Treasury Management Indicators that relate to the Authority's capital spending and its borrowing.

### 3. Finance

The Treasury Management Policy and Strategy details the procedures that the Authority adheres to in managing its treasury management function.

Interest paid and earned has a direct impact on the Authority's Revenue Budget. The estimated projections are included in the Budget which is to be presented to Council on 20<sup>th</sup> February 2019.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: C Moore Title Director of Corporate Services

1. Local Member(s) – N/A

2. Community / Town Council – N/A

3. Relevant Partners – N/A

4. Staff Side Representatives and other Organisations – N/A

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

#### THESE ARE DETAILED BELOW

Title of Document	File Ref No. / Locations that the papers are available for public inspection
CIPFA – Treasury Management in the Public Services – Code of Practice – Revised	Corporate Services Department, County Hall, Carmarthen
The Local Government Act 2003	<a href="http://www.legislation.gov.uk/ukpga/2003/26/contents">http://www.legislation.gov.uk/ukpga/2003/26/contents</a>
Guidance issued by the Welsh Assembly	Corporate Services Department, County Hall, Carmarthen
CIPFA – Prudential Code for Capital Finance in Local Authorities – Revised	Corporate Services Department, County Hall, Carmarthen

Mae'r dudalen hon yn wag yn fwriadol



**POLICY AND RESOURCES SCRUTINY COMMITTEE**  
**DATE: 6<sup>th</sup> FEBRUARY 2019**

**REPORT OF THE DIRECTOR OF CORPORATE SERVICES**

**TREASURY MANAGEMENT POLICY AND STRATEGY 2019-2020**

**A. INTRODUCTION**

This Council carries out its treasury management activities in accordance with the Prudential Code of Practice first developed for public services in 2002 by the Chartered Institute of Public Finance and Accountancy (CIPFA). This Code was last revised in 2017. The Council also carries out its treasury management activities in accordance with the CIPFA Treasury Management Code of Practice 2017.

The revised Code identifies three key principles:

1. The Council should put in place formal and comprehensive objectives, policies and practices, strategies and reporting arrangements for the effective management and control of their treasury management activities.
2. The Council's policies and practices should make clear that the effective management and control of risk are prime objectives of their treasury management activities and that responsibility for these lies clearly within their Council. The Council's appetite for risk should form part of its annual strategy and should ensure that priority is given to security and liquidity when investing funds.
3. The Council should acknowledge that the pursuit of value for money in treasury management, and the use of suitable performance measures, are valid and important tools for responsible Councils to employ in support of their business and service objectives; and that within the context of effective risk management, the Council's treasury management policies and practices should reflect this.

## **B. CIPFA PRUDENTIAL CODE AND CIPFA TREASURY MANAGEMENT CODE OF PRACTICE**

1. This Council has adopted the Revised CIPFA Prudential Code 2017 and the Revised CIPFA Treasury Management Code of Practice 2017.

This Revised CIPFA Treasury Management Code of Practice 2017 stipulates that there should be Member scrutiny of the treasury policies, Member training and awareness and regular reporting.

The objectives of the Revised Prudential Code 2017 are to ensure, within a clear framework, that the capital expenditure plans of local authorities are affordable, prudent and sustainable, and that treasury management decisions are taken in accordance with good professional practice and in full understanding of the risks involved and how these risks will be managed to levels that are acceptable to the organisation.

The Council has adopted the four clauses shown in 1.1 as part of its financial procedure rules and the Policy and Resources Scrutiny Committee is responsible for ensuring effective scrutiny of the treasury management strategy and policies, before making recommendations to Council.

The policies and parameters within this report provide an approved framework within which the officers undertake the day to day treasury activities.

- 1.1 The four clauses adopted are:

- (1) This Council will create and maintain, as the cornerstones for effective treasury management:

- A treasury management policy statement, stating the policies, objectives and approach to risk management of its treasury management activities
- Suitable Treasury Management Practices (TMPs), setting out the manner in which the Council will seek to achieve those policies and objectives, and prescribing how it will manage and control those activities

- (2) The Council is required to receive and approve, as a minimum, three main reports each year, which incorporate a variety of policies, estimates and actuals.

This Council will therefore receive reports on its treasury management policies, practices and activities, including an annual strategy in advance of the year, a mid year review report and a year end annual report, in the form prescribed in its TMPs. This treasury management policy and strategy report includes the prudential indicators (Appendix D) and the minimum revenue provision (MRP) policy (Appendix E).

- (3) This Council delegates responsibility for the implementation and monitoring of its treasury management policies and practices, and the quarter 1 and quarter 3 monitoring reports to the Executive Board, and for the execution and administration of treasury management decisions

to the Director of Corporate Services, who will act in accordance with the Council's policy statement and TMPs and CIPFA's Standard of Professional Practice on Treasury Management.

- (4) The Council nominates the Policy and Resources Scrutiny Committee to be responsible for ensuring effective scrutiny of the treasury management strategy and policies.

## 1.2 **Treasury Management Practices (TMPs)**

The Schedule of TMPs is shown in Appendix A.

## **C. TREASURY MANAGEMENT POLICY**

1. This Council defines its Treasury Management activities as:

The management of the Council's borrowing, investments and cash flows, its banking, money market and capital market transactions and the effective control of the risks associated with those activities, and the pursuit of optimum performance consistent with those risks.

'Investments' in the definition above covers all the financial assets of the organisation, as well as other non-financial assets which the organisation holds primarily for financial returns, such as investment property portfolios. This may therefore include investments which are not managed as part of normal treasury management or under treasury management delegations. All investments require an appropriate investment management and risk management framework under this Revised CIPFA Treasury Management Code of Practice 2017.

2. This Council regards the successful identification, monitoring and control of risk to be the prime criteria by which the effectiveness of its treasury management activities will be measured. Accordingly, the analysis and reporting of treasury management activities will focus on their risk implications for the Council.

The key principle of control of risk and optimising returns consistent with the organisation's risk appetite should be applied across all investment activities, including more commercially based investments.

3. This Council acknowledges that effective treasury management will provide support towards the achievement of its business and service objectives. It is therefore committed to the principles of achieving value for money in treasury management and to employing suitable comprehensive performance measurement techniques, within the context of effective risk management.

This policy holds indefinitely until circumstances dictate that a change is necessary. Any changes must be done before the beginning of the financial year to which it relates, or in exceptional circumstances within the year if approved by Council.

It is the Director of Corporate Services responsibility to implement and monitor the Treasury Management Policy, revising and re-submitting the Policy for consideration to the Executive Board and the Council if changes are required.

## **D. TREASURY MANAGEMENT STRATEGY 2019-20**

### **1. INTRODUCTION**

- 1.1 The Treasury Management Strategy provides details of the expected activities of the Treasury Management function in the financial year 2019-20.
- 1.2 The Council's financial procedure rules require an annual strategy to be reported to Council outlining the expected treasury activity for the forthcoming year. A key requirement of this report is to explain both the risks, and the management of the risks, associated with the treasury service. Further treasury reports will be produced during the year if the strategy needs updating and a year-end annual report on actual activity for the year.
- 1.3 The strategy covers:
- Treasury Indicators and Limits on Activity
  - Prospects for interest rates
  - Borrowing Strategy
  - Investment Strategy
  - Debt Rescheduling and Premature Repayment of Debt
  - Performance Indicators
  - Treasury Management Advisers
  - Member and Officer Training

### **2. TREASURY INDICATORS AND LIMITS ON ACTIVITY**

- 2.1 Under the Local Government Act 2003 and the Prudential Code for Capital Finance in Local Authorities (revised in 2017), local authority capital spending and its borrowing to fund that spending is limited by what is affordable, prudent and sustainable. The Prudential Code sets out a number of indicators that enables the authority to assess affordability and prudence. The Prudential Indicators that related to Treasury Management were reclassified as Treasury Indicators in recent revisions of the Codes and are:
- Upper Limit for Fixed Rate Exposure
  - Upper Limit for Variable Rate Exposure
  - Limits on the Maturity Structure of Borrowing
  - Limits on Total Principal Sums Invested Long Term

In addition the Prudential Code requires that the total external debt does not exceed the Authorised Limit for external debt and only exceeds the Operational Boundary for external debt temporarily on occasions due to variation in cash flow. Full Council when approving the Budget sets the Authorised Limit and the Operational Boundary.

2.2 The Treasury Management Indicators for 2019-20 are:

2.2.1 Interest rate exposure limits for 2019-20 are estimated as follows:

Estimated Average Position for 2019-20			
	Fixed Interest Rate	Variable Interest Rate	Total
	£m	£m	£m
Borrowed	+446	+3	+449
Invested	(20)	(30)	(50)
<b>Net Debt</b>	<b>+426</b>	<b>(27)</b>	<b>+399</b>
<b>Proportion of Total Net Debt</b>	<b>+107%</b>	<b>(7%)</b>	<b>+100%</b>

It is recommended that the following maximum exposure limits are adopted:

	Fixed Interest Rate	Variable Interest Rate
<b>Proportion of Total Net Debt</b>	<b>+125%</b>	<b>+5%</b>

2.2.2 It is recommended that the following exposure limits for 2019-20, 2020-21 and 2021-22 are adopted:

Interest Rate Exposures	2019-20	2020-21	2021-22
	Upper	Upper	Upper
	£m	£m	£m
<b>Limits on fixed interest rates based on net debt</b>	<b>515</b>	<b>550</b>	<b>566</b>
<b>Limits on variable interest rates based on net debt</b>	<b>51</b>	<b>55</b>	<b>57</b>

2.2.3 It is recommended that the Council sets upper and lower limits for the maturity structure of its borrowing as follows:

	Upper Limit	Lower Limit
<b>Under 12 months</b>	<b>15%</b>	<b>0%</b>
<b>12 months to 2 years</b>	<b>15%</b>	<b>0%</b>
<b>2 years to 5 years</b>	<b>50%</b>	<b>0%</b>
<b>5 years to 10 years</b>	<b>50%</b>	<b>0%</b>
<b>10 years to 20 years</b>	<b>50%</b>	<b>0%</b>
<b>20 years to 30 years</b>	<b>50%</b>	<b>0%</b>
<b>30 years to 40 years</b>	<b>50%</b>	<b>0%</b>
<b>40 years and above</b>	<b>50%</b>	<b>0%</b>

**2.2.4 Maximum principal sums invested longer than 365 days:**

	<b>2019-20</b>	<b>2020-21</b>	<b>2021-22</b>
	<b>£m</b>	<b>£m</b>	<b>£m</b>
<b>Maximum principal sums invested longer than 365 days</b>	<b>10</b>	<b>10</b>	<b>10</b>

**3. PROSPECTS FOR INTEREST RATES**

Based on the average projection from a number of sources we can expect the trend in the Bank Rate, set by the Monetary Policy Committee, over the next three years to be as follows:

	<b>Current</b>	<b>2019-20</b>	<b>2020-21</b>	<b>2021-22</b>
	<b>%</b>	<b>%</b>	<b>%</b>	<b>%</b>
Average Bank Rate	0.75	1.06	1.38	1.81

**4. BORROWING STRATEGY 2019-20 – 2021-22**

4.1 Economic and interest rate forecasting remains difficult with so many external influences weighing on the UK. The above forecasts (and MPC decisions) will be liable to further amendment depending on how economic data and developments in financial markets transpire over the next year. Geopolitical developments, especially in the EU, could also have a major impact. Forecasts for average investment earnings beyond the three-year time horizon will be heavily dependent on economic and political developments.

The overall balance of risks to the UK economy is probably to the downside, particularly with the current level of uncertainty over the final terms of Brexit.

The uncertainty over future interest rates increases the risks associated with treasury activity. As a result the Council will continue to take a cautious approach to its treasury management strategy.

Against this background and the risks within the economic forecast, caution will be adopted with the 2019-20 treasury operations. The Director of Corporate Services will monitor interest rates in financial markets and adopt a pragmatic approach to changing circumstances.

The Director of Corporate Services, under delegated powers, will take the most appropriate form of borrowing depending on the prevailing interest rates at the time, taking into account the risks shown in the forecast above. It is likely that shorter term fixed rates may provide lower cost opportunities in the short/medium term.

The option of delaying borrowing and utilising investment balances is likely to continue for the time being. However, this will be carefully reviewed to avoid incurring higher borrowing costs in later years due to an overall current trend of rising rates. This strategy reduces counterparty risk and hedges against any expected fall in investment returns.

The Council continues to maintain an under-borrowed position. This means that the capital borrowing need (the Capital Financing Requirement), has not been fully funded with loan debt as cash supporting the Council's reserves, balances and cash flow has been used as a temporary measure. This strategy is prudent as investment returns are low and counterparty risk should still be considered.

The timing of any action is important and the Director of Corporate Services and treasury advisers will monitor prevailing rates for any opportunities during the year.

The Council will be expected to borrow, for the Carmarthenshire led projects within the Swansea Bay City Deal partnership, £40m for the Life Science & Wellbeing Village and £2m for Yr Egin from 2019-20 to 2021-22.

#### **4.2 The Council's agreed policy is to raise funding only from the following:**

Public Works Loan Board (PWLB)  
Market Long-Term (including European Investment Bank (EIB))  
Market Temporary  
Local Authorities  
Overdraft  
Internal Capital Receipts and Revenue Balances  
Leasing  
Welsh Government and Central Government

#### **4.3 Borrowing in advance of need**

The Council has some flexibility to borrow funds in advance of future years.

The Director of Corporate Services may do this under delegated power where, for instance, a sharp rise in interest rates is expected, and so borrowing early at fixed interest rates will be economically beneficial or meet budgetary constraints. Whilst the Director of Corporate Services will adopt a cautious approach to any such borrowing, where there is a clear business case for doing so borrowing may be undertaken to fund the approved capital programme or to fund future debt maturities.

Borrowing in advance will be made within the constraints that:

- It will be limited to no more than 50% of the expected increase in borrowing needed (CFR) over the three year planning period; and
- Not to borrow more than 12 months in advance of need.

Risks associated with any advance borrowing activity will be appraised in advance and subsequently reported through the quarterly reporting mechanism.

## 5. INVESTMENT STRATEGY 2019-20 – 2021-22

### 5.1 INTRODUCTION

5.1.1 The Investment Strategy has been prepared with due regard to:

The Local Government Act 2003

Regulations made under the Local Government Act 2003 (as amended)

2017 Revised Prudential Code for Capital Finance in Local Authorities

The Local Authorities (Capital Finance and Accounting) (Wales) (Amendment) Regulations 2008

Guidance issued by the Welsh Government

2017 Revised CIPFA Treasury Management in the Public Services: Code of Practice and Cross-Sectoral Guidance Notes

CIPFA Treasury Management Guidance Notes 2018

#### 5.1.2 **Key Objectives**

The Council's investment strategy primary objectives are:

- safeguarding the repayment of the principal and interest of its investments on time
- ensuring adequate liquidity
- the investment return

Following the interest rate views above, the current investment climate has counterparty security risk as the over-riding risk consideration. While there is so much uncertainty around the Brexit negotiations, consumer confidence, and business confidence to spend on investing, it is far too early to be confident about how the next two to three years will actually pan out. As a result of concerns over Eurozone sovereign debt and the potential negative impact on the banking industry, officers have implemented detailed operational procedures which are included in the treasury management procedure manual. These procedures tighten the controls already in place in the approved investment strategy.

#### 5.1.3 **Risk Benchmarking**

A development in the revised Codes and the WG Investment Guidance is the consideration and approval of security and liquidity benchmarks. Yield benchmarks are currently widely used to assess investment performance. Discrete security and liquidity benchmarks are new requirements to the Member reporting, although the application of these is more subjective in nature. The



approach taken is attached at Appendix B.

## 5.2 **DEFINITIONS**

5.2.1 A credit rating agency is one of the following three companies: Fitch Ratings Limited (Fitch), Moody's Investors Service Limited (Moody's) and Standard and Poors (S&P).

5.2.2 An investment is a transaction that relies upon the power in section 12 of the Local Government Act 2003 and is recorded in the balance sheet under the heading of investments within current assets or long-term investments.

## 5.3 **INVESTMENT COUNTERPARTIES**

The Director of Corporate Services maintains a counterparty list in compliance with the following criteria and revises the criteria and submits them to Council for approval as necessary. This criteria is separate to that which approves Specified and Non-Specified investments as it selects which counterparties the Council will approve rather than defining what its investments are. The rating criteria use the lowest common denominator method of selecting counterparties and applying limits. This means that the application of the Council's minimum criteria will apply to the lowest available rating for any institution. For instance if an institution is rated by all three agencies, two meet the Council's criteria, the other does not, the institution will fall outside the lending criteria.

### 5.3.1 **Investment Counterparty Selection Criteria**

The primary principle governing the Council's investment criteria is the security of its investments, although the yield or return on the investment is also a key consideration. To meet this main principle the Council will ensure:

- It maintains a policy covering both the categories of investment types it will invest in, criteria for choosing investment counterparties with adequate security, and monitoring their security. This is set out in the Specified and Non-Specified investment sections.
- It has sufficient liquidity in its investments. For this purpose it will set out procedures for determining the maximum periods for which funds may prudently be committed. These procedures also apply to the Council's prudential indicators covering the maximum principal sums invested.

5.3.2 **UK Banks 1 (Upper Limit)** – This Council will use banks which have at least the following Fitch, Moody's and Standard & Poors ratings:

Short Term – F1, P-1, A-1

Long Term – AA-, Aa3, AA-

**UK Banks 2 & UK Building Societies (Middle Limit)** – This Council will use all UK Banks and Building Societies which have at least the following Fitch, Moody's and Standard & Poors ratings:

Short Term – F1, P-1, A-1

Long Term – A, A2, A

**UK Banks Part Nationalised** – Royal Bank of Scotland Group plc (Royal Bank of Scotland and National Westminster Bank). These banks will be included if they continue to be part nationalised or they meet the ratings above.

The UK Government (HM Treasury) holds 62.4% stake within Royal Bank of Scotland Group.

UK part nationalised banks which are significantly owned by the UK Government will be included as investment counterparties, as long as they continue to have appropriate UK Government support. UK Government backing provides a credit quality overlay above that provided by the credit rating agencies. The Royal Bank of Scotland Group plc will be monitored for any material reduction in state ownership or deterioration of the credit rating which suggests a reduction of its use or suspension from the counterparty list.

**UK Banks 3** – The Council's banker for transactional purposes if it falls below the above criteria. Balances will be minimised in both monetary size and time.

**Money Market Funds** – The Council will use AAA rated money market funds (MMFs) that are credit rated by at least two of the three credit rating agencies. These are pooled investment funds whose primary aims are liquidity and security and allow daily access to funds when required. Their operations are strictly regulated by the credit rating agencies and are operated by a financial institution but do not form part of that institutions assets, should the sponsoring institution fail the MMF is entirely separate, effectively owned by the investors. These types of funds invest in a range of instruments and institutions and therefore provide a low risk spread of investments.

The Money Market Fund reforms in Europe came into force during 2018 and provide the Council the opportunity to invest into Public Debt Constant Net Asset Value ("CNAV") MMFs and Low Volatility NAV ("LVNAV") MMFs. This is consistent with our current strategic approach.

**UK Government (including gilts and the DMADF)**

**Local Authorities (including Police & Fire Authorities)**

### 5.3.3 Use of additional information other than credit ratings

Under the Revised CIPFA Treasury Management Code of Practice 2017 the Council is still required to supplement credit rating information. Whilst the above criteria relies primarily on the application of credit ratings to provide a pool of appropriate counterparties for officers to use, additional operational market information will be applied before making any specific investment decision from the agreed pool of counterparties. The market information (for example, negative rating watches/outlooks, individual/viability and support ratings) will be applied to compare the relative security of differing investment counterparties.

The UK Government, like other Western governments, are initiating market regulations which will mean they may not bail out financial institutions in the future. This will not be initiated until corresponding rules and regulations are in

place so that institutions are much stronger and less likely to fail. Whilst not an immediate concern, officers will continue to monitor the situation and changes to future investment strategies are likely.

#### 5.3.4 The time and monetary limits for institutions on the Council's Counterparty List are shown below: (Specified and Non-Specified Investments)

	Fitch	Moody's	Standard & Poors	Money Limit	Time Limit
Upper Limit Category - Short Term and Long Term	F1 AA-	P-1 Aa3	A-1 AA-	£10m	3 years
Middle Limit Category - Short Term and Long Term	F1 A	P-1 A2	A-1 A	£7m	1 year
Part Nationalised	-	-	-	£7m	1 year
Council's Banker (not meeting criteria above)	-	-	-	£3m	1 day
Other Institution Limits:					
- Any One Local Authority (including Police & Fire authorities)	-	-	-	£5m	1 year
- Any AAA Rated Money Market Fund CNAV	-	-	-	£5m	Daily Liquidity
- Any AAA Rated Money Market Fund LVNAV	-	-	-	£5m	Daily Liquidity
- Debt Management Account Deposit Facility (DMO)	-	-	-	£40m	6 months (max term specified by DMO)

#### 5.3.5 There are two types of investments – Specified and Non Specified

##### 5.3.5.1 Specified Investments

These investments are sterling investments of not more than one-year maturity. These are low risk assets where the possibility of loss of principal or investment income is small. These would include investments with:

- (1) The UK Government (such as the Debt Management Office, UK Treasury Bills or a Gilt with less than one year to maturity).
- (2) A local authority, police authority and fire authority.
- (3) Pooled investment vehicles (such as money market funds) that have been awarded a high credit rating by a credit rating agency.

- (4) A body that has high credit quality (which may include a high credit rating by a credit rating agency) such as a bank or building society. For this purpose a body with a short term rating of F1, P-1 or A-1 will be considered high quality.

#### 5.3.5.2 Non Specified Investments

These investments are any other type of investment (i.e. not defined as Specified in 5.3.5.1 above).

The maximum sum and time limit for non specified investments is £5m per counterparty with a limit of 3 years.

Non specified investments will only be made in local authorities, bodies with a minimum long-term credit rating of AA– and in AAA rated money market funds.

**Note:** Barclays Bank plc are the Council's current bankers. If the bank's credit rating falls and it no longer meets the criteria for a specified investment no deposits will be made with the exception of the bank's overnight (Moneymaster) account. The average day to day operational balance on the account will not exceed £3m in these circumstances. See 5.3.4 above.

In the normal course of the council's cash flow operations it is expected that both Specified and Non-specified investments will be utilised for the control of liquidity as both categories allow for short term investments.

The use of longer term instruments (greater than one year from inception to repayment) will fall in the non-specified investment category. These instruments will only be used where the Council's liquidity requirements are safeguarded.

#### 5.3.6 The Monitoring of Investment Counterparties

The credit ratings of counterparties are monitored regularly. The officers receive credit rating information (changes, rating watches and rating outlooks) from the treasury management advisers as and when ratings change, and counterparties are checked promptly. Occasionally ratings may be downgraded when an investment has already been made. The criteria used are such that a minor downgrading should not affect the full receipt of the principal and interest. Any counterparty failing to meet the criteria will be removed from the list immediately by the Director of Corporate Services, and if required new counterparties which meet the criteria will be added to the list.

The criteria for choosing counterparties set out above provide a sound approach to investment in "normal" market circumstances. Whilst Members are asked to approve this base criteria above, under exceptional current market conditions the Director of Corporate Services will temporarily restrict further investment activity to those counterparties considered of higher credit quality than the minimum criteria set out for approval. These restrictions will remain in place until the banking system returns to "normal" conditions. Similarly the time periods for investments will be restricted.

Further restrictions would be the greater use of the Debt Management Account Deposit Facility (DMADF – an account within the Government Debt Management Office (DMO) which accepts local authority deposits), Money Market Funds, guaranteed deposit facilities and strongly rated institutions

offered support by the UK Government. The credit criteria have been amended to reflect these facilities.

The present Schedule of Approved Counterparties for Lending 2019-20 is shown in Appendix C.

#### 5.4 **LIQUIDITY OF INVESTMENTS**

Investments are made for periods which coincide with the Council's cash flow requirements.

When investing (within the risk criteria mentioned above), the aim is to achieve a level of return greater than would be secured by internal investments. The "7 day LIBID rate" is the recognised rate which the Council aims to exceed when lending money.

#### 5.5 **INVESTMENTS THAT ARE NOT PART OF TREASURY MANAGEMENT ACTIVITY**

This Council recognises that investment in other financial assets and property primarily for financial return, taken for non-treasury management purposes, requires careful investment management. Such activity includes loans supporting service outcomes, investments in subsidiaries, and investment property portfolios.

This Council will ensure that all the organisation's investments are covered in the investment strategy or equivalent, and will set out, where relevant, the organisation's risk appetite and specific policies and arrangements for non-treasury investments. It will be recognised that the risk appetite for these activities may differ from that for treasury management.

The council will maintain a schedule setting out a summary of existing material investments, subsidiaries, joint ventures and liabilities including financial guarantees and the organisation's risk exposure.

Prior to making these investments, appropriate financial review procedures will be undertaken, including Profit and Loss, Balance Sheet and cash flow monitoring, as appropriate.

This Council acknowledges that it is critical that due diligence processes and procedures reflect the additional risk an organisation is taking on. Due diligence procedures should ensure effective scrutiny of proposed investments, identification of risk to both capital and returns, any external underwriting of those risks, and the potential impact on the financial sustainability of the organisation if those risks come to pass. Where necessary independent and expert advice should be sought to ensure due diligence is suitably robust.

### 6. **DEBT RESCHEDULING AND PREMATURE REPAYMENT OF DEBT**

As short term borrowing rates are likely to be considerably cheaper than longer term fixed interest rates, there may be potential opportunities to generate savings by switching from long term debt to short term debt. However, these savings will need to be considered in the light of the current treasury position and the size of the cost of debt repayment (premiums incurred).

The reasons for any rescheduling to take place will include:

- the generation of cash savings and / or discounted cash flow savings
- helping to fulfil the treasury strategy
- enhance the balance of the portfolio (amend the maturity profile and/or the balance of volatility).

Consideration will also be given to identify if there is any residual potential for making savings by utilising current investment balances to repay debt prematurely as short term rates on investments are likely to be lower than rates paid on current debt.

## 7. **PERFORMANCE INDICATORS**

The Code of Practice on Treasury Management requires the Council to set performance indicators to assess the adequacy of the treasury function over the year. These are distinct historic indicators, as opposed to the prudential indicators, which are predominantly forward looking. Examples of performance indicators used for the treasury function are:

- Debt (Borrowing) – New borrowing rate to outperform the average PWLB rate for the year
- Debt – Average weighted debt rate movement year on year
- Investments – Return on Investments to outperform the average “7 day LIBID rate”

The results of these indicators will be reported in the Treasury Management Annual Report for 2018-19.

## 8. **TREASURY MANAGEMENT ADVISERS**

The Council has a contract with Link Asset Services as its external treasury management advisor.

Link provides a range of services which include:

- Technical support on treasury matters, capital finance issues and the drafting of Member reports
- Economic and interest rate analysis
- Debt advisory services which includes advice on the timing of borrowing
- Debt rescheduling advice surrounding the existing portfolio
- Generic investment advice on interest rates, timing and investment instruments
- Credit ratings/market information service comprising the three main credit rating agencies

Under current market rules and the Revised CIPFA Treasury Management Code of Practice 2017 the responsibility for treasury management decisions remains with the Council at all times ensuring that undue reliance is not placed upon the external service providers.

The Council also recognises that there is value in employing external providers of treasury management services in order to acquire access to specialist skills and resources. The Council will ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review.

## 9. **MEMBER & OFFICER TRAINING**

The increased member consideration of treasury management matters and the need to ensure officers dealing with treasury management are trained and kept up to date requires a suitable training process for members and officers. This Council has addressed this important issue by:

- Reviewing the treasury management function and ensuring officers are suitably qualified
- Arranging external training for officers
- Arranging training for those members charged with governance of the treasury management function

## **RECOMMENDATIONS**

1. **That the Policy & Resources Scrutiny Committee considers the Treasury Management Policy and Strategy for 2019-20 and recommendations therein.**
2. **That the Policy & Resources Scrutiny Committee considers the Treasury Management Indicators, Prudential Indicators, the MRP Statement and recommendations therein.**

Mae'r dudalen hon yn wag yn fwriadol



TREASURY MANAGEMENT PRACTICES (TMPs)

**TMP1**      **RISK MANAGEMENT**

**General Statement**

This Council regards a key objective of its treasury management activities to be the security of the principal sums it invests. Accordingly, it will ensure that robust due diligence procedures cover all external investment.

The Director of Corporate Services or those persons to which delegation has been made will design, implement and monitor all arrangements for the identification, management and control of treasury management risk, will report at least annually on the adequacy/suitability thereof, and will report, as a matter of urgency, the circumstances of any actual or likely difficulty in achieving the organisation's objectives in this respect, all in accordance with the procedures set out in TMP 6 Reporting requirements and management information arrangements. In respect of each of the following risks, the arrangements which seek to ensure compliance with these objectives are set out below.

1.1      **Credit and Counterparty Risk Management**

**Explanation**

The risk of failure by a third party to meet its contractual obligations to the Council under an investment, borrowing, capital, project or partnership financing, particularly as a result of the third party's diminished creditworthiness, and the resulting detrimental effect on the Council's capital or current (revenue) resources.

**Council Action**

This Council regards a key objective of its treasury management activities to be the security of the principal sums it invests. Accordingly, it will ensure that its counterparty lists and limits reflect a prudent attitude towards organisations with whom funds may be deposited, and will limit its investment activities to the instruments, methods and techniques referred to in TMP4 Approved instruments, methods and techniques and listed in the Annual Treasury Management Policy and Strategy Statement. It also recognises the need to have, and will therefore maintain, a formal counterparty policy in respect of those organisations from which it may borrow, or with whom it may enter into other financing arrangements.

A detailed list of counterparties to which the Council will lend is appended to the Annual Treasury Management Policy and Strategy Statement.

1.2

## **Liquidity Risk Management**

### **Explanation**

The risk that cash will not be available when it is needed, that ineffective management of liquidity creates additional budgeted costs, and that the organisation's business/service objectives will therefore be compromised.

### **Council Action**

The Council through its Treasury Management officers will ensure that at all times there will be a surplus of cash available which can be called upon at a moment's notice. Through its investments the Council holds cash on call account(s) which is available at any time. By the use of an effective projected cash flow exercise the likelihood of cash being not readily available when required would be rare. Robust daily, weekly, monthly and annual cash flow forecasting is in place. Call accounts and fixed term investments are utilised to their full potential. This Council will only borrow in advance of need where there is a clear business case for doing so and will only do so for the current capital programme or to finance future debt maturities.

1.3

## **Interest Rate Risk Management**

### **Explanation**

The risk that fluctuations in the levels of interest rates create an unexpected or unbudgeted burden on the organisation's finances, against which the organisation has failed to protect itself adequately.

### **Council Action**

This Council will manage its exposure to fluctuations in interest rates with a view to containing its interest costs, or securing its interest revenues, in accordance with the amounts provided in its budgetary arrangements as amended in accordance with TMP6 Reporting requirements and management information arrangements.

It will achieve this by the prudent use of its approved financing and investment instruments, methods and techniques, primarily to create stability and certainty of costs and revenues, but at the same time retaining a sufficient degree of flexibility to take advantage of unexpected, potentially advantageous changes in the level or structure of interest rates. This should be the subject to the consideration and, if required, approval of any policy or budgetary implications.

1.4

## **Exchange Rate Risk Management**

### **Explanation**

The risk that fluctuations in foreign exchange rates create an unexpected or unbudgeted burden on the organisation's finances, against which the organisation has failed to protect itself adequately. The Council is awarded grant from specific programmes in Euro currency and consequently receives grant income in this currency. Where the Council is a joint beneficiary and not a lead beneficiary, payments are received from the lead beneficiary in Euros into the Council's Sterling bank account.

There is a negligible risk where the Council is a joint beneficiary.

Where the Council is a lead beneficiary for a grant and is receiving income in Euro currency on behalf of other beneficiaries, the income is received into and payments made in Euros from the Euro bank account.

### **Council Action**

Currently the Council only invests in sterling products. Hence, there is no exchange rate risk with regard these investments. For grants where the Council is lead beneficiary, receiving income in Euro currency on behalf of other beneficiaries and reimbursing them, exchange risk is mitigated by using an Euro bank account.

1.5

## **Inflation Risk Management**

### **Explanation**

Inflation risk, also called purchasing power risk, is the chance that the cash flows from an investment won't be worth as much in the future because of changes in purchasing power due to inflation.

### **Council Action**

The Council will keep under review the sensitivity of its treasury assets and liabilities to inflation, and will seek to manage the risk accordingly in the context of the whole Council's inflation exposures.

**Refinancing Risk Management****Explanation**

The risk that maturing borrowings, capital project or partnership financing cannot be refinanced on terms that reflect the provisions made by the organisation or those refinancings, both capital and current (revenue), and/or that the terms are inconsistent with prevailing market conditions at the time.

**Council Action**

This Council will ensure that its borrowing, private financing and partnership arrangements are negotiated, structured and documented, and the maturity profile of the monies so raised are managed, with a view to obtaining offer terms for renewal or refinancing, if required, which are competitive and as favourable to the Council as can reasonably be achieved in the light of market conditions prevailing at the time. It will actively manage its relationships with its counterparties in these transactions in such a manner as to secure this objective, and will avoid overreliance on any one source of funding if this might jeopardise achievement of the above.

**Legal and Regulatory Risk Management****Explanation**

The risk that an organisation itself, or a third party with which it is dealing in its treasury management activities, fails to act in accordance with its legal powers or regulatory requirements, and that the organisation suffers losses accordingly.

**Council Action**

This Council will ensure that all of its treasury management activities comply with its statutory powers and regulatory requirements. It will demonstrate such compliance, if required to do so, to all parties with whom it deals in such activities. In framing its credit and counterparty policy under TMP 1 credit and counterparty risk management it will ensure that there is evidence of counterparties' powers, authority and compliance in respect of the transactions they may effect with the organisation, particularly with regard to duty of care and fees charged.

This Council recognises that future legislative or regulatory changes may impact on its treasury management activities and, so far as it is reasonably able to do so, will seek to minimize the risk of these impacting adversely on the Council.

1.8

## **Fraud, Error and Corruption, and Contingency Management**

### **Explanation**

The risk that an organisation fails to identify the circumstances in which it may be exposed to the risk of loss through fraud, error, corruption or other eventualities in its treasury management dealings, and fails to employ suitable systems and procedures and maintain effective contingency management arrangements to these ends. It includes the area of risk commonly referred to as operational risk.

### **Council Action**

This Council will ensure that it has identified the circumstances which may expose it to the risk of loss through fraud, error, corruption or other eventualities in its treasury management dealings. Accordingly, it will employ suitable systems and procedures, and will maintain effective contingency management arrangements, to these ends.

1.9

## **Price Risk Management**

### **Explanation**

The risk that, through adverse market fluctuations in the value of the principal sums the organisation invests, its stated treasury management policies and objectives are compromised, against which effects it has failed to protect itself adequately.

### **Council Action**

This Council will seek to ensure that its stated treasury management policies and objectives will not be compromised by adverse market fluctuations in the value of the principal sums it invests, and will accordingly seek to protect itself from the effects of such fluctuations.

TMP2

## **PERFORMANCE MEASUREMENT**

### **Explanation**

Performance measurement is a process designed to calculate the effectiveness of a portfolio's or manager's investment returns or borrowing costs and the application of the resulting data for the purposes of comparison with the performance of other portfolios or managers, or with recognised industry standards or market indices.

## **Council Action**

This Council is committed to the pursuit of value for money in its treasury management activities, and to the use of performance methodology in support of that aim, within the framework set out in its treasury management policy statement.

Accordingly, the treasury management function will be the subject of ongoing analysis of the value it adds in support of the Council's stated business or service objectives. It will be the subject of regular examination of alternative methods of service delivery, of the availability of fiscal or other grant or subsidy incentives, and of the scope of other potential improvements.

**TMP3**

## **DECISION MAKING AND ANALYSIS**

### **Explanation**

It is vital that the treasury management decisions of organisations in the public service should be subjected to prior scrutiny. The treasury management strategy is approved annually by full council and supplemented by the provision of monitoring information and regular review by councillors in both executive and scrutiny functions. In addition all records should be kept of the processes and the rationale behind those decisions. In respect of each decision made the Council should:

- 3.1 ensure that its results are within the limits set in the Prudential Indicators.
- 3.2 be clear about the nature and extent of the risks to which the Council may become exposed.
- 3.3 be certain about the legality of the decision reached and the nature of the transaction, and that all authorities to proceed have been obtained.
- 3.4 be content that the documentation is adequate both to deliver the Council's objectives and protect the Council's interest, and to deliver good housekeeping.
- 3.5 ensure that third parties are judged satisfactorily in the context of the Council's credit worthiness policies, and that limits have not been exceeded.
- 3.6 be content that the terms of any transactions have been fully checked against the market, and have been found to be competitive.
- 3.7 in respect of borrowing the Council should evaluate the economic and market factors that influence the manner and timing of any decision to fund.

- 3.8 consider the merits and demerits of alternative forms of funding, including funding from revenue, leasing and private partnerships.
- 3.9 consider the alternative interest rate bases available, the most appropriate periods to fund and repayment profiles to use and, if relevant, the opportunities for foreign currency funding.
- 3.10 consider the ongoing revenue liabilities created, and the implications for the Council's future plans and budgets.
- 3.11 in respect of investment decisions, the Council should consider the optimum period, in the light of cash flow availability and prevailing market conditions.
- 3.12 consider the alternative investment products and techniques available, especially the implications of any which may expose the Council to changes in the value of its capital.

### **Council Action**

This Council will maintain full records of its treasury management decisions, and of the processes and practices applied in reaching those decisions both for the purposes of learning from the past, and for demonstrating that reasonable steps were taken to ensure that all issues relevant to those decisions were taken into account at the time.

**TMP4**

### **APPROVED INSTRUMENTS, METHODS AND TECHNIQUES**

#### **Explanation**

It is important that the Council is clear about the treasury management instruments, methods and techniques used as one of the main issues to be taken into account when reaching decisions in the need to protect public funds. This treasury management practice requires that the Council is equipped with the skills and experience to evaluate and control the risks and advantages associated with using the instruments available to it before including them in the approved list. Only instruments that the organisation has the skills and experience to evaluate should be included in their approved list, even if they are legally permitted to do so. This principle applies to investment, borrowing and other means of raising capital and project finance, and to the use of one off-market or financial derivative instruments such as interest rate swaps. The consideration of skills and experience is particularly critical where organisations request to be treated as professional clients under MIFID II. Designation under MIFID II should be endorsed by the treasury management strategy and regularly reviewed to ensure that designation remains appropriate.

## **Council Action**

This Council will undertake its treasury management activities by employing only those instruments, methods and techniques detailed in the policy, and within the limits and parameters defined in Treasury Management Practice 1 Risk Management.

This Council has reviewed its classification with financial institutions under MIFID II and has set out in the schedule to this document those organisations with which it is registered as a professional client and those with which it has an application outstanding to register as a professional client.

**TMP5**

## **ORGANISATION, CLARITY AND SEGREGATION OF RESPONSIBILITIES, AND DEALING ARRANGEMENTS**

### **Explanation**

It is considered vital that there should be a clear division of responsibilities, included in a written statement of the duties of each post engaged in Treasury Management. It is especially important that staff responsible for negotiating and closing deals are not responsible for recording them, or for maintaining the cash book. This is in order to create a framework for internal check, and reflects both the variety of activities in treasury management and the very often large sums involved.

### **Council Action**

This Council considers it essential, for the purposes of the effective control and monitoring of its treasury management activities, for the reduction of the risk of fraud or error, and for the pursuit of optimum performance, that these activities are structured and managed in a fully integrated manner, and that there is at all times a clarity of treasury management responsibilities.

The principle on which this will be based is a clear distinction between those charged with setting treasury management policies and those charged with implementing and controlling these policies, particularly with regard to the execution and transmission of funds, the recording and administering of treasury management decisions, and the audit and review of the treasury management function.

If and when this Council intends, as a result of lack of resources or other circumstances, to depart from these principles, the responsible officer will ensure that the reasons are properly reported in accordance with Treasury Management Practice 6 Reporting requirements and management information arrangements, and the implications properly considered and evaluated.



The Director of Corporate Services will ensure that there are clear written statements of the responsibilities for each post engaged in treasury management, and the arrangements for absence cover.

The Director of Corporate Services will ensure there is a proper documentation for all deals and transactions, and that procedures exist for the effective transmission of funds.

**TMP6**

## **REPORTING REQUIREMENTS AND MANAGEMENT INFORMATION ARRANGEMENTS**

### **Explanation**

It is recommended that the Council's treasury management policy statement should specify formal reporting arrangements by the Director of Corporate Services to full Council, to include at a minimum annual reports both before, mid-year and after the year-end.

### **Council Action**

This Council will ensure that regular reports are prepared and considered on the implementation of its treasury management policies, on the effects of decisions taken and transactions executed in pursuit of those policies, on the implications of changes, particularly budgetary, resulting from regulatory, economic, market or other factors affecting its treasury management activities and on the performance of the treasury management function.

The following reporting process has been agreed by the Council:

#### 6.1 Annual Reporting Requirements before the start of the year:

- Review of the Council's approved clauses, treasury management policy statement and practices
- Strategy report on proposed treasury management activities for the year
- Proposed Treasury Management and Prudential Indicators

#### 6.2 Quarter 1 and Quarter 3 Reporting Requirements during the year which will be presented to both Executive Board and Policy and Resources Scrutiny Committee:

- Activities undertaken
- Variations (if any) from agreed policies/practices
- Performance report

- Performance against Treasury Management and Prudential Indicators
- 6.3 Mid Year Reporting Requirements during the year which will be presented to Council, Executive Board and Policy and Resources Scrutiny Committee:
- Activities undertaken
  - Variations (if any) from agreed policies/practices
  - Performance report
  - Performance against Treasury Management and Prudential Indicators
- 6.3 Annual Reporting Requirements after the year end
- Transactions executed and their revenue (current) effects
  - Report on risk implications of decisions taken and transactions executed
  - Compliance report on agreed policies/practices, and on statutory/regulatory requirements
  - Performance report
  - Report on compliance with Revised CIPFA Treasury Management Code of Practice 2017 recommendations
  - Performance against Treasury Management and Prudential Indicators

By undertaking the above it will ensure, as a minimum, that those with ultimate responsibility for the treasury management function appreciate fully the implications of treasury management policies and activities, and that those implementing policies and executing transactions have properly fulfilled their responsibilities with regard to delegation and reporting.

**TMP7 BUDGETING, ACCOUNTING AND AUDIT ARRANGEMENTS**

**Explanation**

It is recommended that the Council brings together for budgeting and management control purposes, all of the costs and revenues associated with the Council's treasury management activities, regardless of how the Council has actually organised the treasury management function. In this context the Council's treasury management budgets and accounts should clearly identify.

- Manpower numbers and related costs
- Premises and other administrative costs
- Interest and other investment income
- Debt and other financing costs (or charges for the use of assets)
- Bank and overdraft charges
- Brokerages, commissions and other transaction-related costs
- External advisers' and consultants' charges

It is normal practice for the external auditor to have access to all papers supporting and explaining the operation and activities of the treasury management function. The auditor will be expected to enquire as to whether the Revised CIPFA Treasury Management Code of Practice 2017 has been adopted, and whether its principles and recommendations have been implemented and adhered to. Any serious breach of the recommendations of the Revised CIPFA Treasury Management Code of Practice 2017 should be brought to the external auditor's attention.

### **Council Action**

The Director of Corporate Services will prepare, and this Council will approve and, if necessary, from time to time will amend, an annual budget for treasury management, which will bring together all of the costs involved in running the treasury management function, together with associated income. The matters to be included in the budget will at minimum be those required by statute or regulation, together with such information as will demonstrate compliance with TMP1 Risk management, TMP2 Performance Measurement, and TMP4 Approved instruments, methods and techniques. The Director of Corporate Services will exercise effective controls over this budget, and will report upon and recommend any changes required in accordance with TMP6 Reporting requirements and management information arrangements.

The calculation and compliance of Treasury Management and Prudential Indicators will be examined by the Wales Audit Office.

This Council will account for its treasury management activities, for decisions made and transactions executed, in accordance with appropriate accounting practices and standards, and with statutory and regulatory requirements in force for the time being. This Council will ensure that its auditors, and those charged with regulatory review, have access to all information and papers supporting the activities of the treasury management function as are necessary for the proper fulfillment of their roles, and that such information and papers demonstrate compliance with external and internal policies and approved practices.

## **TMP8      CASH AND CASH FLOW MANAGEMENT**

### **Explanation**

The preparation of cash flow projections on a regular and timely basis provides a sound framework for effective cash management. Procedures for their preparation and review/modification, the periods to be covered, sources of data etc. should be an integral part of the schedules to the Council's approved treasury management practices.

### **Council Action**

Unless statutory or regulatory requirements demand otherwise, all monies in the hands of the Council will be under the control of the Director of Corporate Services and will be aggregated for cash flow and investment management purposes. Cash flow projections will be prepared on a regular and timely basis, and the Director of Corporate Services will ensure that these are adequate for the purposes of monitoring compliance with Treasury Management Practice 1 (2) liquidity risk management.

## **TMP9      MONEY LAUNDERING**

### **Explanation**

Money Laundering has the objective of concealing the origin of money generated through criminal activity. Legislation has given a higher profile to the need to report suspicions of money laundering. Also, organisations such as Councils that undertake business under the Financial Services Act, or engage in certain specified activities, are required to set up procedures to comply with Money Laundering Regulations 2007. These require Councils:

- To set up procedures for verifying the identity of clients
- To set up record-keeping procedures for evidence of identity and transactions
- To set up internal reporting procedures for suspicions, including the appointment of a money laundering reporting officer
- To train relevant employees in their legal obligations
- To train those employees in the procedures for recognising and reporting suspicions of money laundering

The Council should establish whether the Money Laundering Regulations 2007 apply to them. And it is for individual organisations to evaluate the prospect of laundered monies being handled by them, and to determine the appropriate safeguards to be put in place. It is the legal responsibility of every person engaged in treasury management to make themselves aware of their personal responsibilities, but the Revised CIPFA Treasury Management Code of Practice 2017 recommends that Councils bring them to their staff's attention and consider the appointment of a member of staff to whom they can report any suspicions.

### **Council's Action**

This Council is alert to the possibility that it may become the subject of an attempt to involve it in a transaction involving the laundering of money. Accordingly, it will maintain procedures for verifying and recording the identity of counterparties and reporting suspicions, and will ensure that staff involved in this are properly trained.

## **TMP10 TRAINING AND QUALIFICATIONS**

### **Explanation**

All Councils should be aware of the growing complexity of treasury management. Modern treasury management demands appropriate skills, including a knowledge of money and capital market operations, an awareness of available sources of funds and investment opportunities, an ability to assess and control risk, and an appreciation of the implications of legal and regulatory requirements.

Every Council should provide the necessary training, having assessed the professional competence of both those involved in the treasury management function, and those with a policy, management or supervisory role. If necessary, they should ensure that access exists to the necessary expertise and skills from external sources. Arrangements to ensure the availability of suitable skills and resources should recognize the prospect that staff absences may, at times, demand that others step in who do not normally have involvement on a day-to-day basis with the treasury management function.

When feasible in the context of the size of a particular organisation and its treasury management function, career progression opportunities should be provided and succession issues should be properly addressed. Secondments of senior management to the treasury management function for appropriate periods may benefit the effectiveness of the function as a whole.

### **Council Action**

This Council recognises the importance of ensuring that all staff involved in the treasury management function are fully equipped to undertake the duties and

responsibilities allocated to them. It will therefore seek to appoint individuals who are both capable and experienced and will provide training for staff to enable them to acquire and maintain an appropriate level of expertise, knowledge and skills. The Director of Corporate Services will recommend and implement the necessary arrangements.

The Director of Corporate Services will ensure that council members tasked with treasury management responsibilities, including those responsible for scrutiny, have access to training relevant to their needs and those responsibilities.

Those charged with governance recognise their individual responsibility to ensure that they have the necessary skills to complete their role effectively.

## **TMP11 USE OF EXTERNAL SERVICE PROVIDERS**

There are a number of service providers available to support the treasury management activities of public service organisations.

Perhaps the most active and long-standing of these have been the money-broking companies, whose role it is to act as intermediaries, making introductions between the prospective parties to transactions.

It is not the role of brokers to provide advice on the creditworthiness of those organisations to which public service organisations may lend. They may provide information already in the public domain, but may not interpret it. The use of brokers is a matter for local decision. But it is considered good practice, if their services are used, to ensure that business is spread between a reasonable number of them, and certainly no fewer than two. And it is not uncommon for their services to be the subject of a competitive tendering process every few years.

Direct dealing with principals is a not uncommon feature of treasury management in the public services which, if nothing else, can provide a useful check on brokers' performance.

An issue that causes some debate is whether it is necessary or desirable for public service organisations to tape conversations with brokers and principals. This is a matter for local discretion, particularly in the context of the costs involved, but is generally to be recommended.

Most public service organisations require the services of clearing bankers, and a growing number make use of the services of a wider group of banks, particularly to meet their need for private finance and partnership funding. The principles of competition need to be recognised here, too. Certainly, it is highly desirable to subject clearing banking services to competition, perhaps as frequently as every three or four years.

There has also been a growing tendency for public service organisations to employ external advisers and consultants, often for the purposes of a general treasury management advisory service, but also for specific purposes, such as the securing and structuring of funding and for partnership arrangements. These, too, should be

the subject of regular competitive tendering.

Further, many public service organisations employ the services of external investment managers to help manage their surplus cash and, where relevant, their pension fund, trust fund or endowment fund assets.

CIPFA advises all organisations using the services of external service providers to document comprehensively the arrangements made with them.

### **Council Action**

The Council recognises that responsibility for treasury management decisions remain with the Council at all times. It recognises the potential value of employing external providers of treasury management services, in order to acquire access to specialist skills and resources. When it employs such service providers, it will ensure it does so for reasons which will have been submitted to a full evaluation of the costs and benefits. It will also ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review. And it will ensure, where feasible and necessary, that a spread of service providers is used, to avoid overreliance on one or a small number of companies.

## **TMP12 CORPORATE GOVERNANCE**

The Revised CIPFA Treasury Management Code of Practice 2017 recommends that public service organisations state their commitment to embracing the principles of corporate governance in their treasury management activities, notably openness and transparency.

It is CIPFA's view that:

- adoption of the principles and policies promoted in the Revised CIPFA Treasury Management Code of Practice 2017 and in these guidance notes will in itself deliver the framework for demonstrating openness and transparency in an organisation's treasury management function
- publication of and free access to information about an organisation's treasury management transactions and other public documents connected with its treasury management activities will further assist in achieving this end
- establishing clear treasury management policies, the separation of roles in treasury management and the proper management of relationships both within and outside the organisation will establish the integrity of the function
- robust treasury management organisational structures, together with well-defined treasury management responsibilities and job specifications, will enhance accountability

- equality in treasury management dealings, absence of business favouritism and the creation of keen competition in treasury management will lay the groundwork for fairness.

The following paragraphs further emphasise the practices that CIPFA believes an organisation should employ to ensure the principles of corporate governance are successfully implemented.

### **Procedural responses**

The policies, strategies of treasury management should link clearly to the organisation's other key policies and strategies. In the management of risk, in particular, treasury risk management should be an integral part of its overall risk management processes, culminating in a well-defined, organisation-wide strategy for the control of risk and contingency planning.

The management and administration of treasury management should be robust, rigorous and disciplined. Over the years, some of the most significant examples of treasury mismanagement, in both the public services and the private sector, have resulted from procedural indiscipline. This has frequently been as a result of a failure to apply otherwise well-documented management and administration systems, or through failures in transmission, documentation or deal recording processes.

Reporting arrangements should be applied so as to ensure that those charged with responsibility for the treasury management policy have all the information necessary to enable them to fulfil openly their obligations; and that all stakeholders are fully appraised of and consulted on the organisation's treasury management activities on a regular basis.

The procedures for monitoring treasury management activities through audit, scrutiny and inspection should be sound and rigorously applied, with an openness of access to information and well-defined arrangements for the review and implementation of recommendations for change.

The application and interpretation of performance data should be clear, concise and relevant to the organisation's treasury management activities.

### **Stewardship responsibilities**

The Director of Corporate Services should ensure that systems exist to deliver proper financial administration and control, and a framework for overseeing and reviewing the treasury management function.

As regards a control framework, an organisation's formal policy documents should define clearly procedures for monitoring, control and internal check.

With regard to delegation it is vitally important that those involved in the implementation of treasury management policies and the execution of transactions are unambiguously empowered to undertake their tasks, and that reporting lines are well-defined.



An organisation's adoption of and adherence to the Revised CIPFA Treasury Management Code of Practice 2017 should be widely broadcast, as should the principles of the Revised CIPFA Treasury Management Code of Practice 2017 and the method of its application in the organisation.

The organisation's procedures for reviewing the value of the treasury management function, and the implementation of opportunities for improvement, should be both continuous and open to examination.

### **The governance of others**

In respect of the organisation's dealings with counterparties, external service providers and other interested parties, clear procedures should exist to enable the organisation, as far as is practicable, to monitor their adherence to the legal or regulatory regimes under which they operate.

### **Council Action**

This Council is committed to the pursuit of proper corporate governance throughout its businesses and services, and to establishing the principles and practices by which this can be achieved. Accordingly, the treasury management function and its activities will be undertaken with openness and transparency, honesty, integrity and accountability.

In respect of external service providers appropriate financial review procedures will be undertaken, including Profit and Loss, Balance Sheet and cash flow monitoring, as appropriate.

This Council has adopted and has implemented the key recommendations of the Revised CIPFA Treasury Management Code of Practice 2017. This is considered vital to the achievement of proper corporate governance in treasury management, and the Director of Corporate Services will monitor and, if and when necessary, report upon the effectiveness of these arrangements.

Mae'r dudalen hon yn wag yn fwriadol

**SECURITY, LIQUIDITY AND YIELD BENCHMARKING**

Member reporting on the consideration and approval of security and liquidity benchmarks will continue. These benchmarks are targets and so may be breached from time to time. Any breach will be reported, with supporting reasons in the Annual Treasury Management Report.

1. **Security**

In context of benchmarking, assessing security is a subjective area to assess. Security is currently evidenced by the application of minimum credit quality criteria to investment counterparties, primarily through the use of credit ratings supplied by the three main credit rating agencies (Fitch, Moody's and Standard and Poor's). Whilst this approach embodies security considerations, benchmarking levels of risk is more problematic. One method to benchmark security risk is to assess the historic level of default against the minimum criteria used in the Council's investment strategy.

The table below shows average defaults for differing periods of investment grade products for each Fitch/Moody's and Standard & Poor's long term rating category.

<b>Long term rating</b>	<b>1 year</b>	<b>2 years</b>	<b>3 years</b>
<b>AAA</b>	<b>0.00%</b>	<b>0.10%</b>	<b>0.18%</b>
<b>AA (includes AA- and AA+)</b>	<b>0.02%</b>	<b>0.04%</b>	<b>0.10%</b>
<b>A</b>	<b>0.05%</b>	<b>0.15%</b>	<b>0.28%</b>
<b>BBB</b>	<b>0.16%</b>	<b>0.44%</b>	<b>0.77%</b>
<b>BB</b>	<b>0.71%</b>	<b>2.00%</b>	<b>3.47%</b>
<b>B</b>	<b>2.90%</b>	<b>7.00%</b>	<b>10.67%</b>
<b>CCC</b>	<b>18.74%</b>	<b>26.47%</b>	<b>31.60%</b>

The Council's minimum long term rating criteria is currently "AA-" for any investment greater than one year and "A" for any investment up to one year. The average expectation of default for a one, two or three year investment in a counterparty with a "AA-" long term rating would be approximately 0.05% of the total investment and the average expectation of default for a one year investment in a counterparty with a "A" long term rating would be 0.05% of the total investment. This is only an average, any specific counterparty loss is likely to be higher, but these figures do act as a proxy benchmark for risk across the portfolio.

The Council's maximum security risk benchmark for the whole portfolio, when compared to these historic default tables, is:

- **0.18% historic risk of default when compared to the whole portfolio.**

In addition, the security benchmark for each individual year is:

	<b>1 year</b>	<b>2 years</b>	<b>3 years</b>
<b>Maximum</b>	<b>0.05%</b>	<b>0.10%</b>	<b>0.18%</b>

These benchmarks are embodied in the criteria for selecting cash investment counterparties and these will be monitored and reported to Members in the Annual Treasury Management Report. As this data is collated, trends and analysis will be collected and reported. Where a counterparty is not credit rated a proxy rating will be applied.

## 2. **Liquidity**

This is defined as “having adequate, though not excessive cash resources, borrowing arrangements, overdrafts or standby facilities to enable it at all times to have the level of funds available to it which are necessary for the achievement of its business/service objectives” (Revised CIPFA Treasury Management Code of Practice). In respect of this area the Council seeks to maintain:

- Bank overdraft facility - £0.5m
- Liquid short term deposits of at least £10m available immediately on call

The availability of liquidity and the term risk in the portfolio can be benchmarked by the monitoring of the Weighted Average Life (WAL) of the portfolio – shorter WAL would generally embody less risk. In this respect the proposed benchmark is to be used:

- **WAL benchmark is expected to be 0.5 years, with a maximum of 1 year.**

## 3. **Yield**

Benchmarks are currently widely used to assess investment performance. The local measure of the yield benchmark is:

- Investments – Return on Investments to outperform the average 7 day LIBID rate

Security and liquidity benchmarks are intrinsic to the approved treasury strategy through the counterparty selection criteria and some of the prudential indicators. Proposed benchmarks for the cash type investments are shown above and form the basis of reporting in this area.

**Schedule of Approved Counterparties for Lending 2019-2020  
(as at 28th January 2019)**

	<u>Fitch</u>		<u>Moody's</u>		<u>Standard and Poors</u>		<u>Money Limit</u> £'m	<u>Time Limit</u> years
	<u>Short Term</u>	<u>Long Term</u>	<u>Short Term</u>	<u>Long Term</u>	<u>Short Term</u>	<u>Long Term</u>		
<b><u>Upper Limit</u></b>	<b><u>F1</u></b>	<b><u>AA-</u></b>	<b><u>P-1</u></b>	<b><u>Aa3</u></b>	<b><u>A-1</u></b>	<b><u>AA-</u></b>	<b><u>10</u></b>	<b><u>3</u></b>
<b>UK Banks</b>								
HSBC Bank Plc	F1+	AA-	P-1	Aa3	A-1+	AA-	10	3
<b><u>Middle Limit</u></b>	<b><u>F1</u></b>	<b><u>A</u></b>	<b><u>P-1</u></b>	<b><u>A2</u></b>	<b><u>A-1</u></b>	<b><u>A</u></b>	<b><u>7</u></b>	<b><u>1</u></b>
<b>UK Banks</b>								
Banco Santander Central Hispano Group - Santander UK Plc	F1	A+	P-1	Aa3	A-1	A	7	1
Barclays Bank	F1	A+	P-1	A2	A-1	A	7	1
Lloyds Banking Group - Bank of Scotland Plc - Lloyds Bank	F1 F1	A+ A+	P-1 P-1	Aa3 Aa3	A-1 A-1	A+ A+	7 7	1 1
<b>UK Building Societies</b>								
Nationwide	F1	A	P-1	Aa3	A-1	A	7	1
<b><u>UK Banks Part Nationalised</u></b>								
Royal Bank of Scotland Group - National Westminster Bank Plc - Royal Bank of Scotland Plc							7 7	1 1
<b><u>Council's Banker</u></b>							3	1 day
<b><u>Other Institution Limits</u></b>								
<b><u>Local Authorities</u></b>								
Any One Local Authority (including police and fire authority)							5	1
<b><u>Money Market Funds</u></b>								
Any AAA Rated Money Market Fund CNAV							5	1
<b><u>Money Market Funds</u></b>								
Any AAA Rated Money Market Fund LVNAV							5	1
<b>Debt Management Office</b>							40	6 months (max term specified by DMO)

Mae'r dudalen hon yn wag yn fwriadol

**1. THE PRUDENTIAL INDICATORS**

The following indicators are based on the figures put forward within the Capital and Revenue Plans set out in this report.

1.1. Affordability

1.1.1. Estimates of ratio of financing costs to net revenue stream

This indicator identifies the trend in the cost of capital (borrowing and other long term obligation costs net of investment income) against the net revenue stream.

	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
Non-HRA	5.11%	5.92%	6.33%
HRA (inclusive of settlement figure)	32.75%	33.60%	34.24%

The estimates of financing costs include current commitments and the proposals in this budget report. These figures are however subject to change as and when the final funding approvals come through from the Welsh Government. Updates will be provided if these figures require amendment.

The indicators show the proportion of income taken up by capital financing costs. Indicative Aggregate External Finance (AEF) for 2020/2021 is a 1% decrease on 2019/2020 and for 2021/22 a 1% estimated decrease on 2020/2021.

1.2. Prudence

1.2.1. The Capital Financing Requirement (CFR)

This prudential indicator is the Council's Capital Financing Requirement (CFR). The CFR is the total outstanding capital expenditure which has not yet been paid for from either revenue or capital resources. It is essentially a measure of the Council's underlying borrowing need. The capital expenditure above, which has not immediately been paid for, will increase the CFR.

The Council is asked to approve the CFR projections below:

£m	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
Non-HRA	296	312	311
HRA	166	187	205
HRAS*	73	71	70
<b>TOTAL</b>	<b>535</b>	<b>570</b>	<b>586</b>

\*Housing Revenue Account Subsidy Buyout of £79m in April 2015. **Tudalen 95**

### 1.2.2. The Gross Borrowing and Capital Financing Requirement indicator

The control mechanism to limit external debt.

Estimated gross borrowing for the four years starting with the last full year (2017/18) must not exceed the CFR in the medium term, but can in the short term due to cash flows.

Ensures borrowing is only for approved capital purposes.

The Director of Corporate Services reports that the authority complied with this requirement in 2017/18 and does not envisage difficulties for the future. This view takes into account current commitments, existing plans, and the proposals in the budget report.

Details of Gross Borrowing:

£m	2017/18 Actual	2018/19 Estimate	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
Debt at 1 <sup>st</sup> April	388	400	416	486	549
Expected Change in Debt	12	16	70	63	37
<b>Gross debt at 31<sup>st</sup> March</b>	<b>400</b>	<b>416</b>	<b>486</b>	<b>549</b>	<b>586</b>
<b>CFR</b>	<b>484</b>	<b>487</b>	<b>535</b>	<b>570</b>	<b>586</b>
<b>Under / (Over) borrowing</b>	<b>84</b>	<b>71</b>	<b>49</b>	<b>21</b>	<b>0</b>

### 1.2.3. External Debt

The Authorised Limit and the Operational Boundary:

The Authorised Limit prudential indicator represents a control on the overall level of borrowing. This represents a limit beyond which external debt is prohibited, and this limit needs to be set or revised by full Council. It reflects the level of external debt which, while not desired, could be afforded in the short term, but is not sustainable in the longer term. This is the statutory limit determined under section 3(1) of the Local Government Act 2003. The Government retains an option to control either the total of all councils' plans, or those of a specific council, although no control has yet been exercised.



£m	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
Borrowing	587.5	626.5	643.5
Other Long-Term Liabilities	0.5	0.5	0.5
Total	588.0	627.0	644.0

The Operational Boundary for external debt is based on the same estimates as the authorised limit, but without the additional headroom for unusual and unexpected cash movements, and equates to the level of projected external debt. This is clearly subject to the timing of borrowing decisions.

£m	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
Borrowing	534.9	569.9	585.9
Other Long-Term Liabilities	0.1	0.1	0.1
Total	535.0	570.0	586.0

The Council is asked to approve both the Authorised Limit and the Operational Boundary shown above.

#### 1.2.4. Actual External Debt

The Council's actual external debt at 31<sup>st</sup> March 2018 was £400m. The actual external debt is not directly comparable to the authorised limit and operational boundary because the actual external debt reflects the position at a point in time.

**It is recommended that the above Prudential Indicators are adopted and that the Director of Corporate Services is given delegated authority by Council to change the balance between borrowing and other long-term liabilities.**

**Other long-term liabilities are other credit arrangements, which are, in the main, finance leases.**

Mae'r dudalen hon yn wag yn fwriadol

**MINIMUM REVENUE PROVISION (MRP) STATEMENT**

The Council is required to pay off an element of the accumulated General Fund capital spend each year (the CFR) through a revenue charge (the minimum revenue provision – MRP), although it is also allowed to undertake additional voluntary payments if required (voluntary revenue provision – VRP).

Welsh Government regulations were issued with effect from 1<sup>st</sup> April 2010 which require the full Council to approve a Minimum Revenue Provision (MRP) Statement in advance each year. A variety of options were provided to councils to replace the existing Regulations, as long as there is a prudent provision.

Council have already approved the following approaches for calculating MRP:

**Supported Borrowing and Capital Expenditure**

**Based on CFR**

The calculation is based on 4% of the opening Capital Financing Requirement (CFR) each year.

Supported borrowing and expenditure incurred before 1<sup>st</sup> April 2008, as at 31<sup>st</sup> March 2016 - to be charged to revenue over 40 years (2.5% straight line basis).

**Unsupported Borrowing**

**Asset Life Method**

The future borrowing value of unsupported borrowing is to be charged to revenue over 40 years or by the estimated economic life of the asset if shorter, please see below.

Capital Expenditure on the Modernising Education Programme (MEP) and Local Government Borrowing Initiative (LGBI) 21<sup>st</sup> Century Schools is classed as Unsupported Borrowing. It is estimated that asset lives within these programmes are 30 years and this is the period that will be used to determine the MRP.

Asset lives within the Fleet Programme range from 1 to 10 years, these are the periods that will be used to determine the MRP.

Local Government Borrowing Initiative (LGBI) Highways. It is estimated that asset lives within this programme are 20 years and this is the period that will be used to determine the MRP.

**Housing Revenue Account**

The calculation is based on 2% of the opening Capital Financing Requirement (CFR) each year.

**Council is recommended to approve the following MRP Statement for 2019/2020:**

**For Supported and Unsupported Capital Expenditure, the MRP policy will continue to follow the approaches above.**

Mae'r dudalen hon yn wag yn fwriadol

## Y Pwyllgor Craffu – Polisi ac Adnoddau 6ed Chwefror 2019

### DATGANIAD SAFBWyNT LLESiant A GWYDDOR BYWYD LLANELLI

#### Y Pwrpas:

Bod y Pwyllgor Craffu – Policy ac Adnoddau yn cael adroddiad diweddarau ynghylch Pentref Llesiant a Gwyddor Bywyd Llanelli er mwyn craffu, gwneud sylwadau a sicrhau tryloywder ac atebolrwydd mewn modd effeithiol.

#### Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

- Cynnydd Achos Busnes y Fargen Ddinesig.
- Statws yr adolygiadau a darpariaeth prosiect y Pentref
- Sicrwydd, drwy adolygiad cyfreithiol, y cynhaliwyd proses graffu gadarn.
- Sicrwydd ynghylch trefniadau llywodraethu'r prosiect.
- Terfynu'r Cytundeb Cydweithio.

#### Y Rhesymau:

Mae'r adroddiad diweddarau wedi cael ei ddwyn i sylw'r Pwyllgor Craffu er mwyn caniatáu i'r aelodau arfer eu swyddogaeth graffu a gwneud sylwadau dyladwy. Nod yr adroddiad yw rhoi sicrwydd ynghylch trefniadau llywodraethu Prosiect y Pentref, yn ystod y broses gaffael ac ar ôl llofnodi'r Cytundeb Cydweithio gyda Phrifysgol Abertawe a Sterling Health Security Holdings Limited.

Gofynnir i'r aelodau nodi bod cyfres o adolygiadau wedi'u dechrau:

- Mae'r adolygiad annibynnol y gofynnwyd amdano gan y Bwrdd Gweithredol yn cael ei gynnal ac mae'n ystyried proses gaffael y Pentref, y trefniadau llywodraethu yn dilyn llofnodi'r Cytundeb Cydweithio a'r cam dilynol o derfynu'r cytundeb hwn. Gofynnir i'r Pwyllgor Craffu nodi ei bod yn bosibl y bydd angen diwygio'r Achos Busnes a gyflwynwyd i'r Bwrdd Gweithredol ar 3 Rhagfyr yn sgil canlyniad yr adolygiad hwn.
- Mae Cyngor Sir Caerfyrddin wedi gwahodd Swyddfa Archwilio Cymru i adolygu'r Prosiect Llesiant. Roedd Swyddfa Archwilio Cymru am bwysleisio mai mewn ymateb uniongyrchol i'n cais y mae'n cynnal yr adolygiad, nid oherwydd bod ganddi bryderon ynghylch y prosiect.
- Cyd-adolygiad Llywodraeth ynghylch y trefniadau ar gyfer cyflawni Bargen Ddinesig Bae Abertawe, gwerth £1.3 biliwn.
- Mae adolygiad mewnol yn cael ei gynnal, o dan arweiniad Cyngor Sir Penfro, ar ran Cadeirydd Cyd-bwyllgor y Fargen Ddinesig.

Mae'r holl adolygiadau uchod yn cael eu cynnal ar hyn o bryd. Bydd canfyddiadau'r adolygiadau hyn yn cael eu rhannu ar ôl iddynt gael eu cwblhau. Yn achos adolygiad annibynnol yr Awdurdod hwn, disgwylir y bydd y Bwrdd Gweithredol yn cael gwybod am y canfyddiadau dros yr wythnosau nesaf, ac ar ôl hynny bydd y dogfennau hyn yn cael eu rhannu â'r holl Aelodau.

Gofynnir i'r Pwyllgor Craffu nodi, er bod y Cytundeb Cydweithio wedi'i derfynu, fod angen perthynas waith o hyd ac mae hon yn parhau â Phrifysgol Abertawe er mwyn sicrhau y gellir sicrhau'r buddion gorau. Mae cyfarfodydd cadarnhaol iawn yn parhau i gael eu cynnal ac mae cytundebau gwaith eraill yn cael eu datblygu ar hyn o bryd.

Mae'r prosiect yn parhau i wneud cynnydd, a'r nod yw cwblhau cam un o'r Pentref yn 2021.

**Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad:  
NAC OES**

**YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-**

Y Cynghorydd Emlyn Dole, Arweinydd y Cyngor â chyfrifoldeb dros Ddatblygu Economaidd

01267 224816 / [EDole@sirgar.gov.uk](mailto:EDole@sirgar.gov.uk)

**Y Gyfarwyddiaeth:** Adfywio a Pholisi  
/ Gwasanaethau Corfforaethol

Enw'r Cyfarwyddwr:

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Chris Moore

**Awdur yr Adroddiad:**

Sharon Burford

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**Executive Summary**  
**Policy and Resources Scrutiny Committee**  
**6<sup>th</sup> February 2019**

**LLANELLI LIFE SCIENCE AND WELLBEING VILLAGE  
POSITION STATEMENT**

**Background / Context**

The Llanelli Wellness Village (The Village) is a landmark development forming the largest single site development proposed for Carmarthenshire. In summary the Village will create:

- £199.5 million of infrastructure including research and business development facilities, a learning academy, a state of the art care home and assisted living and rehabilitation centre, a new leisure and aquatics centre with hydrotherapy pool, Wellness Hotel and outdoor leisure space
- A projected 1853 high value jobs plus community benefits defined within the procurement process
- £467 million GVA by Year 15
- A significant education, skills and training facility in partnership with Swansea University, University of Wales Trinity St David, Coleg Sir Gar and the Regional Learning and Skills Partnership
- A multidisciplinary community health and care facility linked to the health board services.

Partnership working is key to realising these project benefits, alongside Hywel Dda University Health Board a core partner throughout has been Swansea University. In November 2018, the University Vice Chancellor, Professor Richard Davies and the Dean of the School of Management, Marc Clement along with two other members of staff were suspended. The named individuals were the University representatives on the Wellness Village Collaboration Board. CCC have no further details regarding these suspensions or details regarding possible links to the Wellness Village.

## Swansea Bay City Deal

The Village is one of eleven projects within the £1.3 billion Swansea Bay City Deal programme.

As required by the HM Treasury Green Book Model, a full five case business plan for the Well-Being Village has been developed for the City Deal funding. This comprises the following elements;

- **Strategic Case** – describes the status quo, case for change and expected outcomes. In doing so, it indicates the direction of travel and demonstrates project alignment with, and contribution to, key national, regional and local strategies/legislation, including the Well-being of Future Generations Act 2015.
- **Economic Case** – presents the economic rationale for developing the Life Science and Wellbeing Village, focusing on multi-sector job creation and Gross Value Added (GVA). This section also includes a detailed health outcomes appraisal and outlines how the project was determined.
- **Financial Case** - examines capital and revenue costs of the City Deal funding elements and their component parts, assumptions around space requirements and the projected occupancy and business needs over the 15 year period.
- **Commercial Case** - focuses on the competitive dialogue procurement exercise to identify development partner/s.
- **Management Case** - sets out the governance structure in place to deliver the Village.

The Joint Committee Agreement for the Swansea Bay City Deal which provides the legal framework for the Deal was unanimously approved by Full Council in July 2018. The agreement stipulates that in order to release City Deal funding for projects a full five case business plan, based on the HM Treasury Green Book Model, must be submitted to the UK and Welsh Governments for formal review and approval. This can only be done with prior approval of the lead Authority for the project, as stipulated in clause 12.3(a) of the Joint Committee Agreement.

The Full Business Case for the Village details the economic and social benefits that could be delivered through City Deal investment of £40m. This will provide capital for the Community Health Hub infrastructure which houses three critical elements of the Village namely:

1. An **Institute of Life Science** – bringing research and development expertise together with businesses specialising in innovation in clinical fields such as biomarkers and genes; patient and population health informatics; microbes and immunity; and medical devices
2. An **Joint Clinical Research Facility** - exploring innovation in broader health and wellbeing business and research and development activities
3. A **Wellbeing Skills Centre** – providing a skills, education and training facility linked to modern clinical practice and service delivery and business development activity in health and wellbeing

These three elements are targeted to be complete by September 2021.



The Business Case required a series of Critical Success Factors/ Economic Output to be determined which could be reasonably expected to be achieved through City Deal investment. These projections are based upon the most recent government guidance and specialist expert advice.

**Critical Success Factors / Economic Outputs:**

The Village proposes to deliver the following key economic outcomes / outputs;

- New infrastructure = £199.5m investment
- New jobs created = 1,853
- New enterprises created = 50
- Number of companies accelerated = 50
- Number of companies supported = 150
- New Intellectual Property (IP) created = 50 including patents, trademarks, registered designs and other IP
- Community groups actively participating in Village facilities = 50
- New skills development pathways for health and well-being workforce – 60
- Undergraduate places in related fields = 700 by 2021
- Postgraduate places in related fields = 400 by 2027
- Reduction in the number of young people in the adjacent Community First area, within the NEET category = 3%

**In addition to the economic and social critical success factors a number of Health Outcomes Improvement & System Benefits were also identified.**

Examples include;

- Reduced GP visits = 20% from the catchment area of the village
- Reduced hospital admissions = 20% from the target community facilities
- Improved rate of hospital return admissions for chronic conditions
- Improved mental health and wellbeing of local population through improved promotion, prevention and timely access to appropriate interventions
- Improved early detection and care of frail people including those with dementia
- specifically aimed at maintaining wellbeing and independence
- Encouraging and supporting people to make healthier choices and reduce the number of people who engage in risk taking behaviours.

Projections on the health economic benefits of the proposed Life Sciences & Wellness Village have a combined NPV of £54.4m over the agreed 15-year time period set by Welsh Government.

## **Full Business Case**

On the 3rd December the Executive Board considered the Full Business Case of the Wellness Village. Executive Board determined that the 5 case Business Plan was robust and ready for submission to the UK and Welsh Government for approval and the subsequent draw down of £40m City Deal funding. Members however, and in order to provide assurance to the Authority, requested that officer's report back to Executive Board with the required reassurances that all due process and governance had been followed.

Accordingly, in December 2018 the Council asked Acuity Legal Limited to review the status of the Life Science and Wellbeing Village project. This includes a review of the public procurement process, the collaboration agreement and the Council's actions in the latter part of 2018 in relation to the collaboration agreement. This review is underway and the update will be shared with Members once the review has been considered by Exec Board.

Acuity has been asked to undertake a review of the status of the Llanelli Wellness Village Project at Delta Lakes. The review covers an outline appraisal of the key mechanisms through which the Council is protected in relation to the Project. These include its decision making process in the Public Procurement process, the terms of the Collaboration Agreement with Sterling Health Security Holdings Limited (Sterling) and Swansea University (University) and the Council's actions in the latter part of 2018 under the processes established within the Collaboration Agreement

## **Procurement and Collaboration Agreement**

At its 3<sup>rd</sup> December meeting, Executive Board also heard that the Collaboration Agreement with Swansea University and Sterling Health Securities Holding Ltd was intended simply to try to prepare a Development Agreement to take the scheme forward. The Board were informed that a Development Agreement had not been reached. There was therefore no legally binding commitment or liability on the Council to maintain the arrangements of the Collaboration Agreement.

The Executive Board asked officers whether an alternative method to deliver this essential project could be found. Officers considered that this was entirely feasible. Executive Board therefore determined that "officers be requested to look at alternative delivery methods to ensure that this extremely important and much needed investment in Llanelli can be completed".

The County Council subsequently decided to implement clause 53 of the collaboration agreement and terminate the collaboration agreement with both Sterling Health Security Holdings Ltd and Swansea University.

The Authority has engaged an independent financial market consultant to develop a whole site business/financial plan for the Wellness Village, with a view to leveraging private sector investment. This work comprises detailed financial modelling and development appraisals of all elements to ascertain the investability of the Village.

It is concluded that, following review of the initial appraisals and potential rental income from various properties, subject to the financial structure being agreed, lot 1 (social housing, care, rehabilitation and assisted living) and lot 2 (Wellness Hub, Car Park and Life Sciences Building) would achieve a strong private sector financing commitment from a number of private sector investors. This would require an Information Memorandum to be sent to a pre-selected number of Investors outlining the project together with financial information and business plans. The aim of this would be to obtain best value for the Borrower, and best long- term Investor partner for the project. Such an exercise would take around 3 months to complete once the Information Memorandum is complete.

**Summary:**

In summary, it is recognised that the Wellness Village will deliver significant social, economic and environmental benefits at both a local and regional level. The importance of job creation, education/training programmes and health outcomes improvement, particularly within adjacent communities can not be underestimated. These areas are amongst the most deprived in Wales and, as indicated in public consultation, have low aspirations in respect of educational attainment and career progression. The Village is being developed with overwhelming support from the local community, whose input continues to shape the project.

The proposals are forward thinking and designed to catalyse investment and will see the region becoming a key participant within the UK life science and digital health care sectors. The Village has been identified by the Department for International Trade as a High Potential Opportunity project and will feature in a Welsh portfolio to be marketed across 108 countries. This evidences the viability and attractiveness of the Wellness Village and will further raise its profile, helping to attract inward investment.

Whilst we await the full outcome of the legal review, preliminary findings suggest that due process and governance has been fully observed throughout the procurement process and following the signing of the Collaboration Agreement A number of other reviews are expected to begin including at the Authority’s invitation, a Wales Audit Office to review project compliance, risk management and governance arrangements and management of public assets.

To maintain the project delivery plan, work continues to timescale to develop the design of phase 1 components; the Wellness Hub, Community Health Hub and primary infrastructure. Partnership working, vital to maximising the opportunities for the project, remains strong and all stakeholder partners are committed to ensuring its long-term success. To this end, the Council has taken expert legal advice on how best to retain a working relationship with Swansea University. The University are a key partner, having signed a Memorandum of Understanding with the Council and Health Boards in 2016, and have contributed to the ILS and education/training proposals, together with digital connectivity.

<b>DETAILED REPORT ATTACHED?</b>	<b>No</b>
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**EICH CYNGOR arleinamdani**  
[www.sirgar.llyw.cymru](http://www.sirgar.llyw.cymru)

**YOUR COUNCIL doitonline**  
[www.carmarthenshire.gov.wales](http://www.carmarthenshire.gov.wales)

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## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Wendy Walters

Director of Regeneration & Policy

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>

### 1. Policy, Crime & Disorder and Equalities

The report has been developed in line with a range of National and Local Strategies, these are detailed in section 5 of the report. In summary:

- A Strategic Regeneration Plan for Carmarthenshire 2015-2030 - Transformations
- Swansea Bay City Region – City Deal 2017
- The Strategy for Older People in Wales (2013-2023)
- The Social Services and Wellbeing (Wales) Act 2014
- The Integrate Commissioning Strategy
- Carmarthenshire Corporate Strategy 2015 to 20120
- Carmarthenshire’s Vision for Sustainable Services for Older People for the Next Decade
- Carmarthenshire Integrated Community Strategy 2011 to 2016
- Carmarthenshire County Council Housing LIN
- Hywel Dda University Health Board’s Mission Statement and Strategic Objects
- The Wellbeing of Future Generations Act.

### 2. Legal

The infrastructure development will be carried out in line with planning guidance and associated legal requirements. Advice on the optimal arrangements for site development and operation will be provided through Carmarthenshire County Council Legal Team.

Independent legal advice has been taken throughout the procurement process, and the subsequent termination of the Collaboration Agreement. The review of the processes is similarly being undertaken by independent lawyers.

### 3. Finance

The basis of the City Deal funding for the 11 projects in the region, is that the funding from both Welsh Government and UK Government will be paid on equal instalments over a 15 year period.

Each of the Authorities in the City Region area will be required to undertake upfront borrowing to deliver their projects in advance of the funding being paid by the WG and the UKG.

The funding for this project (Llanelli Life Science and Well-Being Village) in total from the City Deal funds is £40m and the Authority will need to borrow part or all of these funds with the principal being repaid in full over the 15 year period. Interest accruing on this borrowing will be accommodated as part of the project cost (partly through the NNDR retention policy).

The level of borrowing will be dependent upon the draw down profile of the expenditure and the timing of other City deal projects within the region. The procedures around the management of the City Deal Funding is detailed within the Joint Agreement.

The borrowing requirement will be incorporated within the Annual Treasury Management Policy and Strategy as this and the other City deal projects develop. The effective draw down of this borrowing will then be reported through the quarterly Treasury Management monitoring reports.

To manage the funding of the project in the most effective way for the benefit of the Local Authority and the Project itself, delegated authority has been given to the Director of Corporate Services to manage the financial delivery including the borrowing draw down. This will be undertaken within the parameters laid down within the Treasury Management Policy and Strategy.

If any further funding conditions are to be imposed on the release of City Deal monies by UKG and WG these will be considered and separately reported to Executive Board / County Council (as appropriate) for approval. Likewise any further financial implications of this project including any budget implications that are not already incorporated within the County Council Revenue Budget or Capital Programme will also be separately reported back to Executive Board / County Council (as appropriate) for approval.

### 4. ICT

The integrated partnership working on site will be facilitated through linked ICT. Initial conversations have been held, links for both communications and performance management will be specified as service and infrastructure configuration progresses.

A Full Digital Strategy is in development and will set out how data assets and technology-focused initiatives (such as Digital Healthcare) will be maximised at the Village through utilisation of appropriate platforms and applications. As part of this process, data sharing initiatives will need to be agreed in line with legislation and policy.

ICT arrangements will be in accord with the digital strategy for the Swansea Bay City Deal programme and the deployment of digital infrastructure will, so far as is possible, complement and link to other City Deal projects,

## 5. Risk Management Issues

The responsibility for the development and management of the risk register including appropriate mitigations has been delegated by the Llanelli Wellness and Life Science Project Board to the Project Management Office which is managed by CCC. A detailed risk register is held and managed by the PMO. The principal risks are set out below, full risk mitigation strategies are in place to ensure that the project outcome can be achieved

- Appropriate working arrangements are not put in place to maintain dialogue with and input from Swansea University
- The series of reviews underway cause delay to the project
- The full financial envelope for the Village is not secured

In taking the Village forward, the development of the project delivery structure will be subject to legal scrutiny, minimising the Council's exposure to risk and challenge.

## 6. Staffing

The Wellness Village will bring about positive impacts on employment and staffing – it is projected to create 1,853 jobs by Year 15 across a range of key sectors such as health and care, leisure, tourism and hospitality/catering. Affiliated education, training and skills development programmes delivered at the Village will benefit local recruitment and retention. This will be a mixture of formal teaching and placement opportunities delivered in partnership with higher and further education providers across the region. To conclude, no adverse impacts are expected on existing staffing.

## 7. Physical Assets

The Llanelli Life Science and Well-Being Village will be based on Local Authority owned land at Delta Lakes, Llanelli. The £200m investment provides a 'once in a generation' opportunity to provide landmark facilities for community use and, as such, a significant enhancement of physical assets can be expected. In respect of council-owned stock, this is likely to include,

- A Wellness Hub, providing new, modern leisure facilities for the people of Carmarthenshire
- Nursing home and extra care facility in line with demographic demand
- Social and affordable housing
- Outdoor recreation and free-to-access open green space

The interconnectivity of physical assets, buildings and open space will significantly add the Council's physical assets and can help break the generational cycle of deprivation and improve the aspirations and opportunities of those within adjacent deprived wards.

The Outline Planning Application was unanimously approved at an extraordinary planning committee on Thursday 10th January, pending National Resources Wales Flood Consequences Assessment and Welsh Government approval

Mae'r dudalen hon yn wag yn fwriadol



**Y PWYLLGOR CRAFFU POLISI AC ADNODDAU  
6 CHWEFROR 2019**

**COFNODION BWRDD GWASANAETHAU CYHOEDDUS (BGC) SIR  
GÂR – MEDI 2018**

**Ystyried y materion canlynol a chyflwyno sylwadau arnynt:**

I ystyried a chraffu ar gynnwys cofnodion y BGC o'i gyfarfod a gynhaliwyd ar y 20 Medi 2018.

**Y Rhesymau:**

Mae Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015 yn nodi gofyniad i bwyllgor craffu llywodraeth leol gael ei benodi i graffu gwaith y BGC. Yn Sir Gâr, mae'r Pwyllgor Craffu Polisi ac Adnoddau wedi ei benodi fel y pwyllgor craffu priodol.

**Angen cyfeirio'r mater at y Bwrdd Gweithredol / Cyngor er mwyn gwneud penderfyniad:  
NAC OES**

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:- Cyng. Emlyn Dole

**Y Gyfarwyddiaeth:**

**Enw Pennaeth y Gwasanaeth:**

Wendy Walters

**Awdur yr Adroddiad:**

Gwyneth Ayers

**Swyddi:**

Cyfarwyddwr Adfywio a Pholisi

Rheolwr Polisi Corfforaethol a  
Phartneriaeth

**Rhifau ffôn:**

01267 224659

**Cyfeiriadau E-bost:**

[WSWalters@sirgar.gov.uk](mailto:WSWalters@sirgar.gov.uk)

[GAyers@sirgar.gov.uk](mailto:GAyers@sirgar.gov.uk)

**EXECUTIVE SUMMARY**  
**POLICY & RESOURCES SCRUTINY COMMITTEE**  
**6 FEBRUARY 2019**

**CARMARTHENSHIRE PUBLIC SERVICES BOARD (PSB) MINUTES**  
**SEPTEMBER 2018**

In order to ensure PSBs are democratically accountable, the Well-being of Future Generations (Wales) Act 2015 places a requirement on councils to designate an overview and scrutiny committee to scrutinise the work of the PSB. In the 'Guidance for Local Authority Scrutiny Committees on the scrutiny of Public Services Boards' issued by Welsh Government in August 2017 it notes:

*'Under the provisions contained in the Act, overview and scrutiny committees have extensive powers to review the PSB's governance arrangements as well as any decisions made or actions taken by the PSB. In addition, overview and scrutiny committees are provided with considerable reporting powers as they are required to share copies of any reports or recommendations made in connection with the board's function or governance arrangement with the Welsh Ministers, the Future Generations Commissioner for Wales and the Auditor General for Wales.'*

In Carmarthenshire, the Council's Policy & Resources Scrutiny Committee has been designated as the relevant scrutiny.

The minutes of the September 2018 Carmarthenshire PSB meeting were approved by the PSB at its 14 January 2019 meeting.

The minutes are presented to the Council's Policy & Resources Scrutiny for further consideration and scrutiny.

**DETAILED REPORT ATTACHED?**

**YES**

**IMPLICATIONS**

**I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :**

**Signed:** Wendy Walters, Director of Regeneration & Policy

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>YES</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>

## 1. Policy, Crime & Disorder and Equalities

The presentation of PSB minutes to a designated overview and scrutiny committee is a requirement of the Well-being of Future Generations (Wales) Act 2015. Paragraph 181 of Statutory Guidance SPSF 3: Collective role notes:

*'The (scrutiny) committee must send a copy of any report or recommendation it makes to the Welsh Ministers, the (Future Generations) Commissioner and the Auditor General for Wales.'*

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Wendy Walters, Director of Regeneration & Policy

1. Local Member(s) N/A

2. Community / Town Council N/A

3. Relevant Partners Through the Public Services Board meeting

4. Staff Side Representatives and other Organisations N/A

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
SPSF 3 – Guidance on the collective role through public services boards		<b>Cymraeg</b> <a href="http://gov.wales/docs/desh/publications/161111-spsf-3-collective-role-cy.pdf">http://gov.wales/docs/desh/publications/161111-spsf-3-collective-role-cy.pdf</a> <b>English</b> <a href="http://gov.wales/docs/desh/publications/161111-spsf-3-collective-role-en.pdf">http://gov.wales/docs/desh/publications/161111-spsf-3-collective-role-en.pdf</a>
Guidance for Local Authority Scrutiny Committees on the scrutiny of Public Services Boards		<b>Cymraeg</b> <a href="http://gov.wales/docs/dpsp/publications/170817-public-services-boards-guidance-cy.pdf">http://gov.wales/docs/dpsp/publications/170817-public-services-boards-guidance-cy.pdf</a> <b>English</b> <a href="http://gov.wales/docs/dpsp/publications/170817-public-services-boards-guidance-en.pdf">http://gov.wales/docs/dpsp/publications/170817-public-services-boards-guidance-en.pdf</a>

Mae'r dudalen hon yn wag yn fwriadol



**1.30pm, Dydd Iau 20 Medi 2018**  
**Pencadlys Heddlu Dyfed-Powys, Llangynnwr, Caerfyrddin**

**COFNODION**

<b>Yn bresennol</b>	
<b>Enw</b>	<b>Sefydliad</b>
Barry Liles (Cadeirydd)	Prifysgol Cymru y Drindod Dewi Sant
Huwel Manley	Cyfoeth Naturiol Cymru
Rob Quin	Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru
Y Cyngorydd David Jenkins	Cyngor Sir Caerfyrddin
Andrew Cornish	Coleg Sir Gâr
Julian Atkins	Awdurdod Parc Cenedlaethol Bannau Brycheiniog
Ros Jervis	Bwrdd Iechyd Prifysgol Hywel Dda
Sarah Jennings	Bwrdd Iechyd Prifysgol Hywel Dda
Anna Bird	Bwrdd Iechyd Prifysgol Hywel Dda
Marie Mitchell	Cymdeithas Gwasanaethau Gwirfoddol Sir Gâr
Jonathan Feild	Yr Adran Gwaith a Phensiynau

<b>Yn gwasanaethu</b>	
<b>Enw</b>	<b>Sefydliad</b>
Gwyneth Ayers	Cyngor Sir Caerfyrddin
Kate Thomas	Cyngor Sir Caerfyrddin
Sophie Rees	Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru
Y Cyngorydd Handel Davies	Cyngor Sir Caerfyrddin
Jo Creasey	Cydgysylltydd Llesiant Rhanbarthol
Martyn Palfreman	Cyngor Sir Caerfyrddin

**1. Croeso ac Ymddiheuriadau**

<b>Ymddiheuriadau</b>	
<b>Enw</b>	<b>Sefydliad</b>
Y Cyngorydd Emlyn Dole	Cyngor Sir Caerfyrddin
Wendy Walters	Cyngor Sir Caerfyrddin
Y Cyngorydd Jan Curtice	Awdurdod Tân ac Achub Canolbarth a Gorllewin Cymru
Jane Davidson	Prifysgol Cymru y Drindod Dewi Sant
Yr Athro Jean White	Llywodraeth Cymru
Uwcharolygydd Gary Mills	Heddlu Dyfed-Powys
Carys Morgans	Swyddfa'r Comisiynydd Heddlu a Throsedd
Christine Harley	Y Gwasanaeth Prawf Cenedlaethol

## 2. Cofnodion a Materion yn Codi: 12 Gorffennaf 2018

- Rhoddwyd y wybodaeth ddiweddaraf am y camau gweithredu:
- Cam Gweithredu 3 – roedd trafodaeth gychwynnol wedi cael ei chynnal gyda'r Prif Arolygydd Yelland a bydd y gwaith hwn yn cael ei wneud gan y Grŵp Cyflawni Ymyriadau Cynnar. Nodwyd mai'r Prif Arolygydd Dyfed Bolton yw arweinydd newydd yr heddlu ar gyfer Prosiectau Niweidiol yn ystod Plentyndod. Mae safleoedd yn cael eu nodi gan Gyfoeth Naturiol Cymru a'r Awdurdod Parc Cenedlaethol a chynhelir rhagor o drafodaethau.
- Cam Gweithredu 5 - cytunwyd y dylai aelodau'r BGC ddod â syniadau ar gyfer prosiectau perthnasol i gyfarfod y BGC ym mis Tachwedd i'w trafod, ac y dylid gwahodd swyddog datblygu cymunedol Antur Teifi i'r cyfarfod hwn.
- Cam Gweithredu 6 – roedd cynrychiolwyr wedi'u nodi, fel oedd yn briodol.
- Cam Gweithredu 8 – byddai cynrychiolydd cyfathrebu yn cael ei gytuno yn y cyfarfod ar ôl y BGC.
- Cam Gweithredu 9 – roedd papur wedi'i gyflwyno ynghylch Caffael Bwyd Lleol a byddai hwn yn cael ei ystyried fel rhan o'r eitem ynghylch y Wybodaeth Ddiweddaraf am y Cynllun Llesiant ar yr agenda.
- Cam Gweithredu 10 – fel y cytunwyd, bydd y BGC hwn yn cynnal cyfarfod rhanbarthol nesaf y Byrddau Gwasanaethau Cyhoeddus a gofynnwyd i'r aelodau gyflwyno eitemau ar gyfer yr agenda.
- Cam Gweithredu 11 – cadarnhawyd bod Academi Wales yn cynnal digwyddiad rhanbarthol ar gyfer Byrddau Gwasanaethau Cyhoeddus o'r enw 'Datblygu Perthnasoedd Proffesiynol drwy Gydweithio ac Ymddiriedaeth' ar 27 Tachwedd. Bydd y sesiwn yn cael ei gynnal fel rhan o Wythnos Arweinyddiaeth Ranbarthol ac mae'n agored i'r Byrddau Gwasanaethau Cyhoeddus yn ardal Dyfed Powys, Abertawe a Chastell-nedd Port Talbot.
- Dywedwyd y byddai adroddiad y Senedd, yn dilyn Ymchwiliad y Pwyllgor Cydraddoldeb, Llywodraeth Leol a Chymunedau, ar gael o gwmpas adeg y Nadolig.
- Cam Gweithredu 12 – byddai cyfarfod yn cael ei drefnu i symud ymlaen o ran y cam gweithredu hwn sy'n ymwneud â cheisiadau cynllunio.
- Cytunwyd bod y cofnodion yn gofnod gwir a chywir.
- Croesawyd Andrew Cornish a Jo Creasey i'r cyfarfod.

Y CAMAU GWEITHREDU	
Nodi prosiectau sylweddol a allai elwa o gyllid fferm wynt Fforest Brechfa ac a fyddai'n helpu i gyflawni amcanion y Cynllun Llesiant, i'w hystyried yng nghyfarfod y BGC ym mis Tachwedd 2018.	PAWB
Gwahodd Aled Vaughan-Owen, swyddog datblygu cymunedol, i gyfarfod y BGC ym mis Tachwedd 2018 i drafod cynigion prosiect fferm wynt Fforest Brechfa.	Tîm Cymorth y BGC
Dosbarthu gwybodaeth am y sesiwn datblygu rhanbarthol i Fyrddau Gwasanaethau Cyhoeddus, sef ' <i>Datblygu Perthnasoedd Proffesiynol drwy Gydweithio ac Ymddiriedaeth</i> ' sy'n cael ei gynnal gan Academi Wales ar 27 Tachwedd 2018 yn Y Llwyfan, Caerfyrddin.	Gwyneth Ayers
Cytuno ar gynrychiolydd cyfathrebu i'w wahodd i gyfarfodydd y BGC yn y dyfodol.	Gwyneth Ayers
Cyflwyno eitemau awgrymedig i Gwyneth Ayers ar gyfer agenda cyfarfod rhanbarthol nesaf y Byrddau Gwasanaethau Cyhoeddus.	PAWB
Trefnu cyfarfod â Chyfarwyddwr yr Amgylchedd, y Pennaeth Cynllunio a'r Awdurdod Parc Cenedlaethol i drafod buddsoddiad gan y sector preifat yn ymwneud â cheisiadau cynllunio.	Gwyneth Ayers

### 3. Y Wybodaeth Ddiweddaraf am Bentref Llesiant a Gwyddor Bywyd Llanelli

- Rhoddodd Steffan Jenkins, Rheolwr Datblygu Economaidd y Cyngor, y wybodaeth ddiweddaraf am y prosiect, dosbarthodd gynllun a oedd yn dangos y gwahanol elfennau a dangosodd fideo a oedd yn dangos y safle o'r awyr. Mae'r prosiect hwn, a fydd yn costio £200 miliwn, yn un o 11 prosiect yn rhaglen y Fargen Ddinesig a bydd yn derbyn £40 miliwn o'r cyllid. Mae cyflawni'r prosiect yn dibynnu ar fuddsoddiad gan y sector preifat.
- Cyflwynwyd yr achos busnes i Lywodraeth Cymru a'r Llywodraeth Ganolog ym mis Awst 2018 a disgwyli'r penderfyniad yn fuan iawn.
- Mae gwaith yn parhau ym maes sgiliau a hyfforddiant, dan arweiniad Prifysgol Abertawe, ac mae cyrsiau, lleoliadau a chyfleoedd am swyddi yn cael eu mapio. Bydd Prifysgol Abertawe yn darparu cyrsiau israddedig ac ôl-raddedig o'r Pentref a bydd 60 o gyrsiau presennol sy'n cyd-fynd â'r Pentref yn cael eu darparu gan Goleg Sir Gâr.
- Cyflwynwyd cais cynllunio amlinellol ym mis Mawrth 2018. Disgwyli'r y bydd y cais hwn yn cael ei ystyried gan y Pwyllgor Cynllunio cyn diwedd Rhagfyr 2018.
- Dylai'r ddau brif adeilad gael eu defnyddio erbyn Medi 2021.
- Eglurwyd y bydd ysgol Heol Goffa yn symud ychydig i'r gogledd o'r safle.
- Mewn ymateb i gwestiwn gan Rob Quin yn ymwneud â'r ystyriaeth sy'n cael ei rhoi i gyfleoedd gwaith a hyfforddiant i bobl leol yn ystod y cam adeiladu, cadarnhaodd Steffan fod strategaeth glir o ran budd i'r gymuned. Mae darpariaeth ar gyfer tua 50% o'r gadwyn gyflenwi leol o Dde Cymru a 25% o'r ardal yn union gerllaw yn y gwaith o adeiladu'r ddau brif adeilad a'r seilwaith. Nodwyd mai hwn oedd y bwriad ond codwyd pryderon na fyddai hyn yn bosibl efallai yn dibynnu ar yr ymateb i'r tendr. Dylai'r broses dendro fod yn hygyrch i fusnesau bach a chanolig, a gellid annog busnesau lleol i gydweithio. Dywedodd Steffan Jenkins fod disgwyl mai busnesau lleol fyddai'r prif gontractwyr ar gyfer y cynlluniau llai. Cadarnhaodd y Cyngorydd Dai Jenkins fod cymorth yn ymwneud â phrosesau caffael ar gael ar gyfer busnesau bach a chanolig.
- Cyfeiriodd Ros Jervis at siarter gomisiynu a lofnodwyd gan Arweinwyr y Ddinas yng Ngorllewin Canolbarth Lloegr a oedd yn mynnu bod unrhyw dendr a gyflwynwyd yn bodloni prawf gwerth cymdeithasol er mwyn dod â gwerth yn ôl i'r ddinas.
- Mewn ymateb i gwestiwn ynghylch a oedd elfen o baratoi ar gyfer y dyfodol wedi'i chynnwys yn y prosiect, cadarnhaodd Steffan fod lle ehangu busnes a chyfleoedd eraill wedi cael eu galluogi ar y safle.
- Gofynnodd Jo Creasey pa ystyriaeth a roddwyd i leihau effaith amgylcheddol y prosiect. Cadarnhawyd bod materion cynaliadwyedd a'r effaith amgylcheddol wedi cael eu cynnwys yn y Cais Cynllunio Amlinellol ac y byddai cynlluniau trafniadaeth a rheoli gwastraff yn cael eu datblygu.

#### Y CAM GWEITHREDU

Gwahodd Steffan Jenkins i'r cyfarfod 'Pobl a Lleoedd Ffyniannus' nesaf.

Jonathan Hancock

### 4. Cynllun Ardal a Phartneriaeth Gofal Gorllewin Cymru

- Roedd llythyr gan y Comisiynydd Plant a Chomisiynydd Cenedlaethau'r Dyfodol wedi cael ei anfon at Gadeiryddion Byrddau Gwasanaethau Cyhoeddus a Byrddau Partneriaeth Ranbarthol ynghylch alinio gwaith y ddau Fwrdd hyn. Estynnodd Barry Liles groeso i Martyn Palfreman, Pennaeth Cydweithredu Rhanbarthol ac arweinydd y Bwrdd Partneriaeth Ranbarthol i'r cyfarfod. Cadarnhaodd Martyn fod y Bwrdd Partneriaeth Ranbarthol wedi ystyried y llythyr yn ei gyfarfod y bore hwnnw a'i fod yn awyddus i ymgysylltu â'r Byrddau Gwasanaethau Cyhoeddus. Amlinellodd Martyn y Ddeddf Gwasanaethau Cymdeithasol a Llesiant a oedd yn gosod y fframwaith ar gyfer gwasanaethau gofal cymdeithasol, iechyd cymuned a llesiant ledled Cymru ac yn sefydlu'r Byrddau Partneriaeth Ranbarthol. Sefydlwyd ein Bwrdd Partneriaeth Ranbarthol ni, sy'n cwmpasu ardal Hywel Dda, ym mis Mai 2016, ar yr un pryd â'r BGC. Mae'r gwaith o integreiddio gwasanaethau iechyd a gofal yn cael ei hyrwyddo ac mae gofynion o ran cyfuno cronfeydd. Cyfeiriwyd at y

tebygrwydd yn y ddeddfwriaeth a Deddf Llesiant Cenedlaethau'r Dyfodol, a chan fod yr Asesiad Poblogaeth ar gyfer y Cynllun Ardal wedi'i gynnal ar yr un pryd â'r Asesiad Llesiant, cafodd yr ymgynghoriadau eu halinio.

- Roedd Martyn yn bresennol yng nghyfarfod rhanbarthol y Byrddau Gwasanaethau Cyhoeddus ac mae wedi ymrwmo i weithio gyda swyddogion y BGC i sicrhau nad oes gorgyffwrdd o ran gwaith y ddau Fwrdd. Mae'r Cynllun Ardal yn cyfeirio at greu cysylltiadau strategol ac mae cynllun Llywodraeth Cymru ar gyfer Iechyd a Gofal Cymdeithasol, sef "Cymru Iachach", yn cyfeirio at rôl y Byrddau Gwasanaethau Cyhoeddus o ran mynd i'r afael â phenderfynyddion ehangach iechyd.
- Trafodwyd y meysydd cyffredin rhwng y ddau Fwrdd a'r Cynlluniau a dywedwyd efallai y bydd cyfleoedd i fwrw ymlaen â rhai o'r meysydd sydd wedi'u cynnwys yn y Cynllun Llesiant, megis rhagnodi cymdeithasol, ymyrraeth gynnar, datblygu asedau cymunedol a chefnogi mentrau lleol. Efallai y bydd y Byrddau'n gallu cydweithio i ddarparu gwasanaethau mwy hygyrch.
- Cyfeiriodd Martyn at gais i'r 'Gronfa Trawsnewid', ac mae wedi bod yn cynnal trafodaeth â Byrddau eraill – Cefnogi Pobl a'r Bwrdd Cynllunio Ardal o ran camddefnyddio sylweddau i fapio nodweddion cyffredin a ffurfioli'r trefniadau adrodd.
- Cytunwyd y dylai'r Byrddau gydweithio i fanteisio i'r eithaf ar y gwaith ar themâu cyffredin.
- Cadarnhaodd Sarah Jennings fod y Bwrdd Partneriaeth Ranbarthol yn symud tuag at fod yn fwrdd cyflawni â chyllid sylweddol o £800 miliwn gan y Bwrdd Iechyd Prifysgol ac awdurdodau lleol ar gyfer gwasanaethau iechyd a gofal cymdeithasol integredig.
- Mae angen egluro rôl y BGC a phenderfynyddion ehangach iechyd a chyfrifoldeb cyflawni y Bwrdd Partneriaeth Ranbarthol o ran iechyd a gofal cymdeithasol.
- Cytunwyd i gynnal cyfarfod i drafod cydweithio rhwng y Byrddau, i'w fynychu gan Sarah Jennings, Marie Mitchell, Ros Jervis, Gwyneth Ayers a Martyn Palfreman. Byddai'r cynnig yn cael ei gyflwyno eto mewn cyfarfod yn y dyfodol.
- Dywedodd Julian Atkins na chyfeirir at rôl yr amgylchedd naturiol a'r defnydd o fannau gwyrdd yn y Cynllun Ardal. Mewn ymateb dywedodd Martyn fod llinell niwlog o ran cyfrifoldeb y Byrddau Gwasanaethau Cyhoeddus. Dywedodd Ros Jervis fod y Fframwaith Iechyd a Llesiant sy'n cael ei ddatblygu yn cynnig ymagwedd system gyfan tuag at lesiant dros yr ugain mlynedd nesaf a bod cysylltiad agos iawn â'r amgylchedd.

#### Y CAM GWEITHREDU

Trefnu cyfarfod arall i ddatblygu cydweithio ymhellach rhwng rhaglenni gwaith y BGC a'r Bwrdd Partneriaeth Ranbarthol.	Tîm Cymorth y BGC
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#### 5. Cynllun Llesiant Sir Gaerfyrddin - y wybodaeth ddiweddaraf

- Cadarnhaodd Gwyneth Ayers y bydd y grwpiau cyflawni i gyd wedi cwrdd erbyn diwedd mis Medi ac y byddant yn datblygu eu cynlluniau prosiect. Mae'r grwpiau yn amrywio o ran pa mor ffurfiol ydynt ac yn datblygu fel sy'n briodol ar gyfer eu hanghenion. Bydd adrodd ffurfiol gan y grwpiau cyflawni yn dechrau yng nghyfarfod y BGC ym mis Tachwedd, a hwn fydd cyfle cyntaf y BGC i weld sut y bydd y grwpiau yn cyflawni'r Cynllun Llesiant ac os bydd hyn yn bodloni gofynion y BGC.
- Amlinellodd Barry Liles y papur a oedd wedi'i gyflwyno o'r enw "Tasglu Caffael Bwyd Sir Gaerfyrddin". Y nod yw bod gwasanaethau cyhoeddus lleol yn sicrhau "difidend dwbl" – mynd i'r afael ag arferion iach a bwyta'n dda mewn ysgolion, a hefyd defnyddio llafur lleol a gwario punt Sir Gaerfyrddin yn Sir Gaerfyrddin.
- Y gobaith yw y bydd caffael lleol yn bosibl ac mae'r Athro Kevin Morgan o Brifysgol Caerdydd yn awyddus i gefnogi'r gwaith yn Sir Gaerfyrddin.
- Ystyriodd y partneriaid pwy ddylai fynychu'r gweithdai sydd wedi eu cynllunio.
- Cytunwyd y byddai'r Grŵp Cyflawni 'Pobl a Lleoedd Ffyniannus' yn goruchwylio'r prosiect.



## Y CAM GWEITHREDU

Sicrhau bod aelod o'r BGC a swyddog caffael yn bresennol yng ngweithdy Tasglu Caffael Bwyd Sir Gaerfyrddin ar 18 Hydref yng Ngelli Aur.

PAWB

## 6. System Llwyfan Ddigidol Ranbarthol

- Amlinellodd Kate Thomas gefndir datblygu'r system bwrsol hon, a oedd wedi ei pharatoi gyda Byrddau Gwasanaethau Cyhoeddus Ceredigion a Sir Benfro. Byddai'r system o gymorth mewn perthynas ag asesiadau llesiant yn y dyfodol ac asesu perfformiad yn erbyn mesurau a'r Cynllun Llesiant. Byddai'r broses o gasglu data a dadansoddi'r data hwnnw yn cael ei gwella, byddai data allanol yn cael ei ychwanegu wrth iddo gael ei ddiweddarau a byddai'r holl ffynonellau data yn cael eu cadw gyda'i gilydd.
- Erbyn hyn gallai'r Byrddau Gwasanaethau Cyhoeddus fwrw ymlaen i dendro ar gyfer datblygu a gweithredu'r system ac amcangyfrifir y bydd yn costio dros £50,000. Amlinellwyd cyfleoedd cyllido yn y papur.
- Roedd y papur wedi cael ei ystyried yng nghyfarfod BGC Ceredigion yr wythnos honno ac roedd y system wedi cael ei chefnogi gan gytuno ar yr argymhelliad y dylid cysylltu â Llywodraeth Cymru ynghylch cyllid. Cytunwyd i gydgyssylltu â'r Bwrdd Partneriaeth Ranbarthol hefyd gan fod y Bwrdd hwnnw'n gwneud cais am arian o'r 'Gronfa Trawsnewid' yn fuan. Bydd BGC Sir Benfro yn trafod y papur yr wythnos nesaf.
- Siaradodd Rob Quin am bwysigrwydd rhannu gwybodaeth a chyfeiriodd at gyfarfod 'Uwchgynhadledd Galw' amlasiantaeth ar 16 Hydref ar gyfer ymarferwyr. Roedd nifer dda yn bresennol mewn cyfarfod blaenorol a chytunwyd y byddai cytundeb rhannu gwybodaeth ar raddfa fawr yn cael ei gwblhau. Roedd Rob wedi bod yn gweithio gyda chydweithwyr yn yr heddlu i symud hyn ymlaen i'r cam nesaf, sef y gweithdy ym mis Hydref. Byddai cynrychiolwyr o Swyddfa'r Comisiynydd Gwybodaeth a Chytundeb Rhannu Gwybodaeth Bersonol Cymru yn bresennol.
- Dywedodd Ros Jervis wrth yr Aelodau fod Bwrdd Rhaglen Llesiant ARCH wedi cytuno i greu porth data fel mater o flaenoriaeth.
- Cytunodd yr Aelodau i gefnogi'r gwaith o ddatblygu'r System Wybodaeth Ddigidol ac y dylid gofyn i Lywodraeth Cymru ei chyllido gan y gellid ei defnyddio ledled Cymru.

## Y CAMAU GWEITHREDU

Sicrhau cynrychiolaeth sefydliadol yng ngweithdy'r Uwchgynhadledd Galw ar gyfer rhannu gwybodaeth ar 16 Hydref ym Mhencadlys Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru, Caerfyrddin.

PAWB

Cydgysylltu â Ros Jervis ynghylch y porth arfaethedig a gytunwyd gan Fwrdd Rhaglen Llesiant ARCH i sicrhau cysylltiadau â'r System Wybodaeth Ddigidol.

Jo Creasey

Cysylltu â Llywodraeth Cymru ynghylch cyllid ar gyfer y System Wybodaeth Ddigidol.

Kate Thomas

## 7. Dyfarniad ac Ymgyrch y Rhuban Gwyn yn erbyn Cam-drin Domestig

- Rhoddodd Kate Thomas drosolwg o Ymgyrch y Rhuban Gwyn i roi terfyn ar drais gan ddyonion yn erbyn menywod yr oedd llawer o bartneriaid yn gyfarwydd â hi gan eu bod yn nodi Diwrnod y Rhuban Gwyn ar 25 Tachwedd. Eleni, yn Sir Gaerfyrddin, roedd partneriaid wedi cael eu dwyn ynghyd mewn ymagwedd ar y cyd gan gynnwys darparwyr gwasanaethau cam-drin domestig a'r Prosiect Cymorth i Oroeswyr sy'n Fenywod o Gaerfyrddin.
- Mae'r Heddlu, y Gwasanaethau Tân ac Achub a'r Cyngor wedi derbyn statws 'y Rhuban Gwyn' am y ddwy flynedd nesaf. Y bwriad yw cynnal digwyddiad ar y cyd ym mis Hydref, a fydd yn cael ei arwain gan 'lysgenhadon' a enwebir gan y sefydliadau partner. Cafodd yr aelodau eu hannog i gefnogi a chynyddu ymwybyddiaeth o Ymgyrch y Rhuban Gwyn.

## Y CAMAU GWEITHREDU

Cysylltu â Kate Thomas os hoffai sefydliad gymryd rhan mewn hyrwyddo Ymgyrch y Rhuban Gwyn.	PAWB
Ystyried dod yn <a href="#">Llysgennad</a> (dyn) neu'n <a href="#">Hyrwyddwr</a> (menyw) y Rhuban Gwyn.	PAWB

### 8. Y Gronfa Iach ac Egnïol

- Roedd gwybodaeth am y cyfle ariannu hwn gan Lywodraeth Cymru wedi cael ei dosbarthu. Mae £5 miliwn ar gael dros dair blynedd. Mae angen i bob cais gyd-fynd â'r Cynlluniau Llesiant. Mae cysylltiadau â nifer o'n Grwpiau Cyflawni – Ymyriadau Cynnar, Arferion Iach a Chysylltiadau Cryf. Mae gan lechyd Cyhoeddus Cymru a Gwasanaeth Hamdden y Cyngor ddiddordeb mewn gwneud cais am y cyllid hwn. Cynhelir gweithdy briffio ynghylch y grant ar 4 Hydref. Bydd angen ymgysylltu'n eang â phartneriaid. Bydd yr ymgeiswyr llwyddiannus yn cael eu hysbysu ym mis Chwefror 2019.

### 9. Unrhyw Fater Arall

- Diolchodd Sarah Jennings i aelodau'r BGC am eu cyfraniad i'r ymgynghoriad ynghylch 'Trawsnewid Gwasanaethau Clinigol'. Cadarnhaodd y byddai'r Bwrdd lechyd yn gwneud penderfyniad yn y cyfarfod ar 26 Medi ac y byddai rhagor o drafodaethau yn cael eu cynnal yn ystod ei gyfarfod ym mis Tachwedd mewn perthynas â'i ddull strategol o weithredu.

	<b>Crynodeb o'r Camau Gweithredu</b>	<b>Pwy</b>	<b>Y Wybodaeth Ddiweddaraf</b>
	<b>Camau gweithredu yn dilyn y cyfarfod 12 Gorffennaf 2018</b>		
1	Nodi prosiectau sylweddol a allai elwa o gyllid fferm wynt Fforest Brechfa ac a fyddai'n helpu i gyflawni amcanion y Cynllun Llesiant, i'w hystyried yng nghyfarfod y BGC ym mis Tachwedd 2018.	<b>PAWB</b>	I'w drefnu unwaith bydd trefniadau'r gronfa rhanbarthol wedi ei eglurhau.
2	Gwahodd Aled Vaughan-Owen, swyddog datblygu cymunedol, i gyfarfod y BGC ym mis Tachwedd 2018 i drafod cynigion prosiect fferm wynt Fforest Brechfa.	<b>Tim Cymorth y BGC</b>	I'w drefnu unwaith bydd trefniadau'r gronfa rhanbarthol wedi ei eglurhau.
3	Dosbarthu gwybodaeth am y sesiwn datblygu rhanbarthol i Fyrddau Gwasanaethau Cyhoeddus, sef ' <i>Datblygu Perthnasoedd Proffesiynol drwy Gydweithio ac Ymddiriedaeth</i> ' sy'n cael ei gynnal gan Academi Wales ar 27 Tachwedd 2018 yn Y Llwyfan, Caerfyrddin.	<b>Gwyneth Ayers</b>	Wedi ei gwblhau.
4	Cytuno ar gynrychiolydd cyfathrebu i'w wahodd i gyfarfodydd y BGC yn y dyfodol.	<b>Gwyneth Ayers</b>	Wedi ei gwblhau.
5	Cyflwyno eitemau awgrymedig i Gwyneth Ayers ar gyfer agenda cyfarfod rhanbarthol nesaf y Byrddau Gwasanaethau Cyhoeddus.	<b>PAWB</b>	I'w drafod ar yr agenda.
6	Trefnu cyfarfod â Chyfarwyddwr yr Amgylchedd, y Pennaeth Cynllunio a'r Awdurdod Parc Cenedlaethol i drafod buddsoddiad gan y sector preifat yn ymwneud â cheisiadau cynllunio.	<b>Gwyneth Ayers</b>	Yn parhau.
7	Gwahodd Steffan Jenkins i'r cyfarfod 'Pobl a Lleoedd Ffyniannus' nesaf.	<b>Jonathan Hancock</b>	Yn parhau – dyddiad i'w gadarnhau.
8	Trefnu cyfarfod arall i ddatblygu cydweithio ymhellach rhwng rhaglenni gwaith y BGC a'r Bwrdd Partneriaeth Ranbarthol.	<b>Tim Cymorth y BGC</b>	Cyfarfod wedi ei drefnu ar gyfer 22 Ionawr 2019.
9	Sicrhau bod aelod o'r BGC a swyddog caffael yn bresennol yng ngweithdy Tasglu Caffael Bwyd Sir Gaerfyrddin ar 18 Hydref yng Ngelli Aur.	<b>PAWB</b>	Wedi ei gwblhau.
10	Sicrhau cynrychiolaeth sefydliadol yng ngweithdy'r Uwchgynhadledd Galw ar gyfer rhannu gwybodaeth ar 16 Hydref ym Mhencadlys Gwasanaeth Tân ac Achub Canolbarth a Gorllewin Cymru, Caerfyrddin.	<b>PAWB</b>	Wedi ei gwblhau.
11	Cydgysylltu â Ros Jervis ynghylch y porth arfaethedig a gytunwyd gan Fwrdd Rhaglen Llesiant ARCH i sicrhau cysylltiadau â'r System Wybodaeth Ddigidol.	<b>Jo Creasey</b>	
12	Cysylltu â Llywodraeth Cymru ynghylch cyllid ar gyfer y System Wybodaeth Ddigidol.	<b>Kate Thomas</b>	Wedi cysylltu gyda LIC ond dim cyllid ar gael. Y cynnig wedi ei gynnwys yn y cais Cronfa Trawsnewid – aros am ymateb.
13	Cysylltu â Kate Thomas os hoffai sefydliad gymryd rhan mewn hyrwyddo Ymgyrch y Rhuban Gwyn.	<b>PAWB</b>	Wedi ei gwblhau.
	Ystyried dod yn <a href="#">Llysgennad</a> (dyn) neu'n <a href="#">Hyrwyddwr</a> (menyw) y Rhuban Gwyn.	<b>PAWB</b>	Wedi ei gwblhau.

Mae'r dudalen hon yn wag yn fwriadol

## POLICY & RESOURCES SCRUTINY COMMITTEE

### 6<sup>TH</sup> FEBRUARY 2019

#### FORTHCOMING ITEMS FOR NEXT MEETING TO BE HELD ON 20<sup>TH</sup> MARCH 2019

In order to ensure effective Scrutiny, Members need to be clear as to the purpose of requesting specific information and the outcome they are hoping to achieve as a consequence of examining a report. Limiting the number of agenda items may help to keep meetings focused and easier to manage.

Proposed Agenda Item	Background	Reason for report
		<p>What is Scrutiny being asked to do? e.g. undertake a full review of the subject? Investigate different policy options? Be consulted on final proposals before decision making? Monitor outcomes /implementation?</p> <p><i>If the item is for information or for noting, can the information be provided in an alternative format i.e. via email?</i></p>
Budget Monitoring 2018/19 (Q3) (Revenues & Capital)	This is a standard item which allows members to undertake their monitoring role of the departmental and corporate budgets.	The Committee is being requested to scrutinise the budget information.
Performance Monitoring 2018/19 (Q3)	This is a standard 6-monthly report which allows members to undertake their monitoring role in relation to the relevant departments' services. The report also includes details of the compliments and complaints received by the relevant departments. Following agreement by the Scrutiny Chairs & Vice-Chairs Forum, all scrutiny committees now receive performance monitoring reports for quarters 1 and 3. The end of year position will be captured as part of the Council's Annual Report and Improvement Plan document.	To enable members to exercise their scrutiny role in relation to performance monitoring and to ensure that any areas of concern are identified and the relevant action taken.
Actions & Referrals Update	These quarterly updates provide details on progress made in relation to actions and requests which arose at previous meetings	To enable members to exercise their scrutiny role in relation to monitoring performance.

**Items circulated to the Committee under separate cover since the last meeting: N/A**

Mae'r dudalen hon yn wag yn fwriadol

## Policy & Resources Scrutiny Committee – Forward Work Programme 2018/19

	6 <sup>th</sup> February 2019	20 <sup>th</sup> March 2019	26 <sup>th</sup> April 2019	13 <sup>th</sup> June 2019	
	Treasury Management Policy & Strategy 2019/20	Budget Monitoring 2018/19 (Q3) (Revenues & Capital)	January 2019 PSB minutes	Carmarthenshire Well-being Plan Annual Report 2018-19 & PSB Update	
	Treasury Management 2018/19 (Q4)	Performance Monitoring 2018/19 (Q3)			
	September 2018 PSB minutes	Actions & Referrals Update			

**Exec. Board Meetings:** 21<sup>st</sup> January 2019; 4<sup>th</sup> February [Budget]; 4<sup>th</sup> March; 1<sup>st</sup> April.

**Council Meetings:** 9<sup>th</sup> January 2019; 13<sup>th</sup> February; 20<sup>th</sup> February [Corp. Budget]; 6<sup>th</sup> March [Tax]; 10<sup>th</sup> April.

Mae'r dudalen hon yn wag yn fwriadol



# EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20 as at 30<sup>th</sup> July 2018

## Introduction

This plan is published to encourage and enable greater understanding between the Executive, all Councillors, the public and other stakeholders. It assists the Scrutiny Committees in planning their contribution to policy development and holding the executive to account.

The plan gives the public and stakeholders a chance to see the forthcoming major decisions to be made by the Executive Board and the County Council over the next 12 months. It is reviewed and published quarterly to take account of changes and additional key decisions.

WORKING DRAFT

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**CHIEF EXECUTIVES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
QUARTERLY PERFORMANCE REPORT	Wendy Walters Director of Regeneration & Policy	HR	All	N/A	N/A
DISPOSALS POLICY	Wendy Walters, Director of Regeneration & Policy/Jason Jones			Sept	
EQUALITIES REPORT	Wendy Walters, Director of Regeneration & Policy/Gwyneth Ayres	Housing	July	July	

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**CHIEF EXECUTIVES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
COMPLAINTS AND COMPLIMENTS ANNUAL REPORT	Wendy Walters	Deputy Leader	All Sept		
ENVIRONMENT SCRUTINY TASK AND FINISH REPORT 'To review the current provision for the maintenance of highway hedgerows and verges'.	Chair of Environment Scrutiny Committee	Environment		Oct 2018	
SICKNESS ABSENCE UPDATE	Paul R Thomas	Deputy Leader	June – monitoring report		
PAY POLICY STATEMENT 2019	Paul Thomas Assistant Chief Executive	Deputy Leader	N/A	N/A	MARCH
TRANSFORMATION INNOVATION AND CHANGE ANNUAL REPORT	Jon Owen – TIC MANAGER	Deputy Leader	OCT	OCT 18	

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**CHIEF EXECUTIVES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
WELLBEING OBJECTIVES	Wendy Walters Director of Regeneration and Policy	Communities and Rural Affairs			
ANNUAL REVIEW OF THE CONSTITUTION - CRWG	Linda Rees Jones Head of Administration & Law	N/A CRWG - FEB		APRIL	May AGM
ANNUAL PROGRESS REPORT - DIGITAL TRANSFORMATION STRATEGY 2017-2020	Noelwyn Daniel Head of ICT	Deputy Leader	APRIL	MAY	

WORKING DRAFT

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**CHIEF EXECUTIVES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
WELSH LANGUAGE ANNUAL REPORT	Wendy Walters, Director of Regeneration & Policy/Gwyneth Ayres	Culture, Sport & Tourism	June	July	
ANNUAL REVIEW OF COUNCILLORS' & CO-OPTED MEMBERS' ALLOWANCES SCHEME	Gaynor Morgan Democratic Services		Democratic Services Cttee MARCH 2019		May 2019 AGM
WELSH GOVERNMENT CONSULTATION DOCUMENTS	Wendy Walters Director of Regeneration & Policy	Deputy Leader	If applicable	If applicable	If applicable
REVIEW OF COMMUNITY COUNCIL BOUNDARIES & ELECTORAL ARRANGEMENTS	Wendy Walters, Director of Regeneration & Policy	Resources	As and when required		

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**CHIEF EXECUTIVES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
REVIEW OF THE CONSTITUTION (LEGISLATION CHANGES) - CRWG	Linda Rees Jones Head of Administration & Law	N/A CRWG - FEB	N/A	AS AND WHEN REQUIRED	AS AND WHEN REQUIRED
CONFERENCE APPLICATIONS/REPORTS	Gaynor Morgan Democratic Services Manager	Leader	N/A	N/A	N/A
FORWARD WORK PROGRAMME AND UPDATE QUARTERLY	Gaynor Morgan Democratic Services Manager	Business Manager		APRIL OCT	
CITY DEAL UPDATE (INCLUDING LIFE SCIENCE & WELLNESS PROJECT	Wendy Walters Director of Regeneration and Policy	Leader			
RURAL AFFAIRS TASK GROUP REPORT	Wendy Walters Director of Regeneration & Policy/Gwyeth Ayres	Communities & Rural Affairs			

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

<b>COMMUNITY SERVICES</b>					
<b>Subject area and brief description of nature of report</b>	<b>Responsible Officer</b>	<b>Executive Board Member</b>	<b>Date to Scrutiny or other Cttee</b>	<b>Date to Executive Board</b>	<b>Date to County Council</b>
ANNUAL REPORT OF THE DIRECTOR OF SOCIAL SERVICES	Silvana Sauro	SC&H	04/07/18 Joint SC&H & E&CS	30/07/18	10/10/18
NON RESIDENTIAL CHARGES 2019-2022	Lyn Walters/ Rhys Page	SC&H		TBC	
REVISED CHARGING POLICY (pre consultation)	Lyn Walters/ Rhys Page	SC&H			
REVIEW OF LICENSING AND GAMBLING POLICIES	Jonathan Morgan (Emyr Jones)	Public Protection	01/10/18 E&PP & Licensing C'ttee 24/10/18	19/11/18	12/12/18
ENVIRONMENTAL PROTECTION SERVICE DELIVERY PLAN	Jonathan Morgan (Sue Watts)	Public Protection	E&PP 01/10/18	22/10/18	NO
TENANT VISION ENGAGEMENT PLAN (PRE CONSULTATION)	Jonathan Morgan (Les James)	Housing		?	No

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**COMMUNITY SERVICES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny or other Cttee	Date to Executive Board	Date to County Council
HOMELESSNESS STRATEGY	Jonathan Morgan	Housing	Community 04/10/18	22/10/18 or 19/11/18	14/11/18 or 12/12/18
UNIVERSAL CREDIT ACTION PLAN	Jonathan Morgan	Housing	Community 04/10/18	22/10/18	
CHS+ DELIVERING WHAT MATTERS BUSINESS PLAN	Jonathan Morgan	Housing	Yes Community Jan 2019	Yes	Yes

WORKING DRAFT



**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**CORPORATE SERVICES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
BI-MONTHLY REVENUE AND CAPITAL BUDGET MONITORING REPORTS	Chris Moore Director of Corporate Services	RESOURCES	N/A	APRIL JUNE SEPT NOV JAN MARCH	N/A
QUARTERLY TREASURY MANAGEMENT AND PRUDENTIAL INDICATOR REPORT	Chris Moore Director of Corporate Services	RESOURCES	N/A	JULY OCT JAN	N/A
ANNUAL TREASURY MANAGEMENT & PRUDENTIAL INDICATOR REPORT	Chris Moore Director of Corporate Services	RESOURCES	N/A	JULY	
RESERVES STRATEGY	Chris Moore Director of Corporate Services	RESOURCES		OCT	N/A
5 YEAR CAPITAL PROGRAMME	Chris Moore Director of Corporate Services	RESOURCES	ALL DEC/ JAN	NOV	N/A

WORKING DRAFT

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as at 30<sup>th</sup> July 2018**

**CORPORATE SERVICES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
5-YEAR CAPITAL RECEIPT STRATEGY	Wendy Walters, Director of Regeneration & Policy	RESOURCES	n/a	n/a	n/a
COUNCIL TAX SETTING REPORT	Chris Moore Director of Corporate Services	RESOURCES	n/a	n/a	march
COUNCIL TAX BASE	Chris Moore / John Gravelle	RESOURCES	N/A	NOV	MARCH
Council Tax Reduction Scheme	Chris Moore / John Gravelle	RESOURCES	N/A	N/A	JAN
BUDGET STRATEGY (Revenue and Capital)	Chris Moore Director of Corporate Services	RESOURCES	ALL DEC/ JAN	NOV	N/A
HIGH STREET RATE RELIEF	Chris Moore /John Gravelle	RESOURCES	N/A	End May/ early June	N/A
RISK STRATEGY	Chris Moore / Helen Pugh	RESOURCES	TBC – Audit Committee		

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**CORPORATE SERVICES**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
FINANCIAL PROCEDURE RULES	Chris Moore / Helen	RESOURCES	TBC – Audit Committee		
BUDGET OUTLOOK (Revenue and Capital)	Chris Moore Director of Corporate Services	RESOURCES	ALL DEC/ JAN	NOV	N/A
TREASURY MANAGEMENT POLICY AND STRATEGY	Chris Moore Director of Corporate Services	RESOURCES	N/A	FEB	FEB
FINAL BUDGET	Chris Moore Director of Corporate Services	RESOURCES	N/A	FEB	FEB
HOUSING REVENUE ACCOUNT BUDGET AND RENT SETTING REPORT	Chris Moore Director of Corporate Services	RESOURCES	HOUSING	FEB	FEB
BUDGET OUTLOOK	Chris Moore Director of Corporate Services	RESOURCES	N/A	JULY/SEPT	N/A

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**EDUCATION & CHILDREN**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
<b>MODERNISING EDUCATION PROGRAMME - QUARTERLY PROGRESS REPORTS</b>	<b>Simon Davies - Modernisation Services Manager</b>	<b>E&amp;C</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
<b>ACCOMMODATING LOOKED AFTER CHILDREN – COMMISSIONING &amp; COSTS</b>	<b>Stefan Smith - Head of Children's Services</b>	<b>E&amp;C</b>			
<b>CSSIW INSPECTION, EVALUATION &amp; REVIEW OF LOCAL AUTHORITY SERVICES</b>	<b>Jake Morgan – Director of Communities</b>	<b>E&amp;C/SC&amp;H</b>			
<b>SCHOOL VISITS REPORT</b>	<b>Andi Morgan – Interim Head of Education Services</b>	<b>E&amp;C</b>			
<b>ESTYN REPORT – ANNUAL SYNOPSIS</b>	<b>Andi Morgan – Interim Head of Education Services</b>	<b>E&amp;C</b>			

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

<b>ENVIRONMENT</b>					
Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
<b>FLOOD RISK MANAGEMENT PLAN</b>	Ruth Mullen Director of Environment / Ainsley Williams Head of Waste & Environmental Services	Environment		July 18	
<b>LDP ANNUAL MONITORING REPORT</b>	Ruth Mullen Director of Environment / Llinos Quelch Head of Planning	Deputy Leader	Special Community Scrutiny	24th Sept	10th Oct
<b>PARKING STRATEGY</b>	Ruth Mullen Director of Environment / Steve Pilliner Highways & Transport	Environment	30th July		

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

**ENVIRONMENT**

Subject area and brief description of nature of report	Responsible Officer	Executive Board Member	Date to Scrutiny	Date to Executive Board	Date to County Council
HIGHWAYS DESIGN GUIDE	Ruth Mullen Director of Environment / Steve Pilliner Highways & Transport	Environment		Oct 18	
WASTE DISPOSAL CONTRACT AWARD	Ruth Mullen Director of Environment / Ainsley Williams Head of Waste & Environmental Services	Environment			

WORKING DRAFT

**EXECUTIVE BOARD FORWARD WORK PROGRAMME 2018/19/20  
as at 30<sup>th</sup> July 2018**

<b>ENVIRONMENT</b>					
<b>Subject area and brief description of nature of report</b>	<b>Responsible Officer</b>	<b>Executive Board Member</b>	<b>Date to Scrutiny</b>	<b>Date to Executive Board</b>	<b>Date to County Council</b>
<b>ANNUAL MONITORING REPORT PLANNING</b>	Ruth Mullen Director of Environment / Llinos Quelch Head of Planning	Deputy Leader		24/09	10/10
<b>LDP PREFERRED STRATEGY</b>	Ruth Mullen Director of Environment / Llinos Quelch Head of Planning	Deputy Leader	22/10	14/11	
<b>ROWIP</b>	Ruth Mullen Director of Environment / Steve Pilliner Highways & Transport	Environment			

Mae'r dudalen hon yn wag yn fwriadol



Dydd Gwener, 11 Ionawr 2019

**YN BRESENNOL:** Y Cyngorydd A.G. Morgan (Cadeirydd)

**Y Cynghorwyr:**

S.M. Allen, K.V. Broom, D.M. Cundy (yn lle D.C. Evans), T.A.J. Davies, H.L. Davies, J.K. Howell, G.H. John, C. Jones, K. Lloyd (yn lle J.G. Prosser), K. Madge a D.E. Williams

**Hefyd yn bresennol:**

Y Cyngorydd L.M. Stephens, Aelod o'r Bwrdd Gweithredol - Dirprwy Arweinydd y Cyngor

**Roedd y Swyddogion canlynol yn bresennol yn y cyfarfod:**

C. Moore, Cyfarwyddwr y Gwasanaethau Corfforaethol  
J. Fearn, Pennaeth Eiddo  
R. Hemingway, Pennaeth y Gwasanaethau Ariannol  
G. Ayers, Rheolwr Polisi Corfforaethol a Phartneriaeth;  
S Morgan, Rheolwr Asedau Strategol  
C. Powell, Principal Auditor  
J. Williams, Swyddog Diogelwch TG  
M.S. Davies, Swyddog y Gwasanaethau Democrataidd

**Y Siambr, Neuadd y Sir, Caerfyrddin: 10.00 am - 12.44 pm**

**1. YMDDIHEURIADAU AM ABSENOLDEB**

Derbyniwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr D.C. Evans a J. Prosser.

**2. DATGANIADAU O FUDDIANNAU PERSONOL GAN GYNNWYS UNRHYW CHWIPIAU PLEIDIAU A RODDIR MEWN YMATEB I UNRHYW EITEM AR YR AGENDA**

Y cyngorydd	Rhif y Cofnod	Y Math o Fuddiant
A. Davies	6 - Cynllun Busnes Adran y Gwasanaethau Corfforaethol 2019/22;	Ei chwaer yng nghyfraith yw'r Pennaeth Refeniw a Chydymffurfiaeth Ariannol.
K. Lloyd	4 - Ymgynghoriad ar Strategaeth Cyllideb Refeniw 2019/20 – 2021/22	Ei nith yn Ofalwr Cysylltu Bywydau;
K. Madge	4 - Ymgynghoriad ar Strategaeth Cyllideb Refeniw 2019/20 – 2021/22	Ei ferch yn gweithio i'r Gwasanaethau Cymdeithasol.

**3. CWESTIYNAU GAN Y CYHOEDD (NID OEDD DIM WEDI DOD I LAW)**

Nid oedd cwestiynau gan y cyhoedd wedi dod i law.

#### 4. **YMGYNGHORI YNGHYLCH STRATEGAETH Y GYLLIDEB REFENIW 2019/20 TAN 2021/22**

Bu'r Pwyllgor yn ystyried Strategaeth y Gyllideb Refeniw 2019/20 hyd 2021/22 a oedd wedi ei chymeradwyo gan y Bwrdd Gweithredol at ddibenion ymgynghori yn y cyfarfod ar 19 Tachwedd 2018. Roedd yr adroddiad yn cyflwyno'r sefyllfa bresennol i'r Aelodau ynghylch y Gyllideb Refeniw ar gyfer 2019/2020, ynghyd â ffigurau dangosol ar gyfer blynyddoedd ariannol 2020/2021 a 2021/2022. Roedd yr adroddiad yn seiliedig ar ragamcanion gwariant y swyddogion, gan ystyried y setliad amodol a gyhoeddwyd gan Lywodraeth Cymru ar 9 Hydref 2018. Dywedwyd bod y setliad amodol a gyhoeddwyd yn well na'r hyn a ddisgwylid ond y byddai'r gostyngiad ar setliad y flwyddyn bresennol yn cael effaith negyddol sylweddol ar adnoddau'r Cyngor o ystyried ffactorau megis chwyddiant, newidiadau demograffig a'r galw am wasanaethau.

Roedd y cynigion ynghylch y gyllideb, fel y cyflwynwyd yn yr adroddiad, yn golygu cyflawni'n llawn y cynigion o ran arbedion a gyflwynwyd, ynghyd â nodi a chyflawni'r diffyg yn y cynnig arbedion 2020-21, a 2021-22. Mae angen nodi rhagor o arbedion costau o tua £9 miliwn a/neu byddai angen cytuno ar gynnydd mawr yn nhreth y cyngor er mwyn sicrhau cyllideb gytbwys ym mhob un o'r tair blynedd. O ystyried maint y bwlc yn y gyllideb a ragwelwyd, mae'r cynnydd yn y Dreth Gyngor wedi cynyddu o'r Cynllun Ariannol Tymor Canolig blaenorol i 4.89% ym mhob un o'r tair blynedd ariannol, sy'n sicrhau rhywfaint o liniaru o ran y cynigion arbedion.

Diolchwyd i'r swyddogion am eu gwaith o ran llunio'r adroddiad a'r atodiadau.

Roedd y canlynol ymhlith y materion a godwyd wrth ystyried yr adroddiad:

- Dywedodd Pennaeth y Gwasanaethau Corfforaethol, mewn ymateb i ymholiad, y byddai'n barod, drwy Un Llais Cymru, i ddarparu cymorth o ran unrhyw hyfforddiant sydd ei angen ar y cynghorau cymuned ynghylch rheoli cyllideb;
- Cytunodd Pennaeth y Gwasanaethau Corfforaethol i gadarnhau a oedd yr Uned Pridiannau Tir wedi adennill TAW y ffioedd chwiliadau;
- Cytunodd Pennaeth y Gwasanaethau Corfforaethol, y byddai, yn y dyfodol, yn cynnwys yn ei adroddiad cwestiynau perthnasol a godwyd a'r atebion a roddwyd yn y sesiynau ymgynghori ar y gyllideb sy'n cynnwys Cynghorwyr cyn y Pwyllgor Craffu – Polisi ac Adnoddau;
- Ailadroddwyd Pryderon ynghylch goblygiadau economaidd a chyllidebol posibl BREXIT;
- Cyfeiriwyd at y swyddi y gellid eu colli o ganlyniad i arbedion effeithlonrwydd a sgil effaith hyn ar yr economi leol.

#### **PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiad a chymeradwyo'r Crynhoad Taliadau.**

#### 5. **RHAGLEN GYFALAF PUM MLYNEDD 2019/20-2023/24**

Bu'r Pwyllgor yn ystyried y rhaglen gyfalaf bum mlynedd a gymeradwywyd gan y Bwrdd Gweithredol ar 17 Rhagfyr 2018 ar gyfer ymgynghori yn ei chylch. Nodwyd y byddai'r adborth o'r broses ymgynghori hon, ynghyd â chanlyniad y setliad terfynol, yn cyfrannu at adroddiad terfynol y gyllideb a fyddai'n cael ei gyflwyno i'r Cyngor i'w ystyried ym mis Chwefror, 2019. Roedd y rhaglen gyfalaf arfaethedig yn cynnig gwariant cyfalaf o ryw £260m dros y 5 mlynedd nesaf ac roedd y cynigion cyllido cyfredol yn cynnwys cyllid allanol o £128m. Roedd yr adroddiad yn tynnu sylw at y setliad dros dro a gafwyd gan Lywodraeth Cymru a oedd yn nodi

cyllid cyfalaf o £9.437 miliwn ar gyfer yr Awdurdod yn 2019-20. Roedd y cyllid yn cynnwys benthycia â chymorth o £5.867 miliwn a Grant Cyfalaf Cyffredinol o £3.570 miliwn. I grynhoi, sefyllfa gyffredinol y rhaglen gyfalaf oedd ei bod yn cael ei chyllido am y 5 mlynedd rhwng 2019/20 a 2023/24.

Dywedodd Cyfarwyddwr y Gwasanaethau Corfforaethol fod Llywodraeth Cymru wedi cyhoeddi'n ddiweddar ei bod yn cymeradwyo rhaglen Band B Ysgolion yr 21<sup>ain</sup> Ganrif a fyddai'n para tan 2024, yn sgil newid y gyfradd ymyrryd yn sylweddol o 50% i 65% ar gyfer ysgolion yn gyffredinol ac o 50% i 75% ar gyfer ysgolion arbennig. Roedd hyn yn rhoi cyfle i'r awdurdod ddarparu rhagor o ysgolion o fewn y rhaglen Band B sydd gwerth £129.5 miliwn, y mae'n ariannu £70m ohono.

Nodwyd bod hyn hefyd yn cynnwys cynlluniau'r Fargen Ddinesig ar gyfer y Pentref Llesiant a'r Egin. Nodwyd hefyd bod Ardal Llanelli a Chanolfan Hamdden Llanelli yn elfennau allweddol o'r Pentref Llesiant.

Roedd y canlynol ymhlith y materion a godwyd wrth ystyried yr adroddiad:

- Mewn ymateb i ymholiadau a phryderon ynghylch dyfodol y cynllun Pentref Llesiant yn dilyn y gwaharddiadau diweddar ym Mhrifysgol Abertawe, dywedodd Cyfarwyddwr y Gwasanaethau Corfforaethol fod y Cytundeb Cydweithio wedi ei derfynu, fel y rhoddwyd gwybod i'r Cyngor ar 12 Rhagfyr 2018, a bod swyddogion yn gweithio gyda Bwrdd Iechyd Lleol Hywel Dda, Prifysgol Cymru y Drindod Dewi Sant a Phrifysgol Abertawe i gyflwyno model cyflawni arall a fydd yn sicrhau y bydd y Cyngor ei hun yn hwyluso'r buddsoddiad preifat sydd ei angen. Rhoddwyd sicrwydd i'r Aelodau nad oedd risgiau ariannol ar gyfer yr Awdurdod ac roedd yr unig wariant wedi cael ei ddefnyddio. Cytunodd Cyfarwyddwr y Gwasanaethau Corfforaethol i roi gwybod i aelodau'r Pwyllgor am unrhyw ddatblygiadau pellach drwy'r e-bost;
- Eglurodd Cyfarwyddwr y Gwasanaethau Corfforaethol fod 'Benthycia Darbodus' yn cyfeirio at fenthycia heb gymorth a ariennir yn uniongyrchol gan yr Awdurdod.

## **PENDERFYNWYD YN UNFRYDOL**

### **5.1 gymeradwyo'r rhaglen gyfalaf bum mlynedd 2019/20 - 2023/24;**

### **5.2 cyflwyno adroddiad diweddar ynghylch y cynllun Pentref Llesiant yn y cyfarfod nesaf.**

## **6. CYNLLUN BUSNES ADRANNOL GWASANAETHAU CORFFORAETHOL 2019/2022**

(NODER: Roedd y Cynghorydd A. Davies wedi datgan buddiant yn yr eitem hon yn gynharach a gadawodd y cyfarfod tra oedd yr eitem yn cael ei thrafod.) Ystyriodd y Pwyllgor Gynllun Busnes Adran y Gwasanaethau Corfforaethol 2019-22 a oedd yn amlinellu blaenoriaethau'r adran a sut yr oedd yn cefnogi'r Pum Ffordd o Weithio a 7 nod Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015. Dywedodd Cyfarwyddwr y Gwasanaethau Corfforaethol, mewn ymateb i gwestiwn, fod yr anawsterau a gafwyd wrth recriwtio staff caffael profiadol a / neu gymwys yn bennaf oherwydd nad oedd digon o arbenigwyr caffael yn y farchnad swyddi. Roedd yr Adran yn gweithio gyda Phrifysgol Caerdydd a oedd yn cynnal cyrsiau yn y maes hwn.

## **PENDERFYNWYD nodi'r Cynllun.**

## 7. Y WYBODAETH DDIWEDDARAF AM Y CYNLLUN RHEOLI ASED AU CORFFORAETHOL A'R RHAGLEN SWYDDFEYDD

Gan gyfeirio at gofnod 6 y cyfarfod a gynhaliwyd ar 30 Tachwedd 2016, bu'r Pwyllgor yn ystyried adroddiadau a fanylai ar y cynnydd a oedd wedi'i wneud o ran y camau a nodwyd yn y Cynllun Rheoli Asedau Corfforaethol a'r rhaglen at y dyfodol ar gyfer yr Ystad Weinyddol yng ngoleuni mabwysiadu egwyddorion gweithio ystwyth. Yn ogystal â'r Cynllun Rheoli Asedau Corfforaethol, roedd y Rhaglen Swyddfeydd wedi cael ei diwygio'n ddiweddar ac wedi mynd i'r afael ag amrywiaeth o faterion megis perfformiad y portffolios, materion cynaliadwyedd a phrif feysydd newid. Un o'r prif feysydd fyddai goblygiadau mabwysiadu egwyddorion gweithio ystwyth.

Codwyd y materion canlynol wrth ystyried yr adroddiadau:

- Dywedodd y Pennaeth Eiddo, mewn ymateb i gwestiwn, fod y Cyngor yn ymroi i sicrhau hyfywedd canol trefi, a byddai'n parhau i gadw neu ddod o hyd i swyddfeydd yn y lleoliadau hyn lle bo hynny'n bosibl;
- O ran darparu cymorth ychwanegol i gynghorau cymuned a oedd wedi cymryd cyfrifoldeb dros asedau a drosglwyddwyd o'r Cyngor Sir, roedd yn annhebygol y byddai rhagor o gyllid ar gael a phwysleisiwyd bod rhai cynghorau cymuned bob amser wedi bod yn gyfrifol am gynnal a chadw asedau o'r fath;
- Dywedodd y Pennaeth Eiddo fod y Cynllun Buddsoddi Gweithio Ystwyth wedi'i gyflawni o fewn y gyllideb hyd yn hyn. Fodd bynnag, dywedwyd bod angen buddsoddi'n sylweddol yn Neuadd y Sir ac ni fynegwyd llawer o ddiddordeb mewn rhai o'r adeiladau yng Nghaerfyrddin yr oedd yr Awdurdod wedi'u gwacáu a'u rhoi ar werth. Nodwyd bod rhai o'r adeiladau a oedd wedi cael eu gwerthu yn parhau'n wag a heb gael eu hailddatblygu;
- Nodwyd bod Tŷ'r Nant, Trostre, ar y farchnad fel rhan o bortffolio ailddatblygu ehangach;
- Dywedodd y Pennaeth Eiddo fod mentrau ynni adnewyddadwy megis paneli haul a thyrbinau gwynt yn parhau i gael eu harchwilio.

**PENDERFYNWYD YN UNFRYDOL dderbyn yr adroddiadau.**

## 8. EITEMAU AR GYFER DYFODOL

Cyfeiriwyd at Ddatganiad Polisi Tâl 2019 a gynhwyswyd ym Mlaenraglen Waith y Bwrdd Gweithredol a chytunodd Pennaeth y Gwasanaethau Corfforaethol i gadarnhau a oedd yn briodol i ddod gerbron y Pwyllgor Craffu – Polisi ac Adnoddau.

**PENDERFYNWYD YN UNFRYDOL nodi'r rhestr o eitemau ar gyfer y dyfodol a oedd i'w hystyried yn y cyfarfod nesaf ar 6 Chwefror 2019.**

## 9. COFNODION - 5 RHAGFYR, 2018

**PENDERFYNWYD YN UNFRYDOL lofnodi cofnodion y cyfarfod a gynhaliwyd ar 5 Rhagfyr 2018 yn gofnod cywir.**

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CADEIRYDD

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DYDDIAD